



Computation of local and averaged stresses in nanosize specimens

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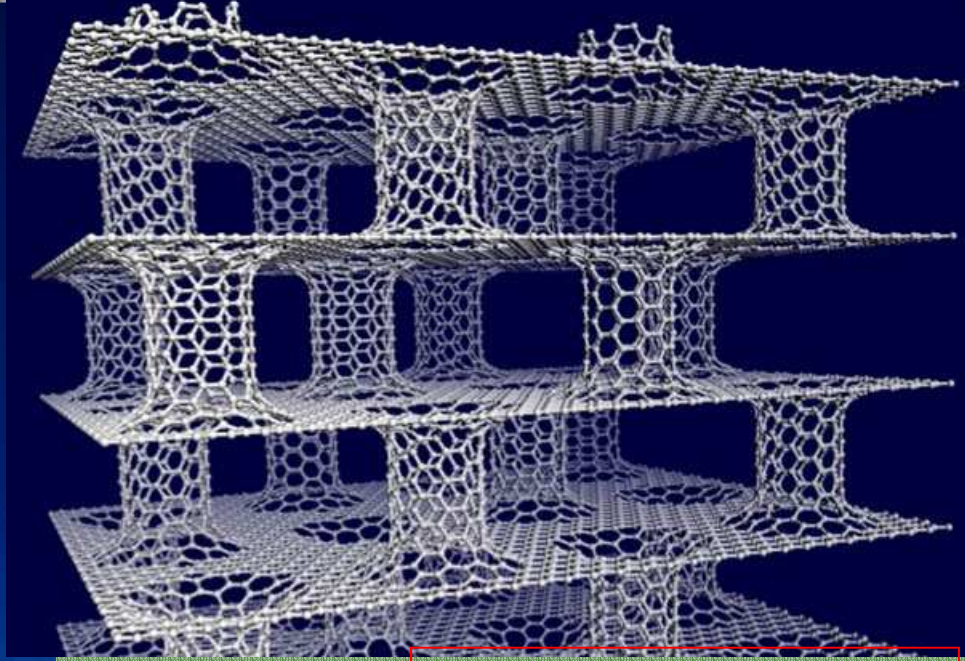
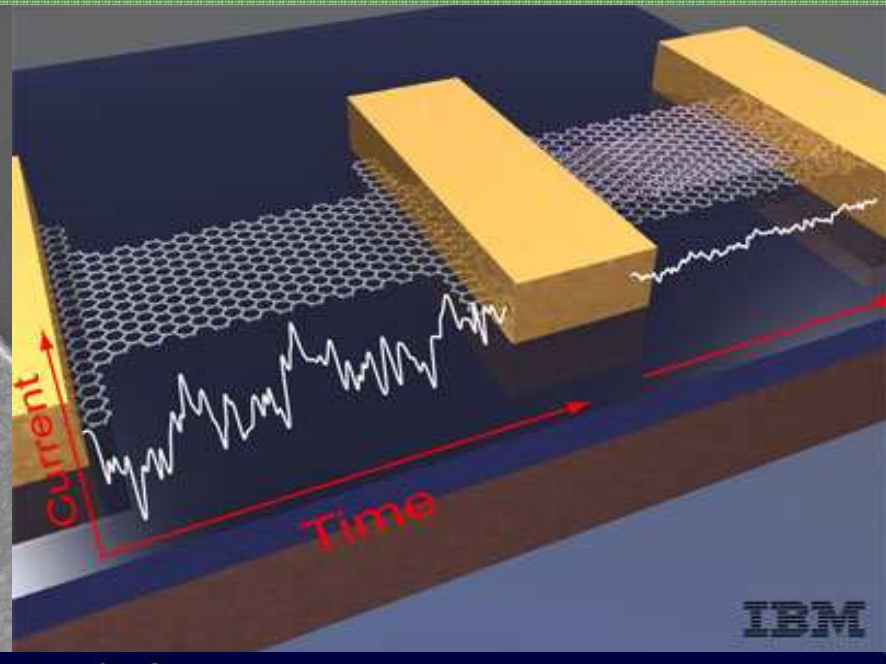
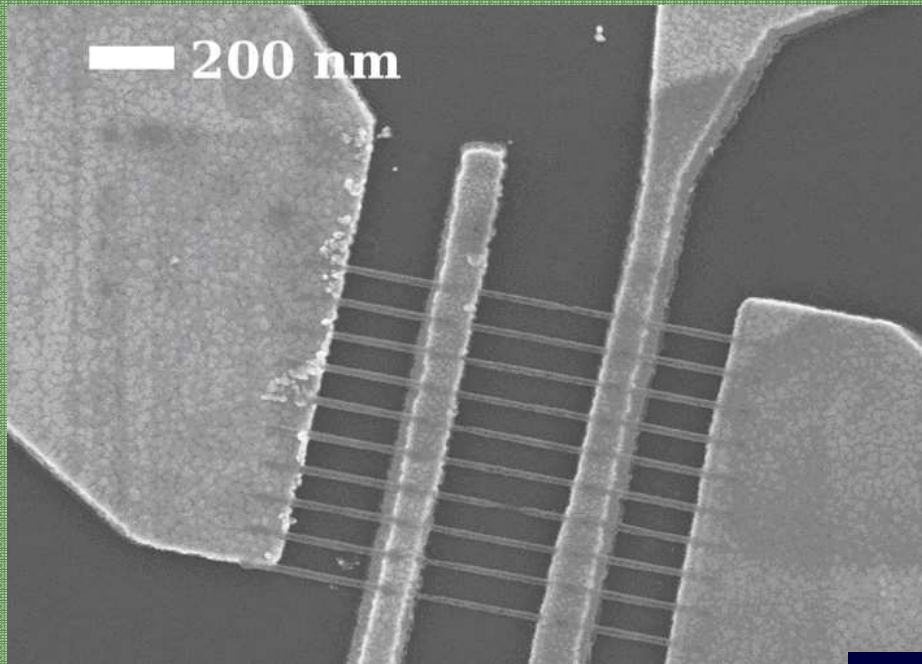
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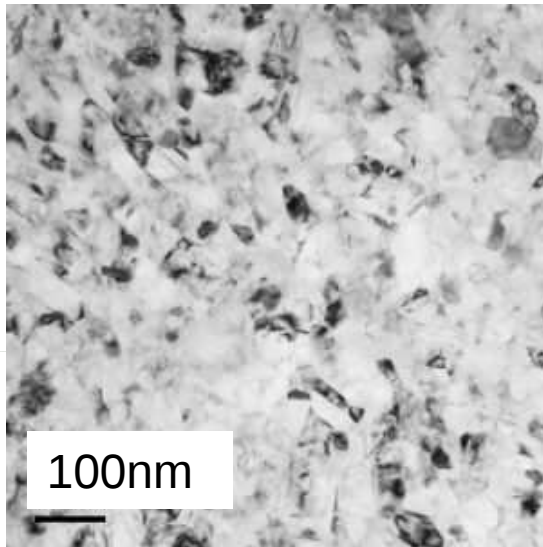
October 22/ 2010



Structures at nanoscale

Nano-structured materials for engineering applications

Young's modulus (E); Tensile yield stress (σ_y).

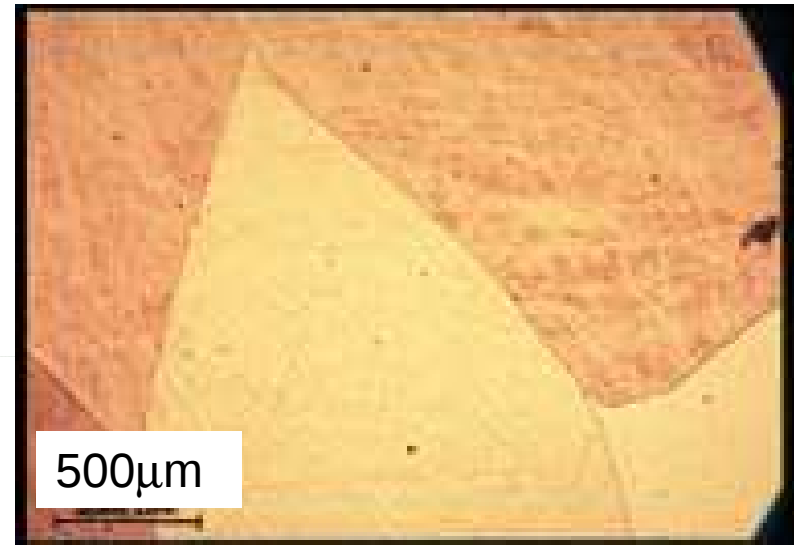


Nano-crystalline copper (Cu)

Average grain size < 100 nm

$E \sim 120$ GPa

$\sigma_y \sim 0.3 - 1$ GPa



Coarse grained Cu

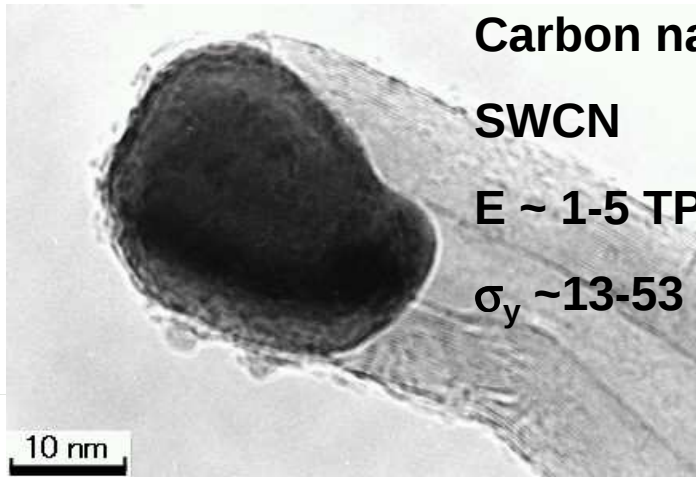
Average grain size $\sim 1\mu\text{m}$

$E \sim 110 - 128$ GPa

$\sigma_y \sim 100$ MPa

Nano-structured material for engineering applications

Young's modulus (E); Tensile yield stress (σ_y)

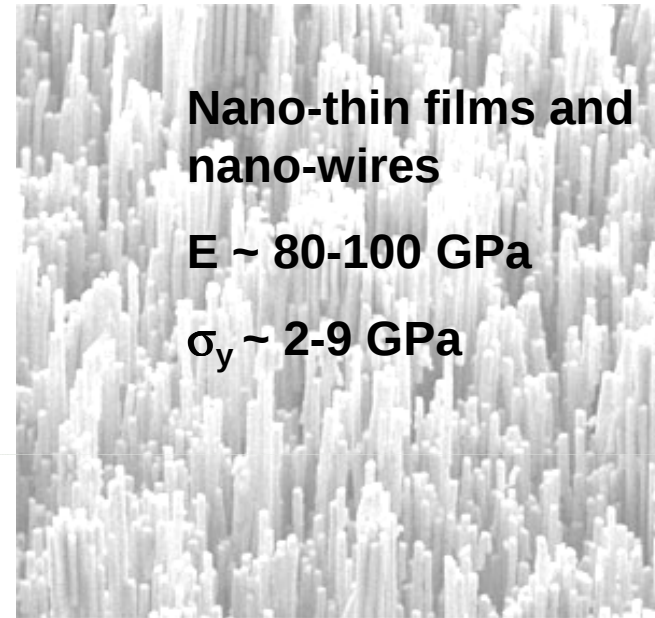


Carbon nanotubes

SWCN

$E \sim 1\text{-}5 \text{ TPa}$

$\sigma_y \sim 13\text{-}53 \text{ GPa}$



**Nano-thin films and
nano-wires**

$E \sim 80\text{-}100 \text{ GPa}$

$\sigma_y \sim 2\text{-}9 \text{ GPa}$



Nano-crystalline materials

$E \sim 200 \text{ GPa}$

$\sigma_y \sim 0.3 - 1 \text{ GPa}$

Amorphous materials

$E \sim 150 \text{ GPa}$

$\sigma_y \sim 0.7 - 3.8 \text{ GPa}$



Nanostructured materials

Potential benefits of nano-structured materials

High strength / weight ratio

High corrosion resistance

Noise damping

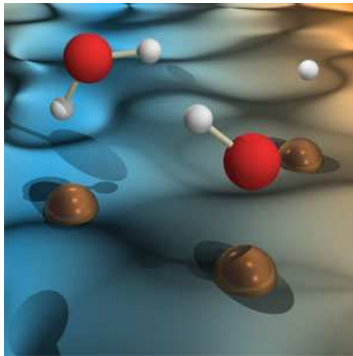
Reduce manufacturing costs

Improve recyclability

Deformation mechanisms at different length scales

Ab-Initio (electrons)

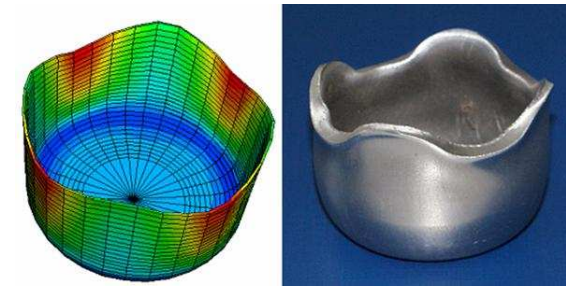
$(1\text{nm})^3 : 0 - 1\text{ps}$



Continuum

(Phenomenological theories)

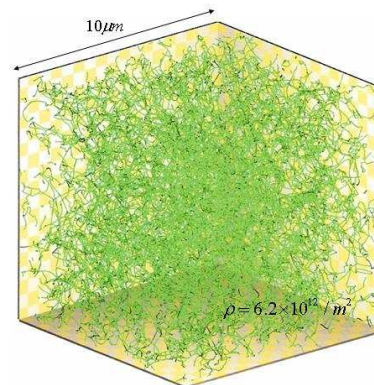
$(100\ \mu\text{m})^3 - (1\text{m})^3 : \text{ms} - \text{h}$



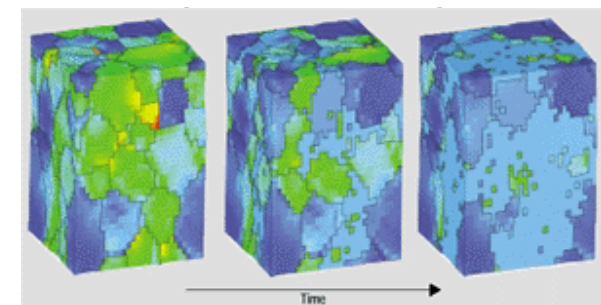
Dislocation dynamics

(defects)

$(\mu\text{m})^3 : \text{ns} - \text{s}$



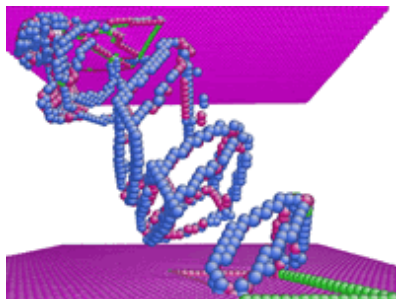
Meso-scale (poly-crystalline materials)



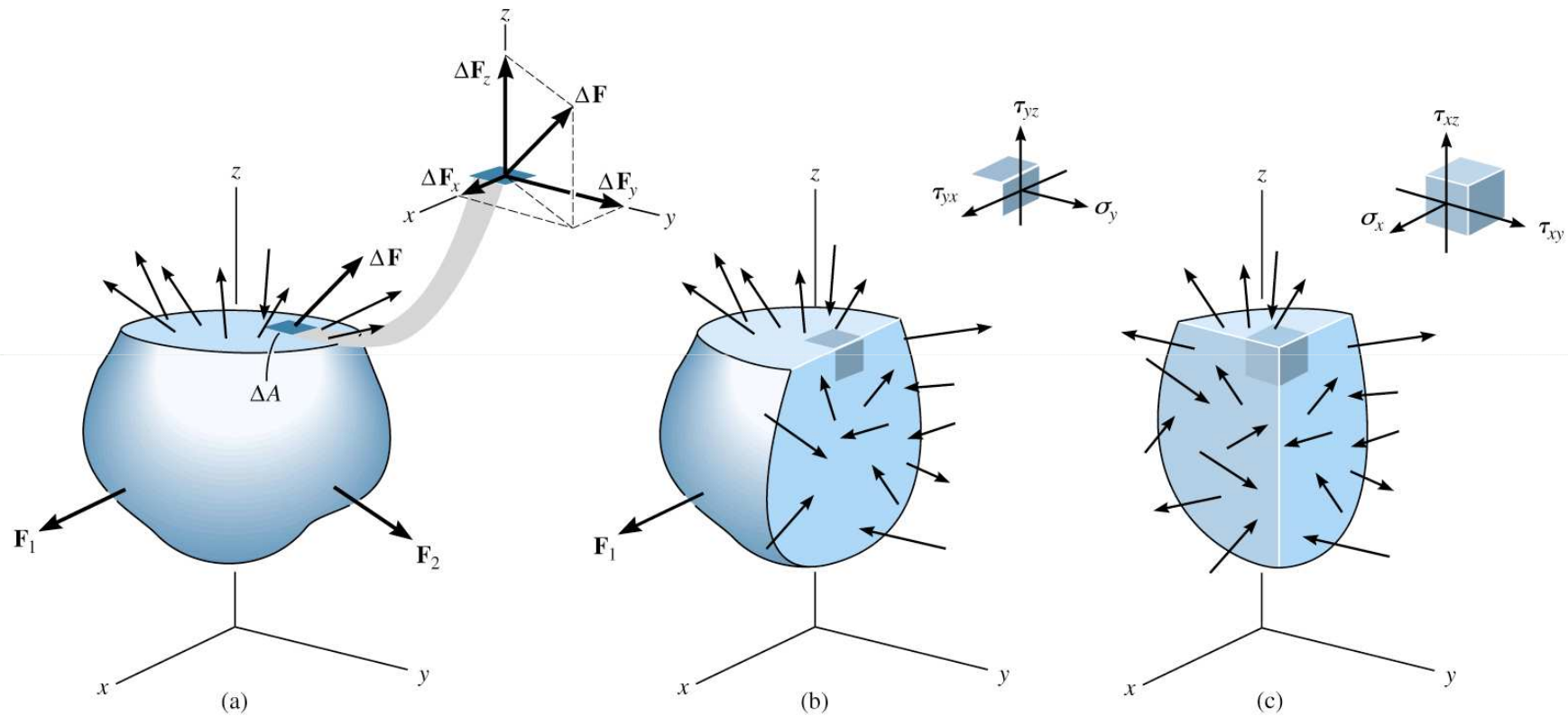
Deformation mechanisms

Atomic scale (atoms)

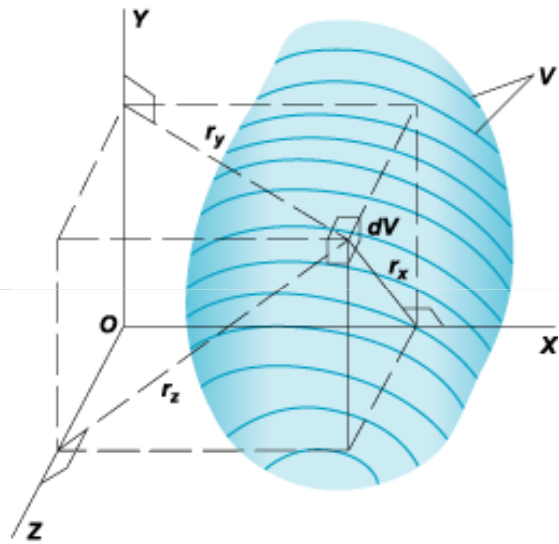
$(1\ \text{nm})^3 - (100\ \text{nm})^3 : \text{ns}$



The mechanical concept of stress



The inertia tensor



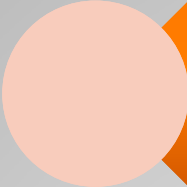
$$I_{\alpha\beta} = \int_{\Omega} \left((\rho x_{\phi} x_{\phi}) \delta_{\alpha\beta} - \rho x_{\alpha} x_{\beta} \right) d\Omega$$

$$\frac{d(I_{\alpha\beta})}{dt} = \frac{d}{dt} \int_{\Omega} \left((\rho x_{\phi} x_{\phi}) \delta_{\alpha\beta} - \rho x_{\alpha} x_{\beta} \right) d\Omega$$

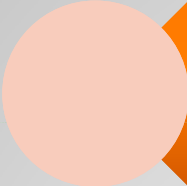
The virial of Clausius (1870):

$$G_{\alpha\beta} = \frac{1}{2} \frac{d(I_{\alpha\beta})}{dt} = \int_{\Omega} \left(\rho x_{\phi} v_{\phi} \delta_{\alpha\beta} - \frac{1}{2} \rho (v_{\alpha} x_{\beta} + x_{\alpha} v_{\beta}) \right) d\Omega$$

Conservation laws in continuum mechanics



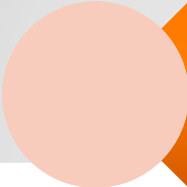
Conservation of Mass



Conservation of Linear Momentum



Conservation of Angular Momentum



Conservation of Energy

Conservation of linear momentum

- It requires that the rate of change of the linear momentum, in any part of a body, should equal to the force acting on the part

Linear momentum: $\int \frac{\partial x(X, t)}{\partial t} \rho(X) dV(X)$

Rate of change:

$$\frac{d}{dt} \int \frac{\partial x(X, t)}{\partial t} \rho(X) dV(X) = \int \frac{\partial^2 x(X, t)}{\partial t^2} \rho(X) dV(X)$$

Forces

- Nominal density of body force

$$b(\mathbf{x}, t) = \frac{\text{force in the current state}}{\text{volume in the current state}}$$

- Nominal traction

$$t(\mathbf{x}, t) = \frac{\text{force in the current state}}{\text{area in the current state}}$$

- Conservation of linear momentum

$$\int_{\partial\Omega} t(\mathbf{x}, t) dA + \int_{\Omega} b(\mathbf{x}, t) d\Omega = \frac{d}{dt} \int_{\Omega} \rho(\mathbf{x}, t) \frac{\partial \mathbf{x}}{\partial t} d\Omega$$

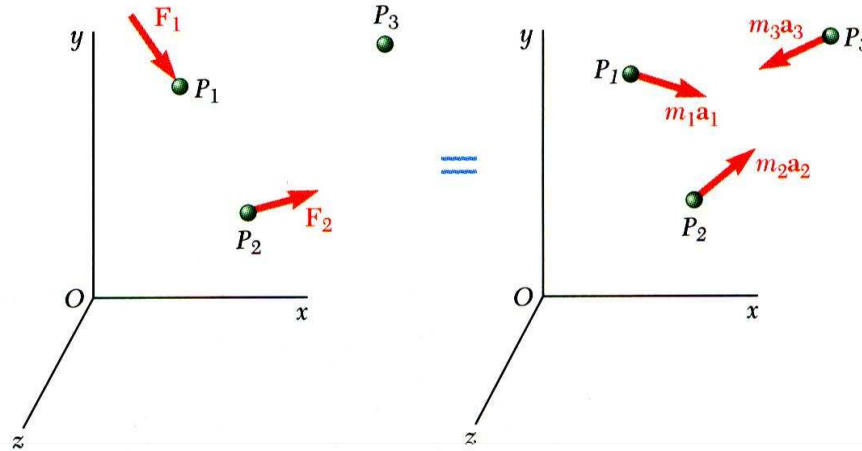
The virial of Clausius - continuum system



$$\frac{d(G_{\alpha\beta})}{dt} = \frac{d}{dt} \int_{\Omega} \left(\rho x_{\phi} v_{\phi} \delta_{\alpha\beta} - \frac{1}{2} \rho (v_{\alpha} x_{\beta} + x_{\alpha} v_{\beta}) \right) d\Omega$$

$$\begin{aligned} \frac{d(G_{\alpha\beta})}{dt} = & \int_{\partial\Omega} x_{\phi} t_{\phi} \delta_{\alpha\beta} dA + \int_{\Omega} x_{\phi} \rho b_{\phi} \delta_{\alpha\beta} d\Omega - 1/2 \int_{\partial\Omega} (t_{\alpha} x_{\beta} + t_{\beta} x_{\alpha}) d\Omega \dots \\ & - 1/2 \int_{\Omega} (\rho b_{\alpha} x_{\beta} + \rho x_{\alpha} b_{\beta}) d\Omega - \int_{\Omega} \sigma_{\phi\phi} \delta_{\alpha\beta} d\Omega + \int_{\Omega} \sigma_{\alpha\beta} d\Omega \dots \\ & + \int_{\Omega} (\rho v_{\phi} v_{\phi} \delta_{\alpha\beta} - \rho v_{\alpha} v_{\beta}) d\Omega \end{aligned}$$

The virial of Clausius - discrete system



$$I_{\alpha\beta} = \sum_{k=1}^N m^{(k)} \left(r_{\phi}^{(k)} r_{\phi}^{(k)} \delta_{\alpha\beta} - r_{\alpha}^{(k)} r_{\beta}^{(k)} \right)$$

$$G_{\alpha\beta} = \frac{1}{2} \frac{dI_{\alpha\beta}}{dt} = \sum_{k=1}^N p_{\phi}^{(k)} r_{\phi}^{(k)} \delta_{\alpha\beta} - \frac{1}{2} p_{\alpha}^{(k)} r_{\beta}^{(k)} - \frac{1}{2} r_{\alpha}^{(k)} p_{\beta}^{(k)}$$

Continuum discrete equivalence

$$\sum_{k=1}^N p_{\phi}^{(k)} v_{\phi}^{(k)} \delta_{\alpha\beta} \rightarrow \int_{\Omega} \rho v_{\phi} v_{\phi} \delta_{\alpha\beta} d\Omega$$

$$- \sum_{k=1}^N p_{\alpha}^{(k)} v_{\beta}^{(k)} \rightarrow - \int_{\Omega} \rho v_{\alpha} v_{\beta} d\Omega$$

$$\sum_{k=1}^N {}^i f_{\phi}^{(k)} x_{\phi}^{(k)} \delta_{\alpha\beta} \rightarrow - \int_{\Omega} \sigma_{\phi\phi} \delta_{\alpha\beta} d\Omega$$

$$\sum_{k=1}^N {}^e f_{\phi}^{(k)} x_{\phi}^{(k)} \delta_{\alpha\beta} \rightarrow \int_{\partial\Omega} x_{\phi} t_{\phi} \delta_{\alpha\beta} dA + \int_{\Omega} x_{\phi} \rho b_{\phi} \delta_{\alpha\beta} d\Omega$$

$$-1/2 \sum_{k=1}^N {}^e f_{\alpha}^{(k)} x_{\beta}^{(k)} + {}^e f_{\beta}^{(k)} x_{\alpha}^{(k)} \rightarrow -1/2 \int_{\partial\Omega} (x_{\beta} t_{\alpha} + x_{\alpha} t_{\beta}) dA \dots$$

$$+ \int_{\Omega} (x_{\beta} \rho b_{\alpha} + x_{\alpha} \rho b_{\beta}) d\Omega$$

$$-1/2 \sum_{k=1}^N {}^i f_{\alpha}^{(k)} x_{\beta}^{(k)} + {}^i f_{\beta}^{(k)} x_{\alpha}^{(k)} \rightarrow \int_{\Omega} \sigma_{\alpha\beta} d\Omega$$

The average stress tensor

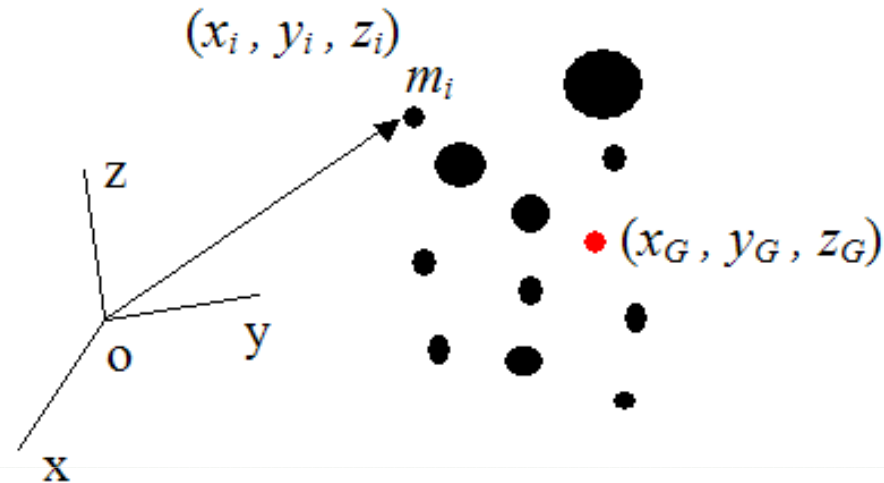
$$\Omega \sigma_{\alpha\beta} = \int_{\Omega} \sigma_{\alpha\beta} d\Omega$$

$$\Omega \sigma_{\alpha\beta} = \int_{\partial\Omega} x_{\alpha} t_{\beta} dA + \int_{\Omega} \rho b_{\beta} x_{\alpha} d\Omega - \frac{d}{dt} \int_{\Omega} \rho v_{\beta} x_{\alpha} d\Omega + \int_{\Omega} \rho v_{\beta} v_{\alpha} d\Omega$$

$$\langle \dots \rangle_T = \int_0^T () dt$$

$$\Omega \langle \sigma_{\alpha\beta} \rangle_T = \left\langle \int_{\partial\Omega} x_{\alpha} t_{\beta} dA \right\rangle_T + \left\langle \int_{\Omega} \rho b_{\beta} x_{\alpha} d\Omega \right\rangle_T + \boxed{\left\langle \int_{\Omega} \rho v_{\beta} v_{\alpha} d\Omega \right\rangle_T}$$

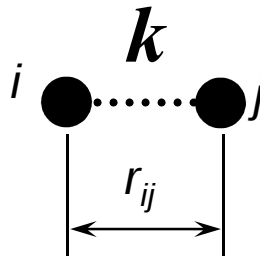
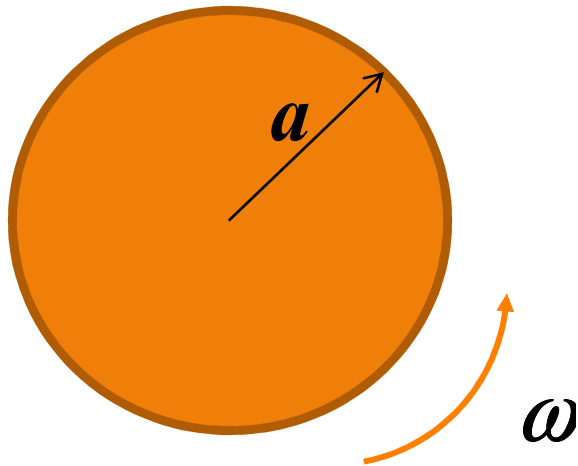
The average stress tensor - relative motion



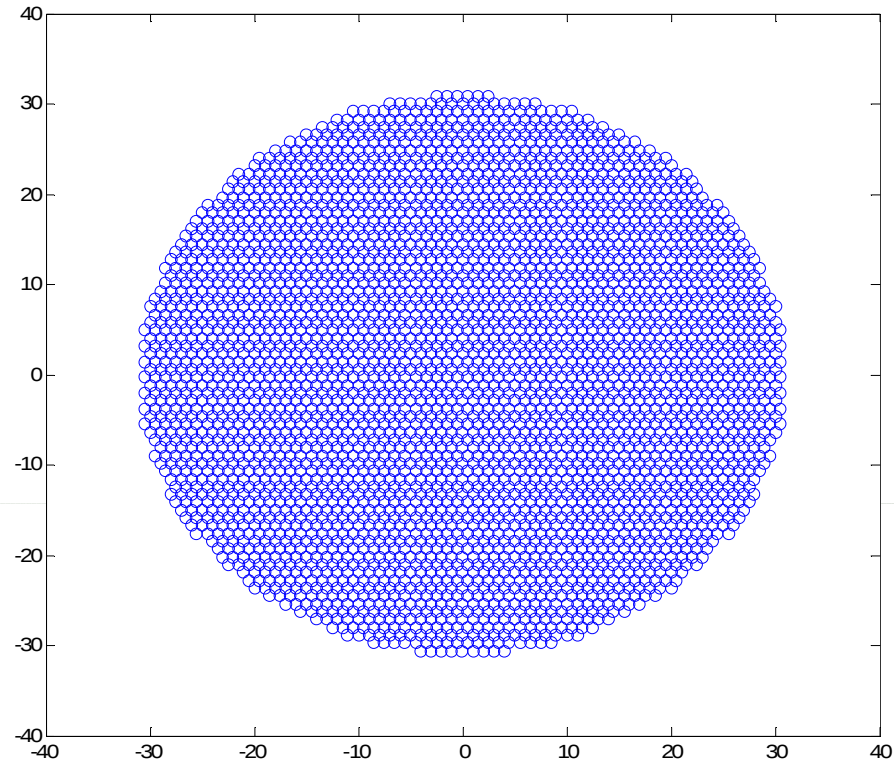
$$\Omega \langle \sigma_{\alpha\beta} \rangle_T = \left\langle \int_{\partial\Omega} \tilde{x}_\alpha t_\beta dA \right\rangle_T + \left\langle \int_{\Omega} \rho b_\beta \tilde{x}_\alpha d\Omega \right\rangle_T + \left\langle \int_{\Omega} \rho \tilde{v}_\beta \tilde{v}_\alpha d\Omega \right\rangle_T$$

$$\Omega \langle \sigma_{\alpha\beta} \rangle_T = \left\langle 1/2 \sum_{k=1}^N \left({}^e f_\alpha^{(k)} \tilde{x}_\beta^{(k)} + {}^e f_\beta^{(k)} \tilde{x}_\alpha^{(k)} \right) \right\rangle_T + \left\langle \sum_{k=1}^N m^{(k)} \tilde{v}_\alpha^{(k)} \tilde{v}_\beta^{(k)} \right\rangle_T$$

The average stress tensor – rotating disk



$$U_{Hooke} = \frac{k}{2} \left(|r^{(ij)}| - d \right)$$



$N = 3486$
 $m = 1$
 $k = 1$
 $a = 30.9 \text{ units}$

The average stress tensor – rotating disk Linear elasticity solution

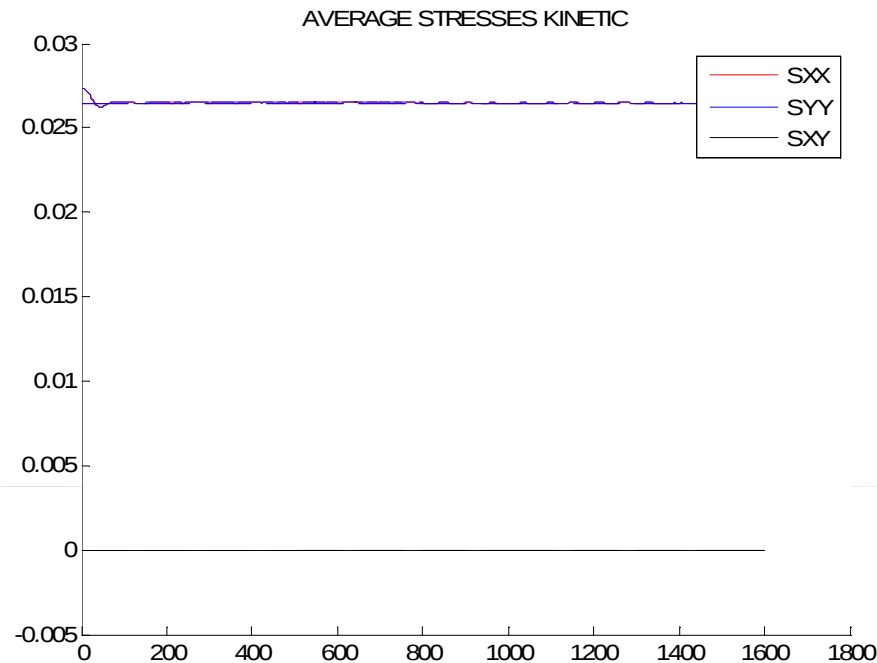
$$\lambda = G = \sqrt{3/16}k$$

$$\sigma_{rr}(r) = \frac{\rho\omega^2}{12} (5a^2 - 5r^2), \quad \sigma_{\theta\theta}(r) = \frac{\rho\omega^2}{12} (5a^2 - 3r^2)$$

$$\bar{\sigma}_{\alpha\beta} = \frac{\rho\omega^2}{12\pi a^2} \int_0^{2\pi} \int_0^a \left(\begin{bmatrix} c\theta & s\theta \\ -s\theta & c\theta \end{bmatrix}^T \begin{bmatrix} 5a^2 - 5r^2 & 0 \\ 0 & 5a^2 - 3r^2 \end{bmatrix} \begin{bmatrix} c\theta & s\theta \\ -s\theta & c\theta \end{bmatrix} \right) r \cdot dr \cdot d\theta$$

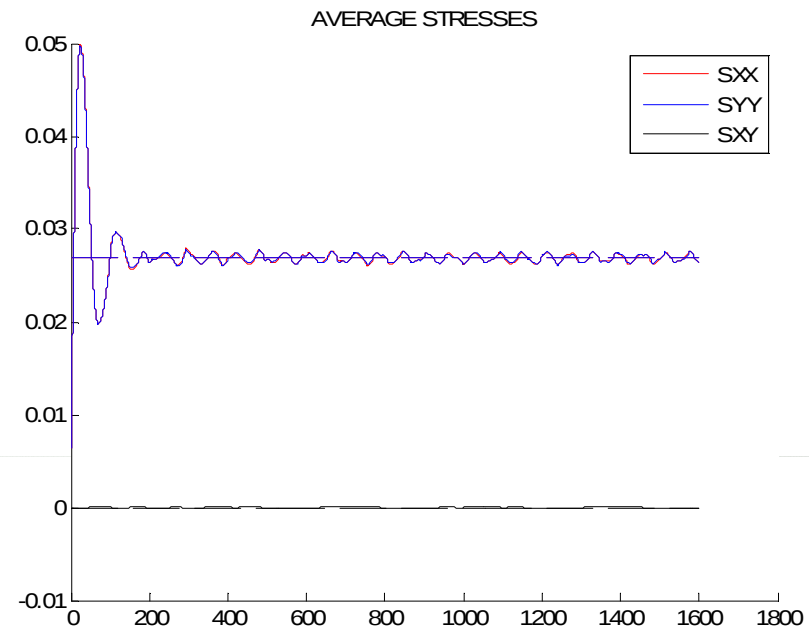
$$\bar{\sigma}_{\alpha\beta} = \frac{\rho\omega^2 a^2}{4} \delta_{\alpha\beta} = 0.02774$$

The average stress tensor – rotating disk



SXX_AVERAGE = 0.0269
SYY_AVERAGE = 0.0269
SXY_AVERAGE = -1.2158e-006

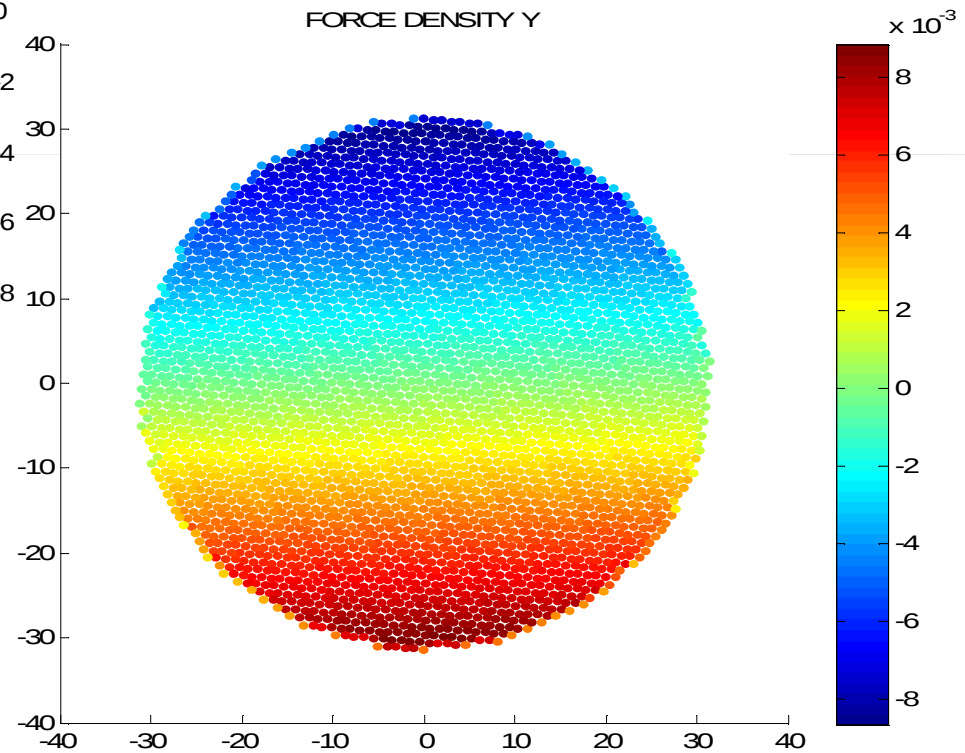
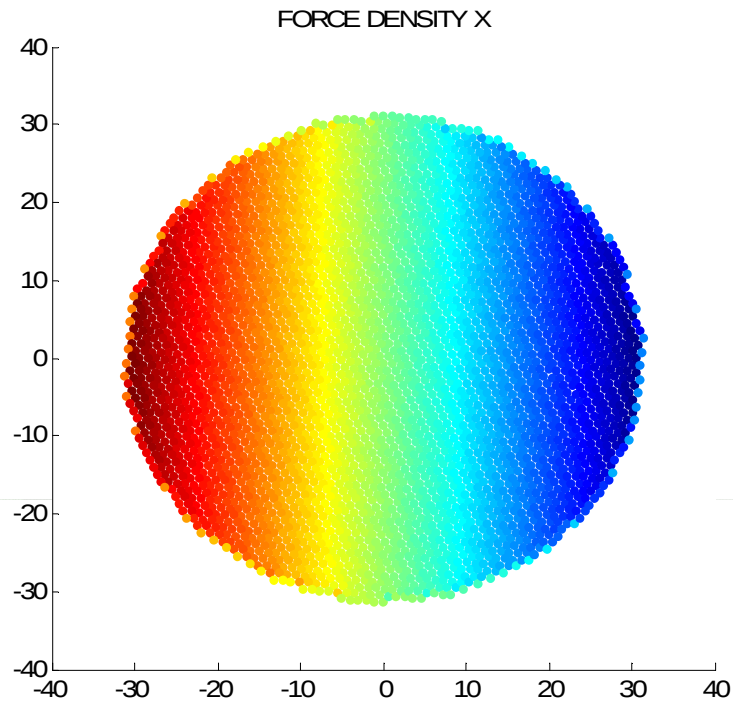
SXX_AVERAGEKIN = 0.0265
SYY_AVERAGEKIN = 0.0265
SXY_AVERAGEKIN = 1.0716e-008



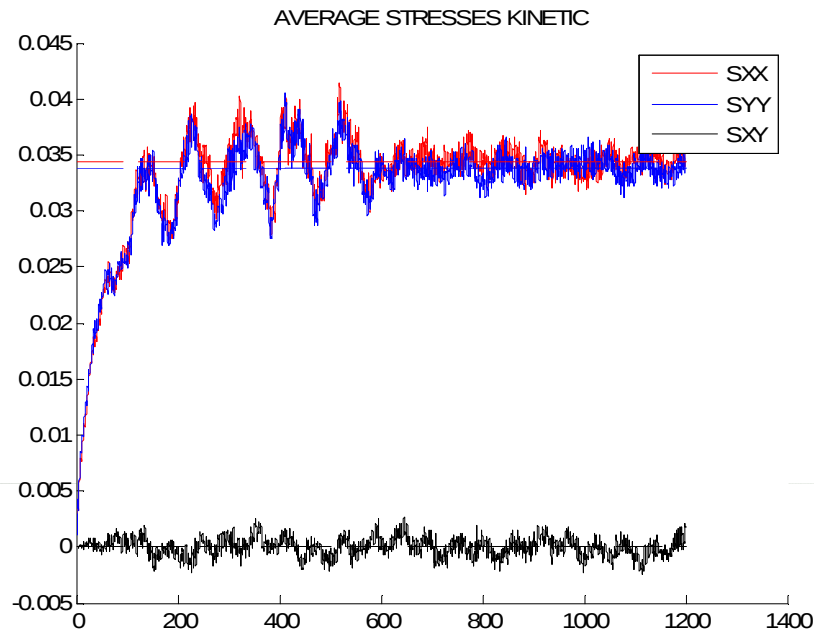
$\omega = 0.01$ [rad/sg]
 $KT = 0.0$
(reduced temperature)

$\varepsilon_r \approx 1.5\%$

The average stress tensor – rotating disk



The average stress tensor – rotating disk



$SXX_AVERAGE = 0.0340$

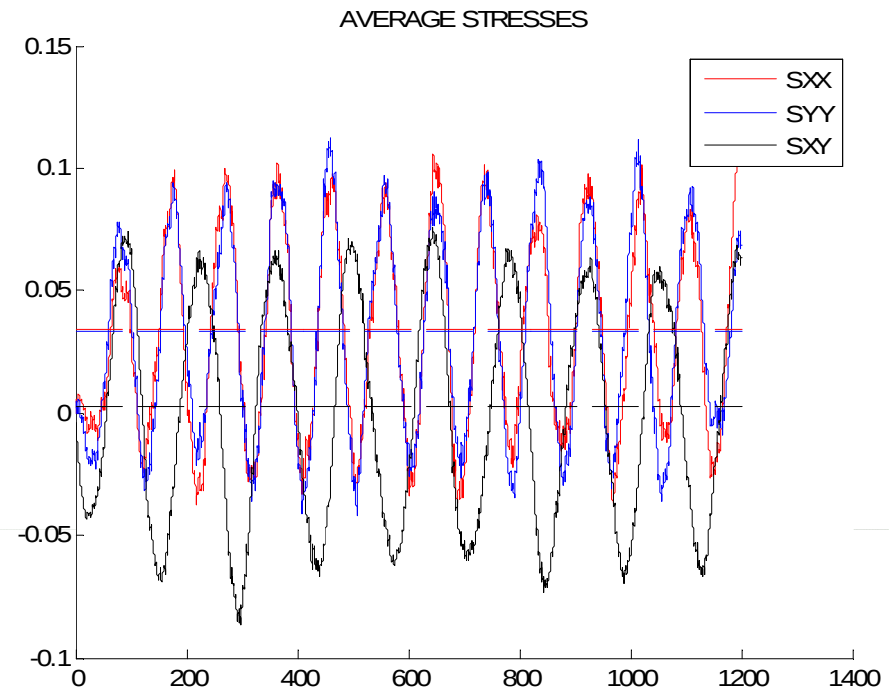
$SYY_AVERAGE = 0.0334$

$SXY_AVERAGE = 0.0028$

$SXX_AVERAGEKIN = 0.0344$

$SYY_AVERAGEKIN = 0.0338$

$SXY_AVERAGEKIN = -1.9971e-005$



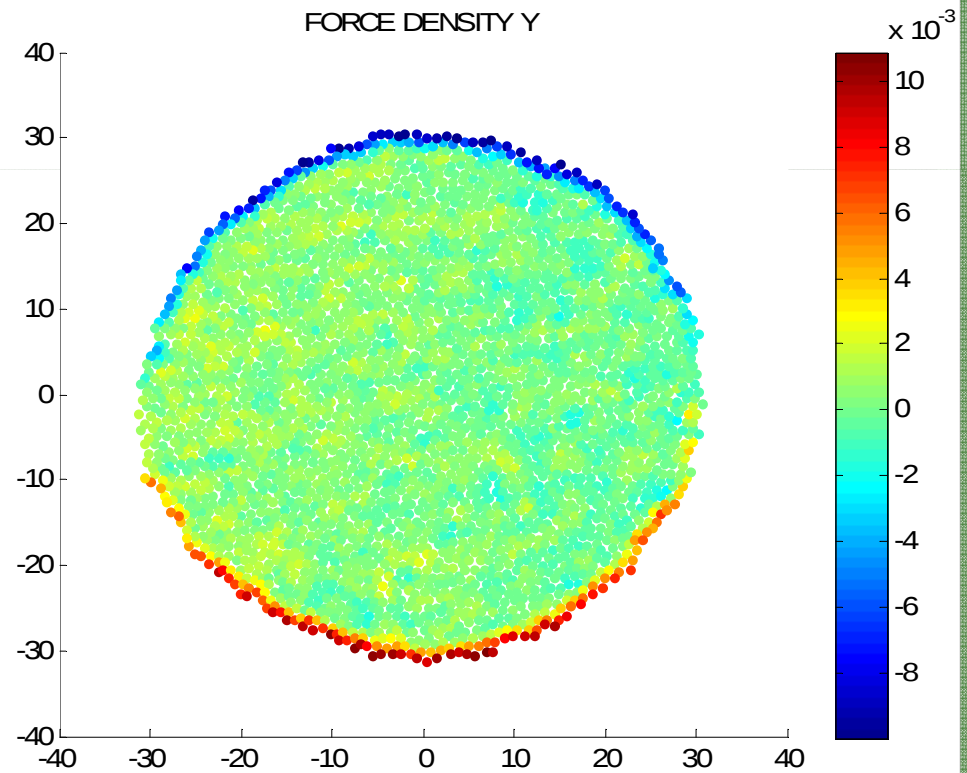
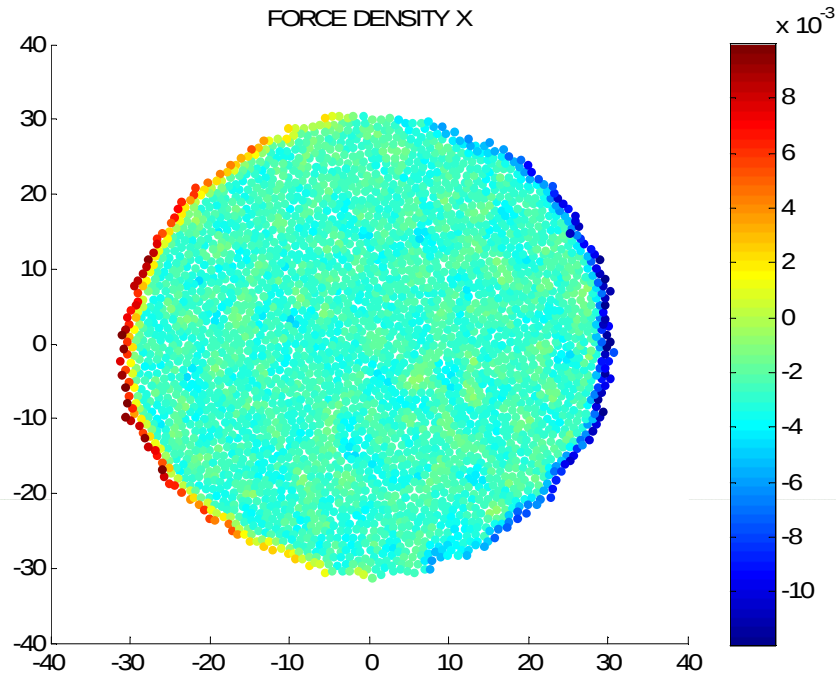
$\omega = 0.0001 \text{ [rad/sg]}$

$KT = 0.03$

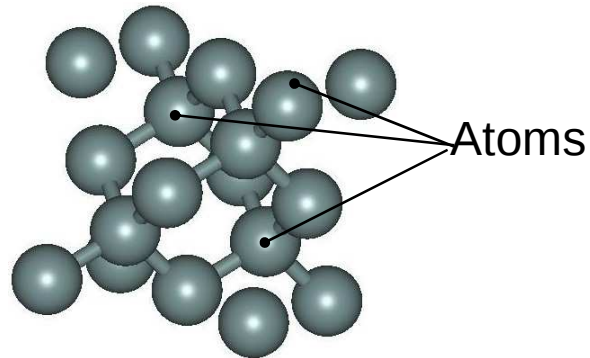
(reduced temperature)

$\varepsilon_r \approx 1.2\%$

The average stress tensor – rotating disk

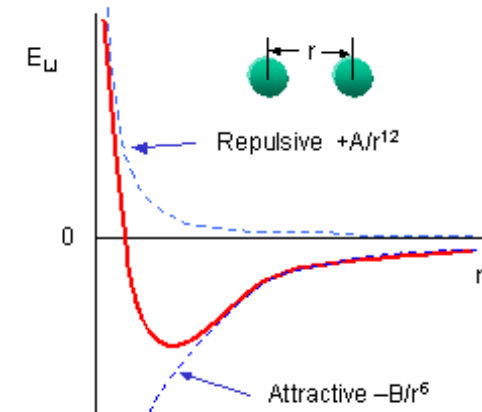


Interatomic potentials



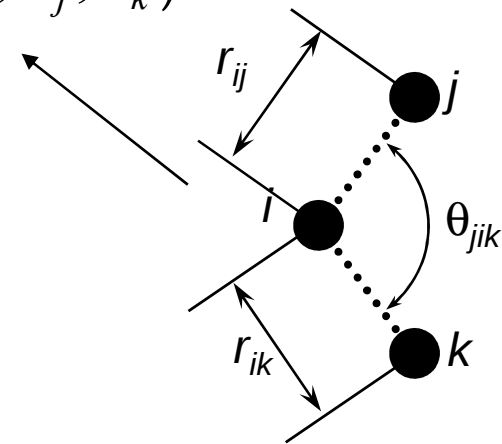
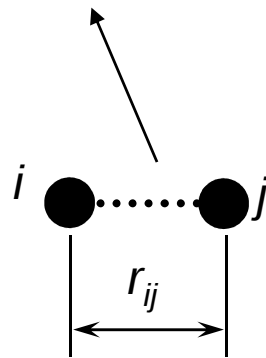
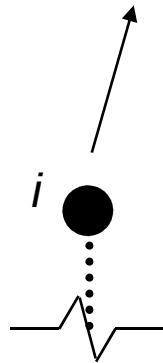
Newton 2nd law

$$m\ddot{\vec{x}}_i = \vec{F}_i = -\vec{\nabla} U$$



Interatomic potential (general form)

$$U = \phi_1(\vec{x}_i) + \sum_{j \neq i} \phi_2(\vec{x}_i, \vec{x}_j) + \sum_{j \neq i} \sum_{k \neq j} \phi_3(\vec{x}_i, \vec{x}_j, \vec{x}_k) + \dots$$



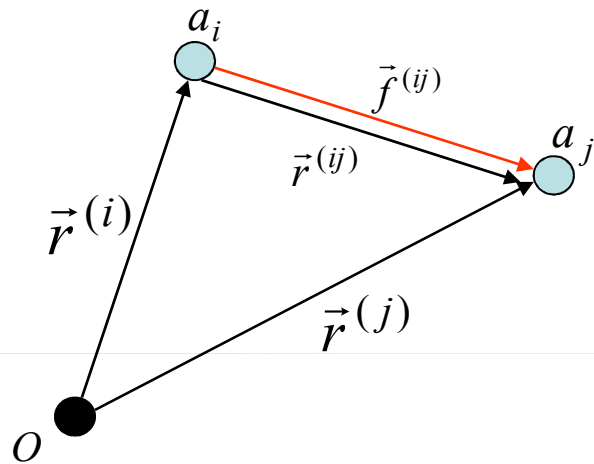
Interatomic potentials

Atomic scale

$(1\text{nm})^3 - (100\text{nm})^3$: ns

Inter-atomic potential and inter-atomic forces

*Tight-Binding (TB) potential
(Cleri and Rosato, 1993)*

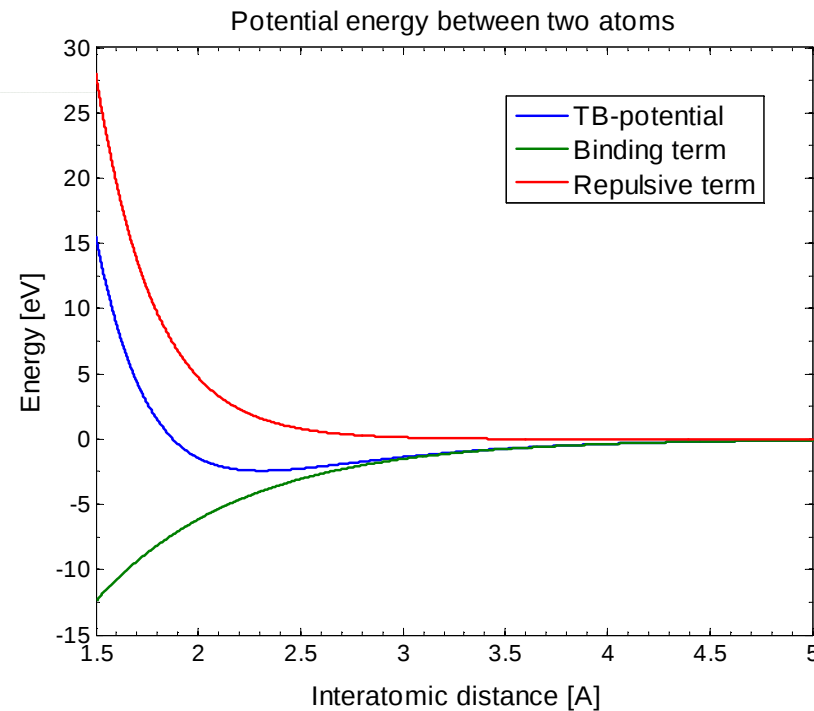


$$V^{(i)} = - \left(\sum_{\substack{j=1 \\ j \neq i}}^N \zeta^2 \exp \left(-2D \left(\frac{r^{(ij)}}{r_0} - 1 \right) \right) \right)^{1/2} + \sum_{\substack{j=1 \\ j \neq i}}^N M \exp \left(-\tilde{P} \left(\frac{r^{(ij)}}{r_0} - 1 \right) \right)$$

$$V = \sum_{i=1}^N V^{(i)}$$

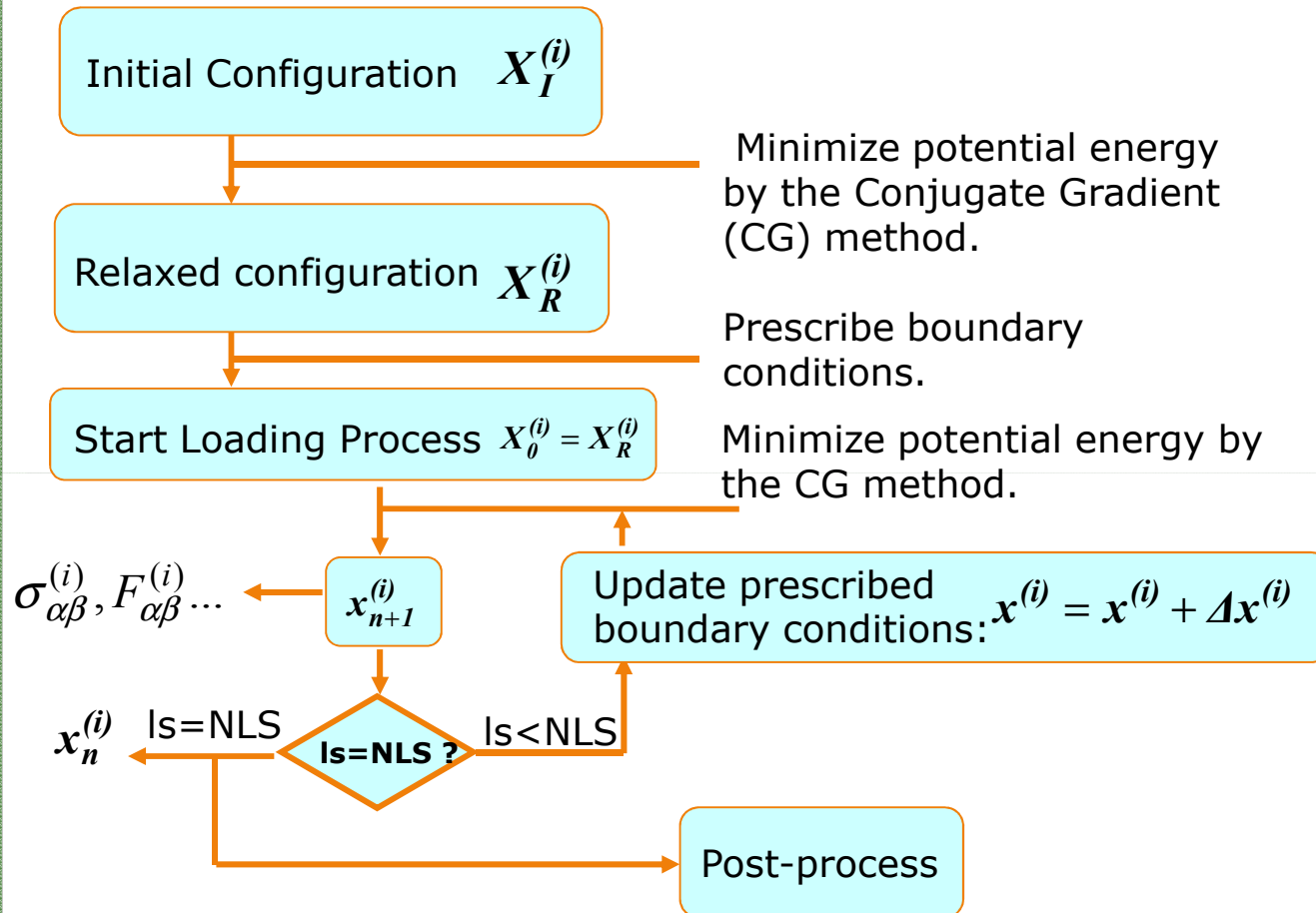
$$f_{\alpha}^{(ij)} = - \frac{\partial V}{\partial r_{\alpha}^{(ij)}}$$

$$f_{\alpha}^{(i)} = - \frac{\partial V}{\partial r_{\alpha}^{(i)}}$$



Interatomic potentials

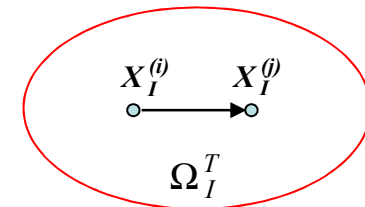
Simulation of mechanical tests



Convergence criterion:

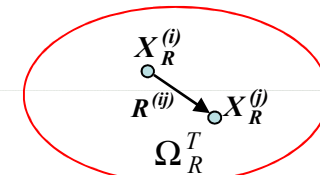
$$\max \left\{ \frac{\partial V}{\partial q_\beta} \right\} \leq 1E-8 \frac{\text{eV}}{\text{\AA}}$$

Initial Configuration



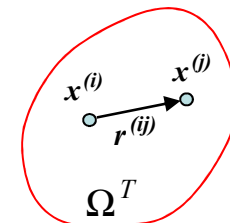
Relaxation

Relaxed Configuration



Deformation

Current Configuration



Mechanical tests

Determination of macroscopic stresses

Average stress tensor : $\bar{\sigma}_{\alpha\beta} = \frac{1}{\Omega^T} \int_{\Omega} \sigma_{\alpha\beta} d\Omega$

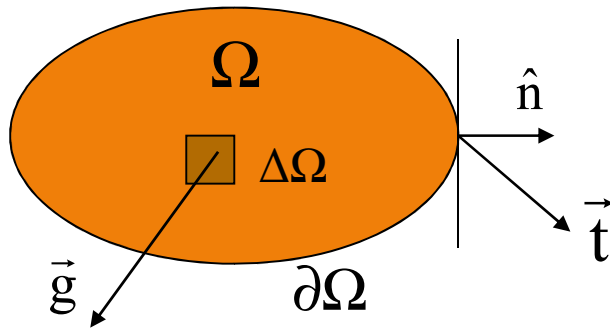
Global balance of Linear Momentum :

$$\int_{\partial\Omega} t_{\alpha} dS + \int_{\Omega} g_{\alpha} d\Omega = 0$$

Local balance of Linear Momentum :

$$\sigma_{\beta\alpha,\beta} + g_{\alpha} = 0 \text{ in } \Omega$$

$$\bar{\sigma}_{\alpha\beta} = \boxed{\frac{1}{\Omega^T} \int_{\partial\Omega} t_{\alpha} x_{\beta} dS} + \frac{1}{\Omega^T} \int_{\Omega} g_{\alpha} x_{\beta} d\Omega$$



$$\bar{\sigma}_{\alpha\beta} = \frac{1}{\Omega^T} \sum_{i=1}^{Nb} x_{\beta}^{(i)} f_{\alpha}^{(i)}$$

Deformation gradient

$\vec{R}^{(i)}$: Position of atom (i) in reference config.

$\vec{r}^{(i)}$: Position of atom (i) in current config.

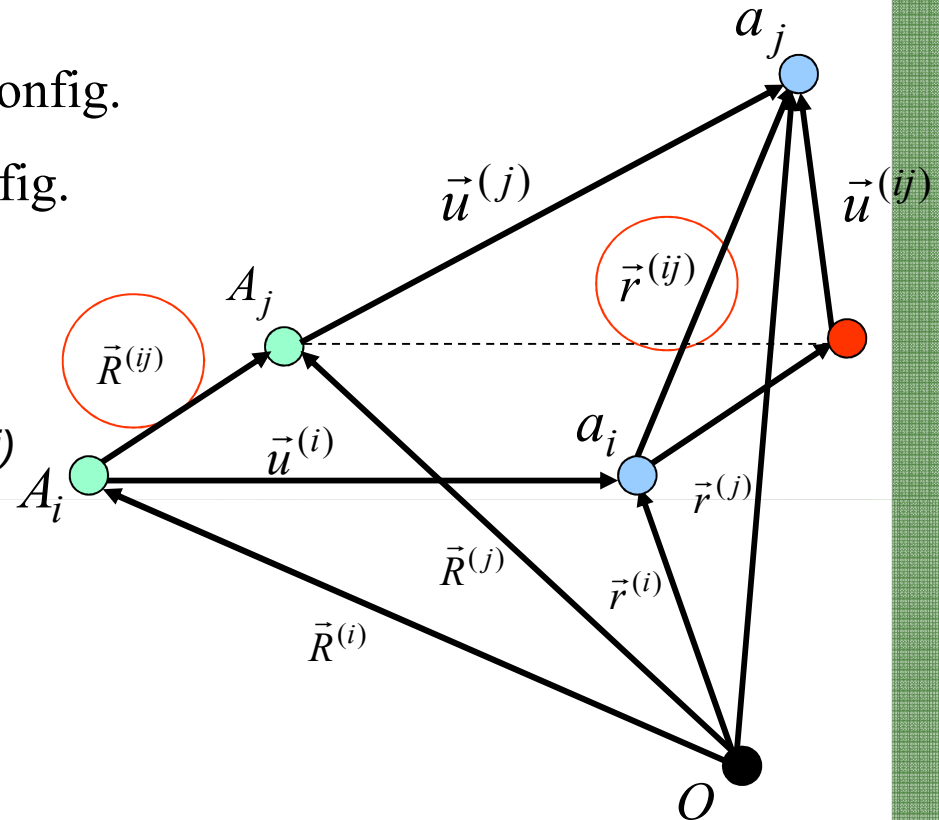
$\mathbf{F}^{(i)}$: Deformation gradient of atom (i)

$$r_{\alpha}^{(ij)} = F_{\alpha\beta}^{(i)} R_{\beta}^{(ij)} \quad \text{No summation in } (i)$$

Cauchy-Born rule

Least Squares Method (LSM):

$$F^{(i)} = \left(\sum_j^N \vec{R}^{(ij)} \otimes \vec{R}^{(ij)} \right)^{-1} \left(\sum_j^N \vec{R}^{(ij)} \otimes \vec{r}^{(ij)} \right)$$



(Zimmerman, 2000; Sunyk and Steinmann, 2003; Li, 2005)

LSM in conjunction with smoothing functions (Gullett et al., 2008)

Determination of macroscopic strains (MSPH – Modified Smoothed Particle Hydrodynamics)

Values $\varphi^{(i)}$ of $\varphi(\mathbf{X})$ at N points in domain Ω are known

Taylor series
around $\mathbf{x}^{(i)}$

$$\varphi(\xi) \approx \varphi(X^{(i)}) + \frac{\partial \varphi}{\partial X_{\alpha}^{(i)}} (\xi_{\alpha} - X_{\alpha}^{(i)}) + \frac{1}{2} \frac{\partial^2 \varphi}{\partial X_{\alpha}^{(i)} \partial X_{\beta}^{(i)}} (\xi_{\alpha} - X_{\alpha}^{(i)}) (\xi_{\beta} - X_{\beta}^{(i)})$$

Weight with
Kernel function
and derivatives of
kernel function

$$[W; W, \gamma; W, \gamma \delta]$$

Integrate over
 Ω

$$\int_{\Omega} (...) d\Omega$$

Compute
integrals
numerically

Solve for: $\varphi^{(i)}; \varphi_{,\alpha}^{(i)}; \varphi_{,\alpha\beta}^{(i)}$

*Solve for $x_{\alpha,\beta}^{(i)}$
and compute $F_{\alpha\beta}^{(i)}$*

(Zhang and Batra, 2004)

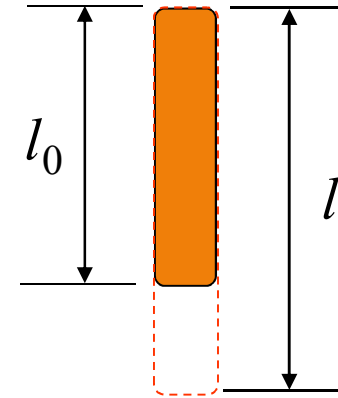
Stress and strain tensors

Determination of macroscopic strains

Almansi-Hamel strain
tensor :

$$\varepsilon = \frac{l^2 - l_0^2}{2l^2}$$

$$\varepsilon_{\alpha\beta}^{(i)} = (1/2) \left(\delta_{\alpha\beta} - (F^{-1})_{\phi\alpha}^{(i)} (F^{-1})_{\phi\beta}^{(i)} \right)$$



Green-St. Venant strain tensor : $\tilde{E}_{\alpha\beta}^{(i)} = (1/2) \left((F)_{\phi\alpha}^{(i)} (F)_{\phi\beta}^{(i)} - \delta_{\alpha\beta} \right)$

$$\tilde{E} = \frac{l^2 - l_0^2}{2l_0^2}$$

$$\text{Average strain tensor : } \bar{\varepsilon}_{\alpha\beta} = \frac{1}{\Omega^T} \int_{\Omega} \varepsilon_{\alpha\beta}(\mathbf{x}) d\Omega = \sum_{i=1}^N \frac{\Omega^{(i)}}{\Omega^T} \varepsilon_{\alpha\beta}^{(i)}$$

Gold specimens used in the different mechanical tests

Planes parallel to [100], [010] and [001].

L/H	L (Å)	No. atoms	$\frac{\Delta V}{V_I}$ (eV/eV)	$\frac{\Delta \Omega^T}{\Omega_I^T}$ (Å ³ /Å ³)	ε (Å/Å)
1	~32	3480	3.763e-3	-2.021e-2	-2.081e-2
1	~50	7813	2.549e-3	-1.486e-2	-1.713e-2
1	~100	58825	1.044e-3	-6.923e-3	-1.036e-2
3	~110	9928	2.616e-3	-1.531e-2	-1.589e-2
5	~188	16787	2.369e-3	-1.518e-2	-1.518e-2
10	~367	32671	2.197e-3	-1.361e-2	-1.469e-2
20	~742	65883	2.105e-3	-1.316e-2	-1.430e-2

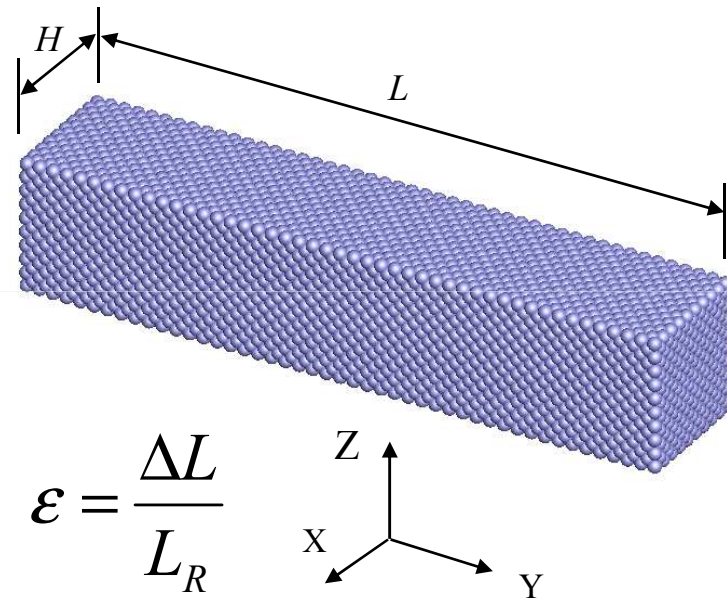


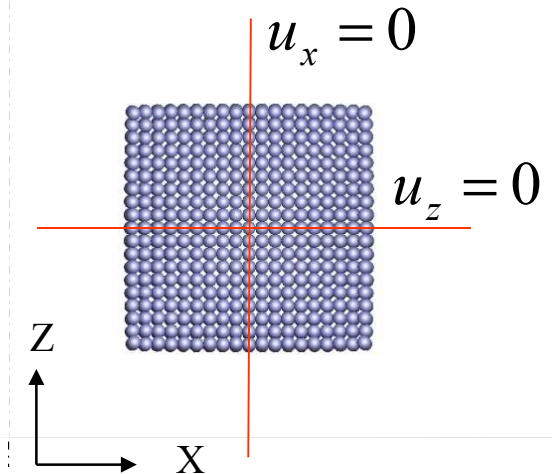
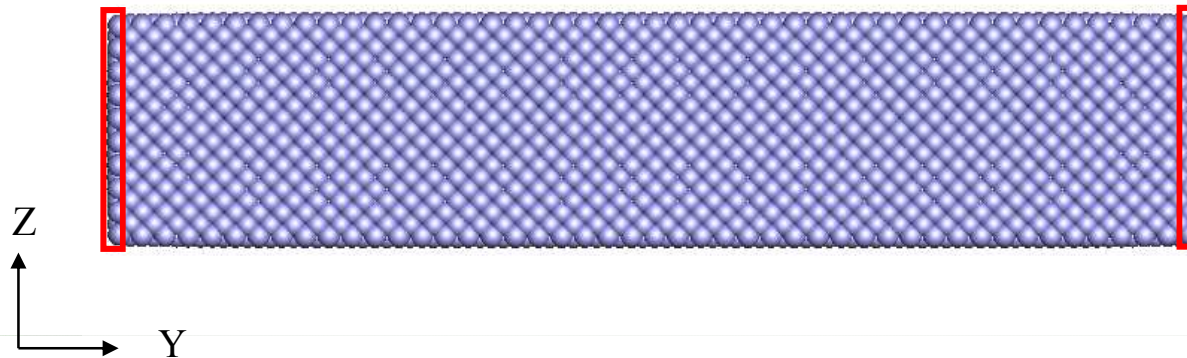
Table: For specimens with different L/H ratios, the change in the total potential energy (V), the change in the total volume (Ω^T), and the axial strain (ε) upon relaxation.

Tensile/compressive deformations in gold crystals

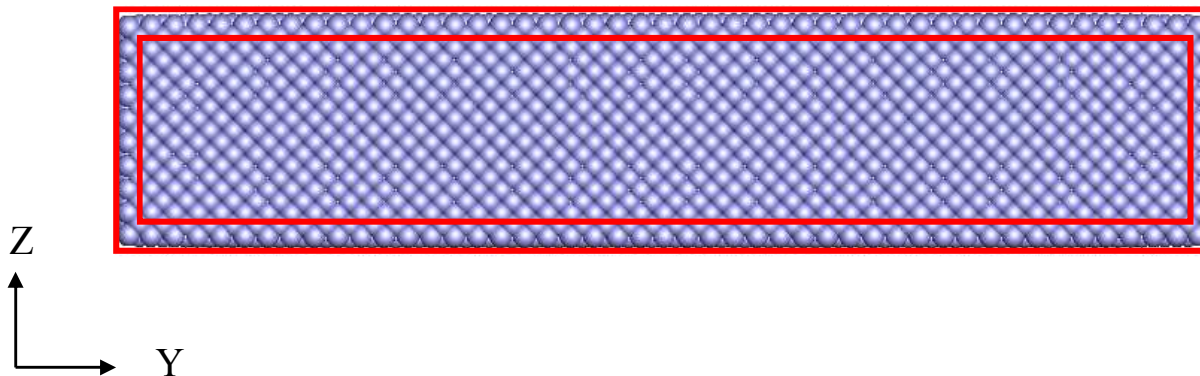
Specimens: $1 \leq L/H \leq 20$

Simple tension/compression

$$u_y = u_y + \Delta u_y$$



Tension/compression with prescribed essential B.C.s.
on all bounding surfaces



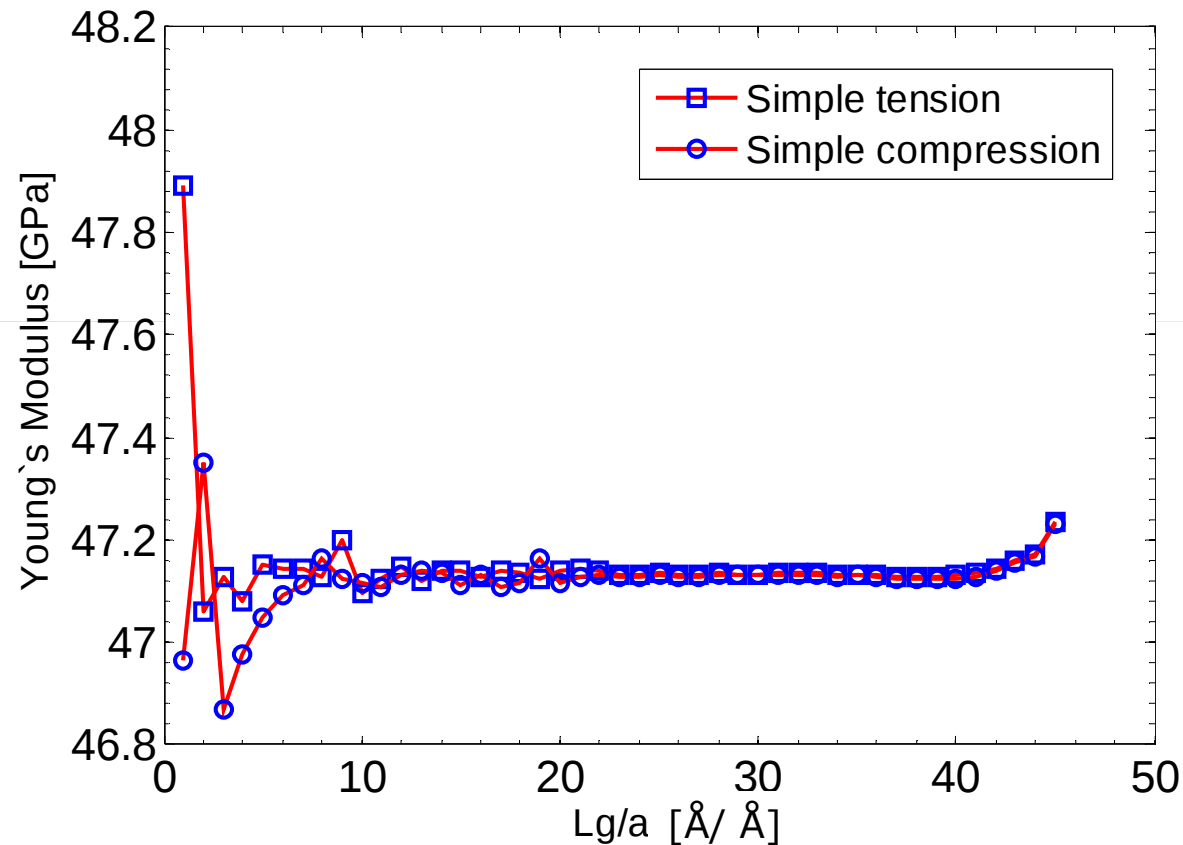
$$\mathbf{F} = \text{diag}\{\lambda_1, \lambda_2, \lambda_3\}$$

$$\lambda_1 \lambda_2 \lambda_3 = 1$$

$$\lambda_1 = \lambda_3 = 1/\sqrt{\lambda_2}$$

Elastic constants gold crystals (Young's modulus E)

L/H = 10



Value from elastic constants:

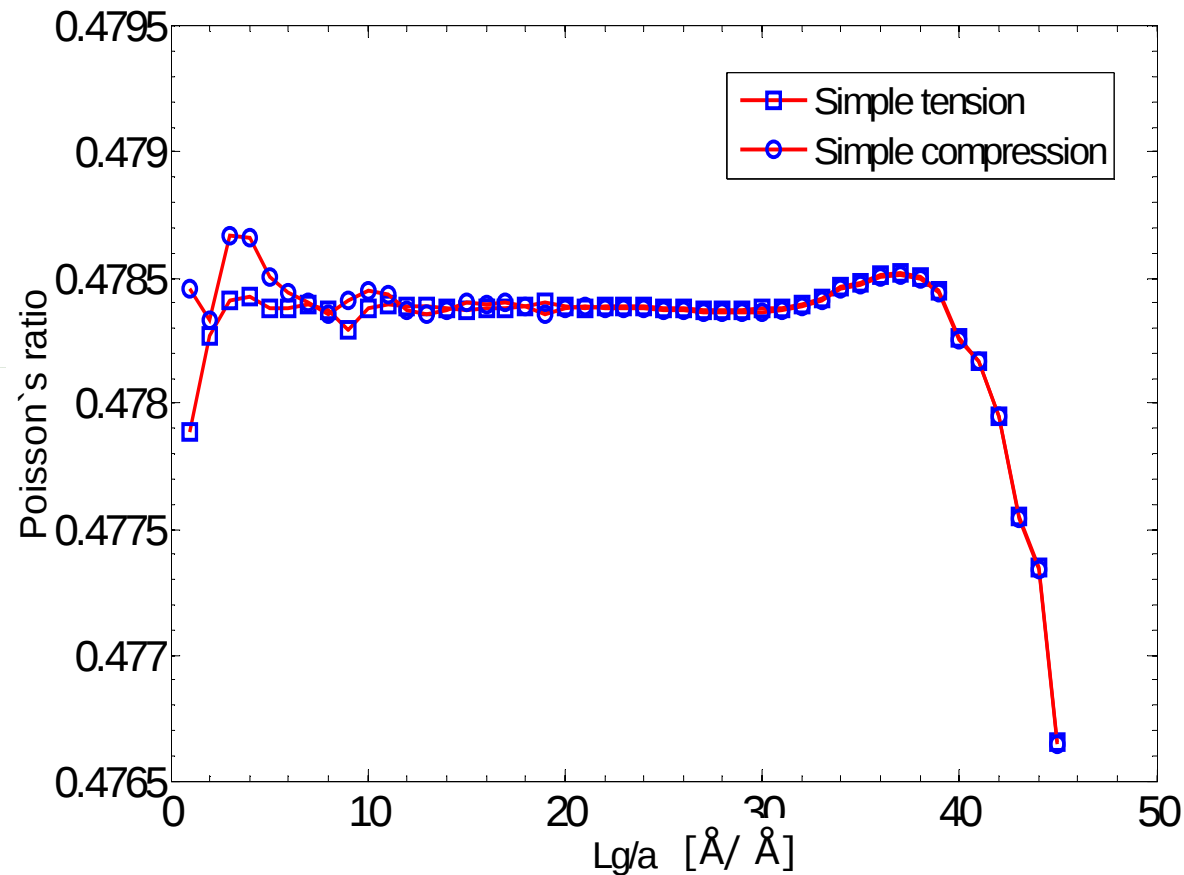
$$E = \frac{\tilde{C}_{11}^2 + \tilde{C}_{12}\tilde{C}_{11} - 2\tilde{C}_{12}^2}{\tilde{C}_{11} + \tilde{C}_{12}}$$

$$E = 46.5 \text{ [GPa]}$$

L_g : Length of the averaging volume

Elastic constants gold crystals (Poisson's ratio ν)

L/H = 10



Value from elastic constants:

$$\nu = -\frac{\varepsilon_{xx}}{\varepsilon_{yy}} = \frac{\tilde{C}_{12}}{\tilde{C}_{11} + \tilde{C}_{12}}$$

$$\nu = 0.453$$

L_g : Length of the averaging volume

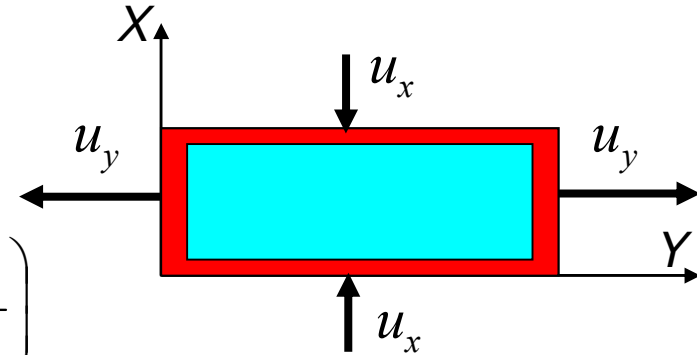
Stress tensors - Tension/compression with prescribed essential B.C.s. on all bounding surfaces

Strain energy density:

$$W_0(\mathbf{F}) = \sum_i^N \frac{V^{(i)}}{\Omega_R^{(i)}}$$

First Piola-Kirchhoff stress tensor:

$$\bar{\mathbf{P}} = \frac{\partial W_0}{\partial \mathbf{F}} = \frac{\partial}{\partial \mathbf{F}} \left(\sum_{i=1}^N \frac{V^{(i)}}{\Omega_R^{(i)}} \right)$$



$$[\sigma(\lambda_1, \lambda_2, \lambda_3)] = \sum_{\substack{i,k=1 \\ i \neq k}}^N \frac{1}{\Omega^{(i)}} \frac{1}{r^{(ik)}} \frac{\partial V^{(i)}}{\partial r^{(ik)}} [\Theta^{(ik)}(\lambda_1, \lambda_2, \lambda_3)],$$

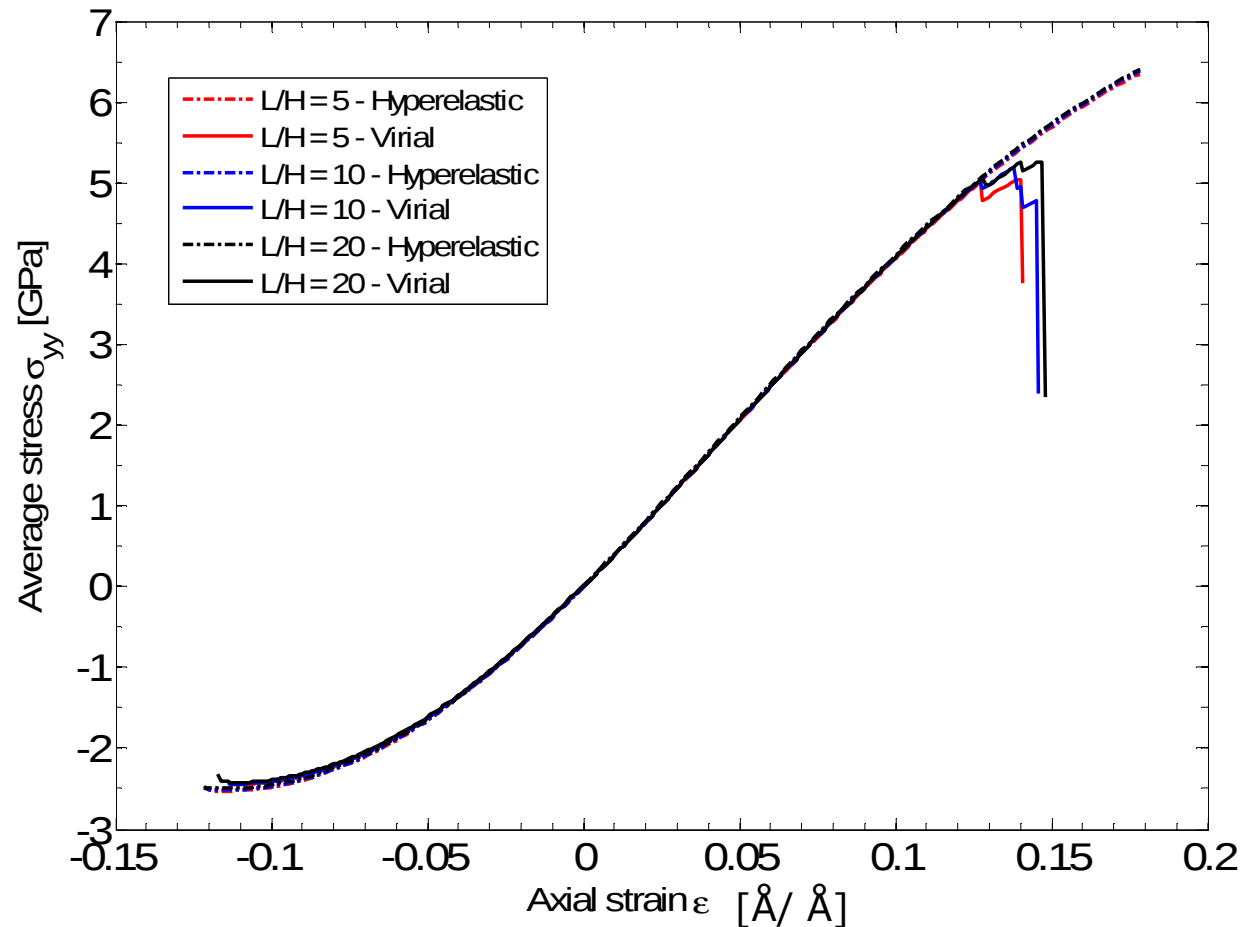
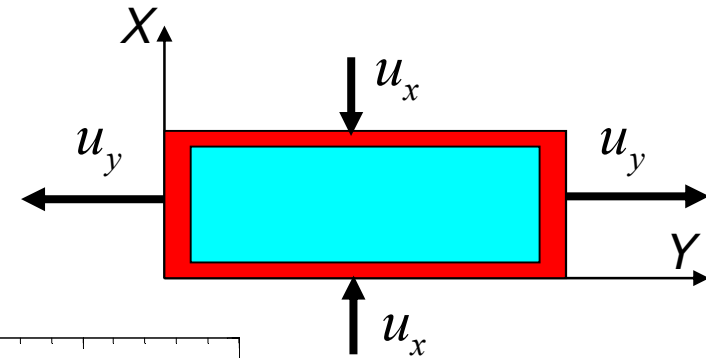
$$\mathbf{P} = J\mathbf{F}^{-1}\boldsymbol{\sigma}$$

where

$$[\Theta^{(ik)}(\lambda_1, \lambda_2, \lambda_3)] = \begin{bmatrix} \lambda_1 \lambda_1 R_{xx}^{(ik)} & \lambda_1 \lambda_2 R_{xy}^{(ik)} & \lambda_1 \lambda_3 R_{xz}^{(ik)} \\ \lambda_2 \lambda_1 R_{yx}^{(ik)} & \lambda_2 \lambda_2 R_{yy}^{(ik)} & \lambda_2 \lambda_3 R_{yz}^{(ik)} \\ \lambda_3 \lambda_1 R_{xx}^{(ik)} & \lambda_3 \lambda_2 R_{zy}^{(ik)} & \lambda_3 \lambda_3 R_{zz}^{(ik)} \end{bmatrix}$$

$$R_{xx}^{(ik)} = R_x^{(ik)} R_x^{(ik)}, R_{xy}^{(ik)} = R_x^{(ik)} R_y^{(ik)} \text{ and so on.}$$

Comparison of average normal stress components computed by different methods



Axial
Tension/compression

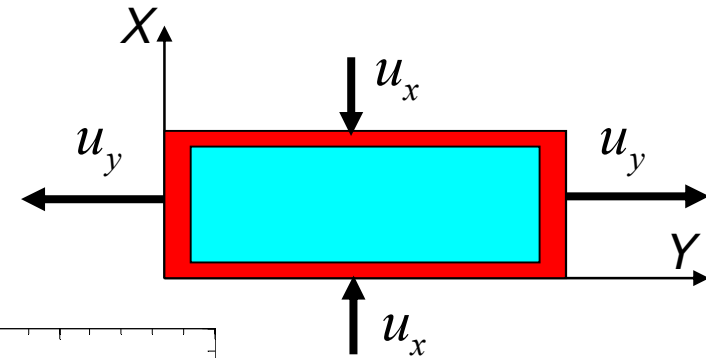
L/H = 5, 10, 20

$$\bar{\sigma}_{xx} = \bar{\sigma}_{zz}$$

$$\bar{\sigma}_{xy} = \bar{\sigma}_{xz} = \bar{\sigma}_{yz} = 0$$

Hyperelastic material & average stresses

Comparison of average normal stress components computed by different methods

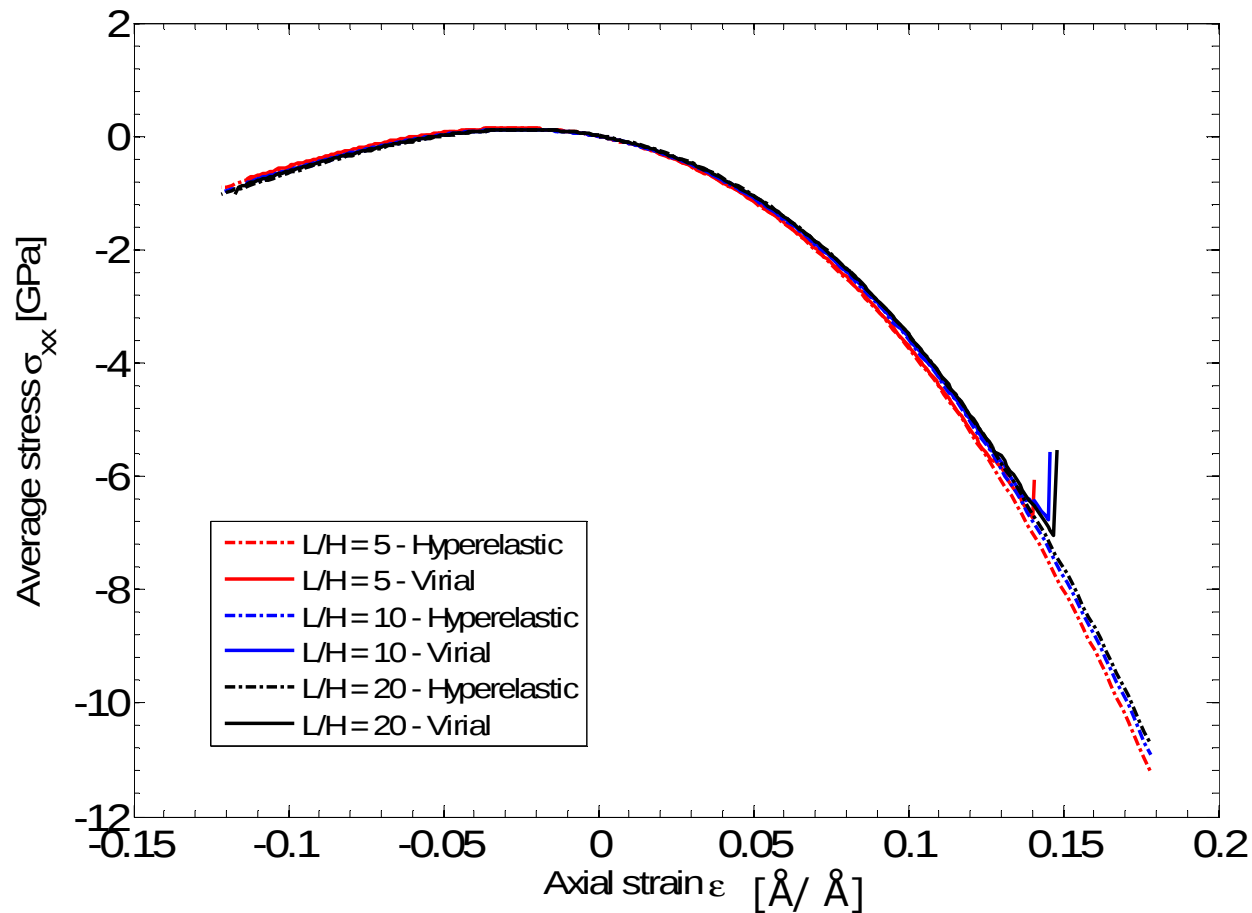


Axial
Tension/compression

L/H = 5, 10, 20

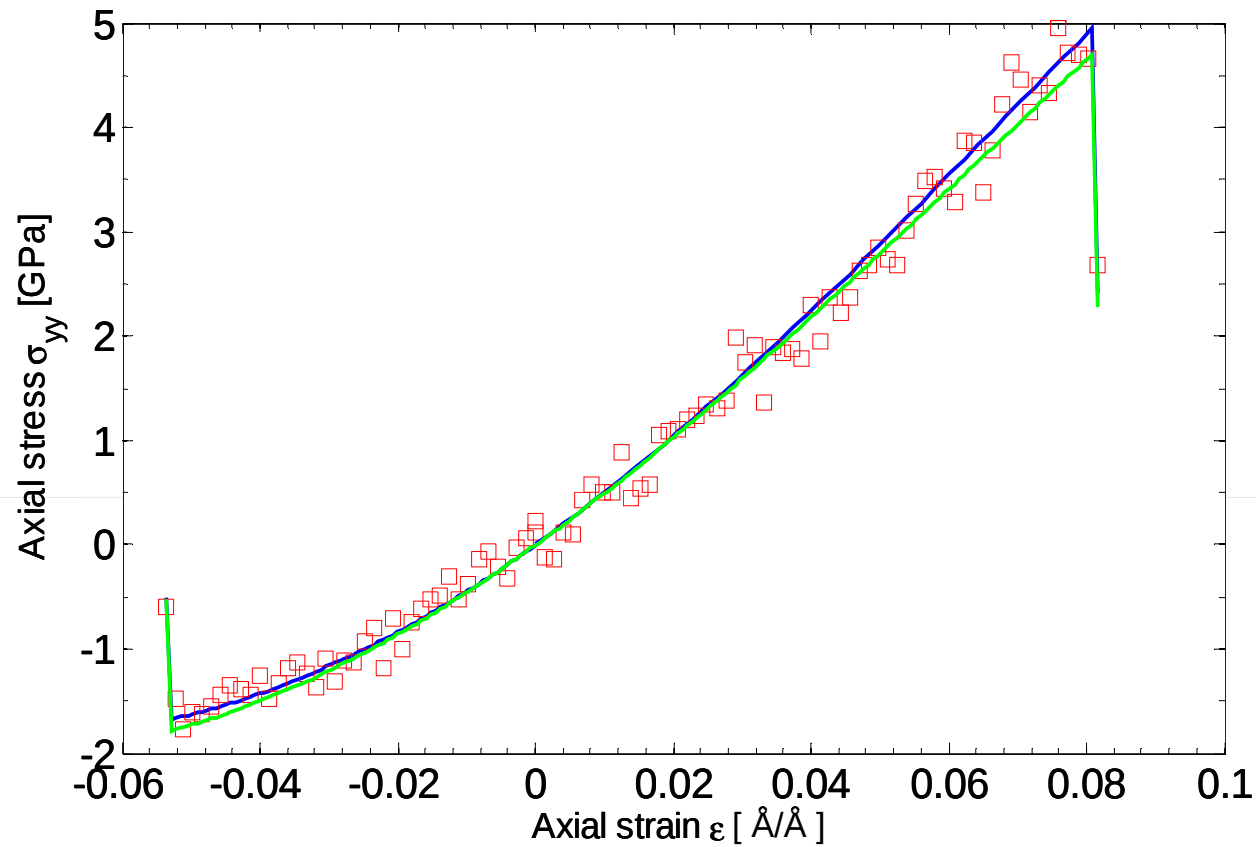
$$\bar{\sigma}_{xx} = \bar{\sigma}_{zz}$$

$$\bar{\sigma}_{xy} = \bar{\sigma}_{xz} = \bar{\sigma}_{yz} = 0$$



Hyperelastic material & average stresses

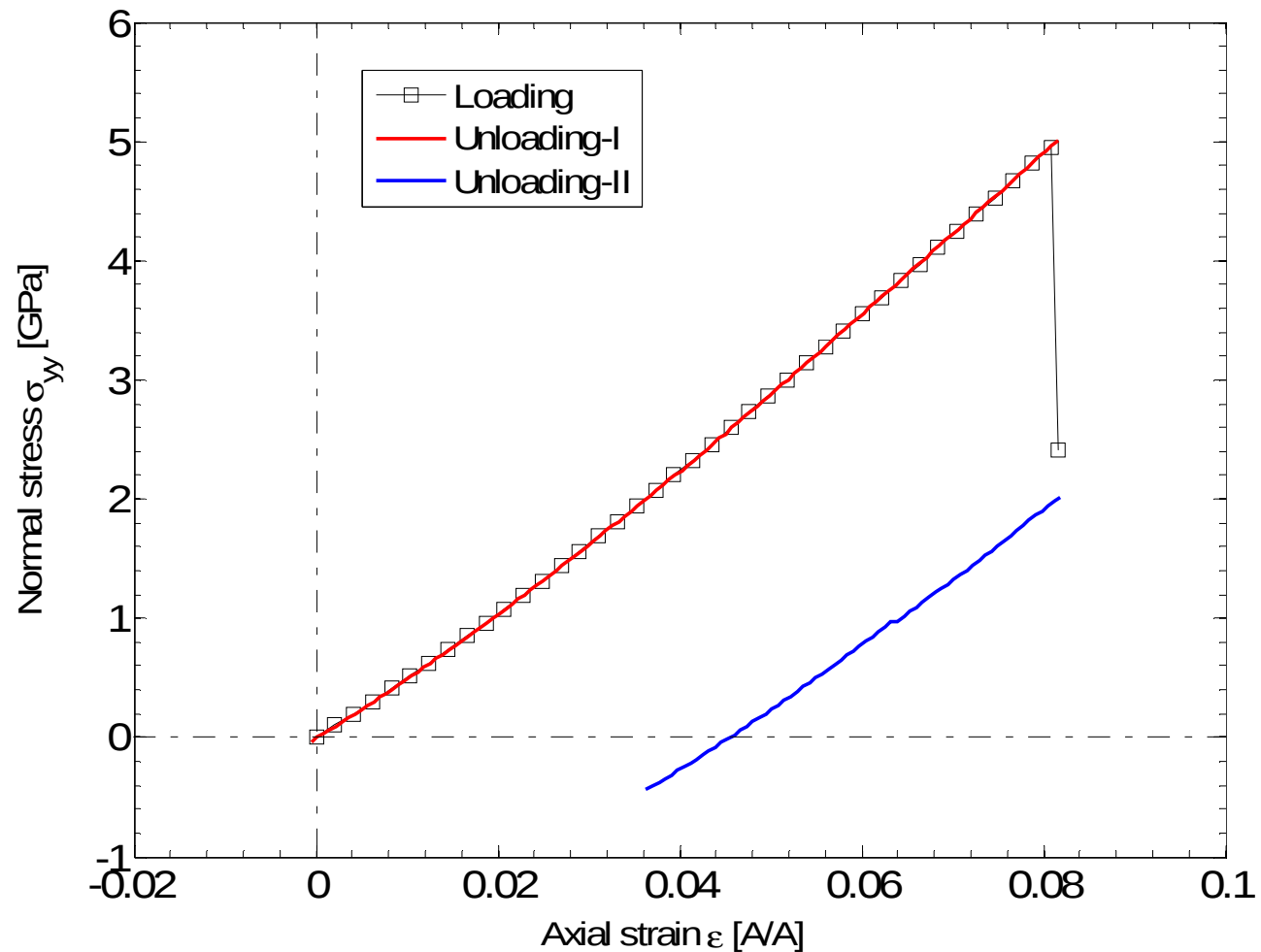
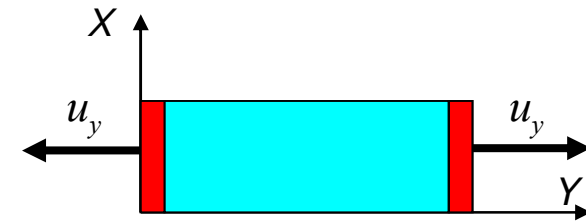
Simple tension/compression – Average axial stress



- Firsts moment of surface forces
- Virial stress
- Force/area

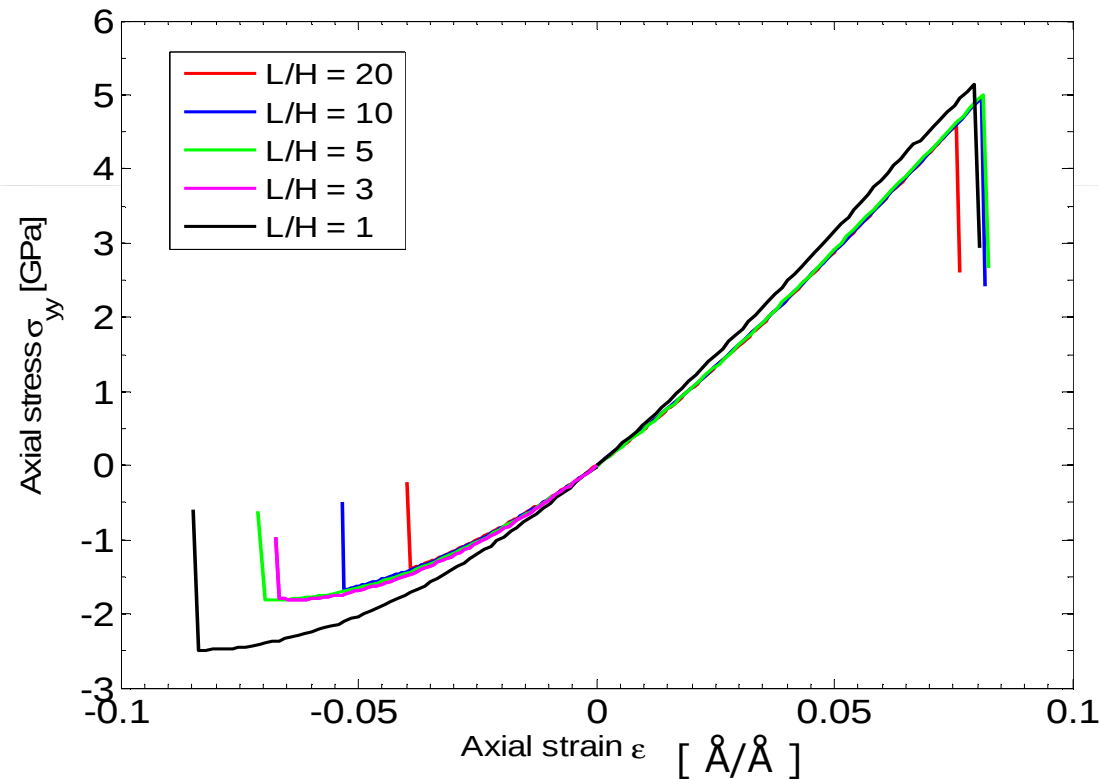
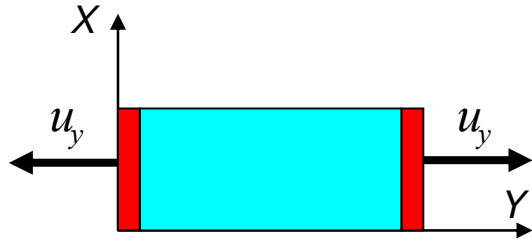
L/H = 10

Simple tension test - Loading and unloading paths



L/H = 10

Simple tension/compression – Values of the yield stress



$$\left(\bar{\sigma}_{yy}^{yield} \right)_{tension}$$

L/H = 1: 5.134 GPa

L/H = 3: 5.050 GPa

L/H = 5: 4.996 GPa

L/H = 10: 4.990 GPa

L/H = 20: 4.618 GPa

$$\left(\bar{\sigma}_{yy}^{yield} \right)_{compression}$$

L/H = 1: -2.498 GPa

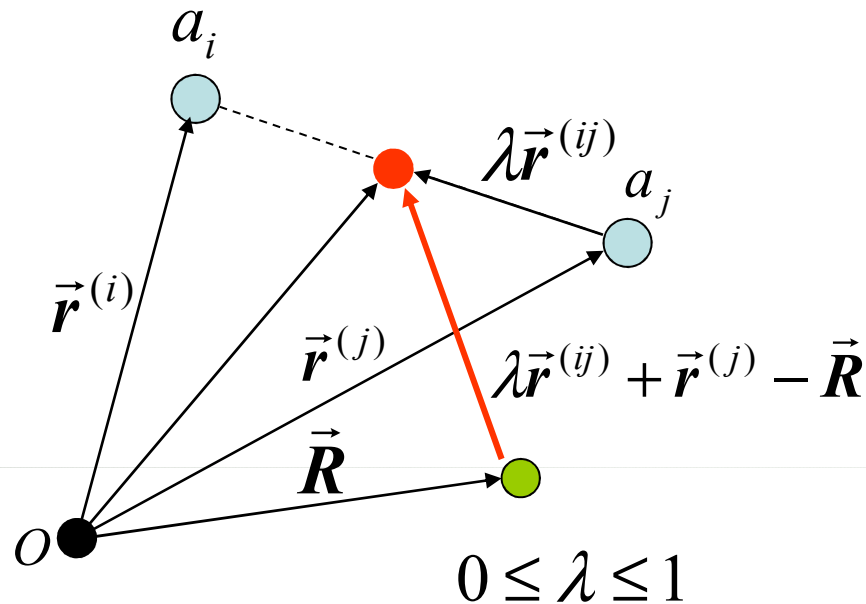
L/H = 3: -1.784 GPa

L/H = 5: -1.810 GPa

L/H = 10: -1.671 GPa

L/H = 20: -1.387 GPa

Computation of local Cauchy stress tensor



$$\text{div} \boldsymbol{\sigma} = \frac{\partial}{\partial \mathbf{R}} \cdot \boldsymbol{\sigma} = \sum_{i=1}^N \vec{f}^{(i)} \Psi(\vec{r}^{(i)} - \vec{R})$$

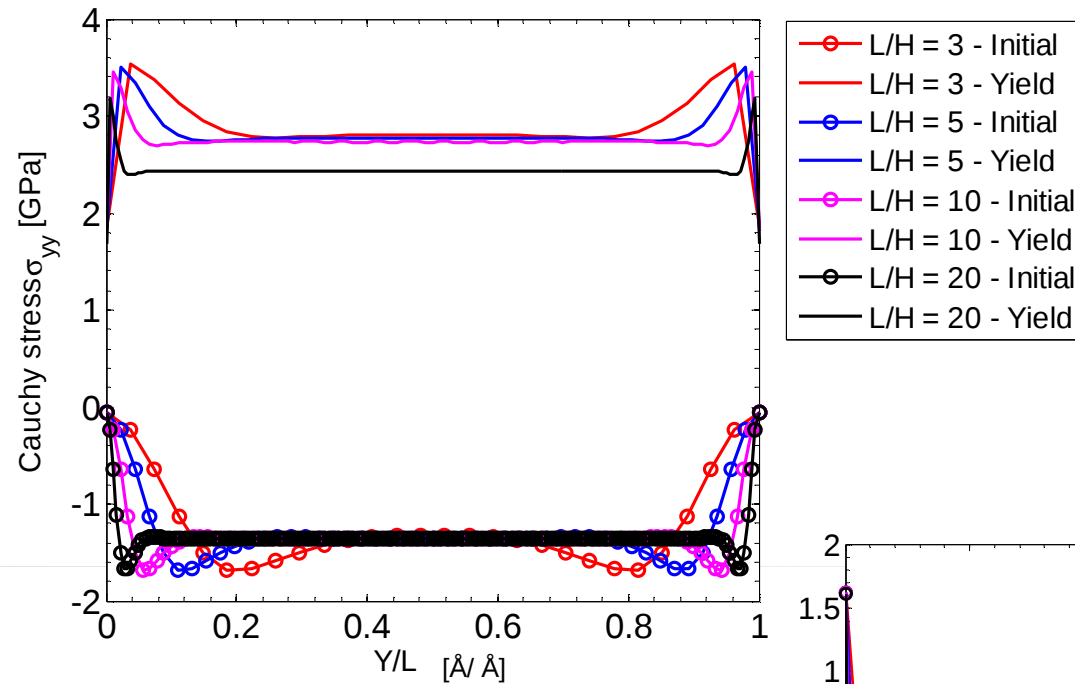
$$\boldsymbol{\sigma} = \frac{1}{2} \sum_{i=1}^N \sum_{\substack{j=1 \\ j \neq i}}^N \vec{r}^{(ij)} \otimes \vec{f}^{(ij)} B^{(ij)}(\vec{R})$$

Bond function: $B^{(ij)}(\vec{R})$ (Hardy, 1981)

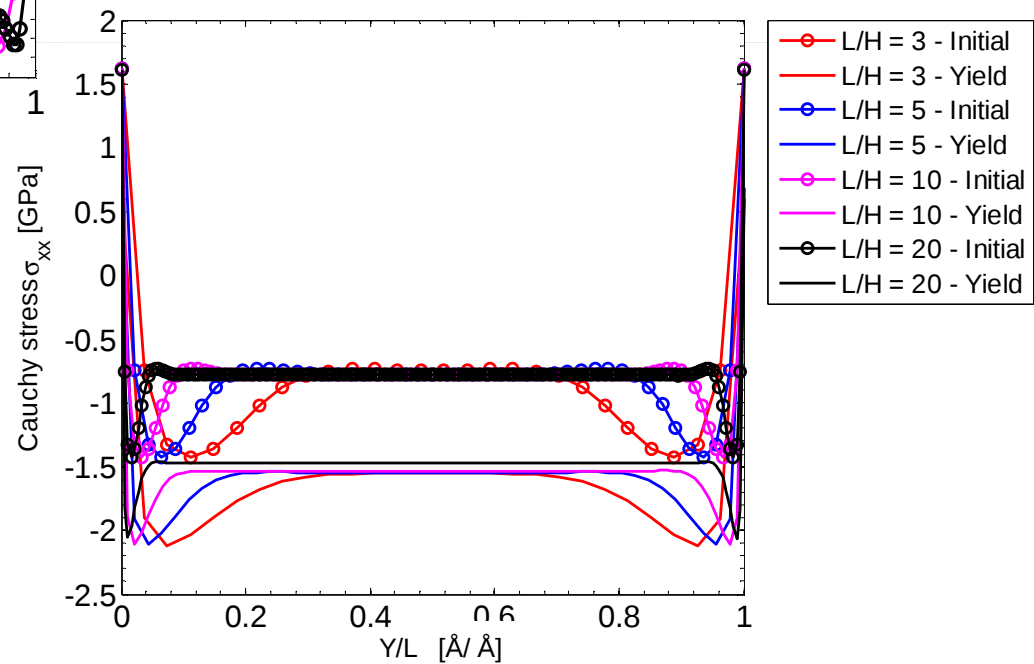
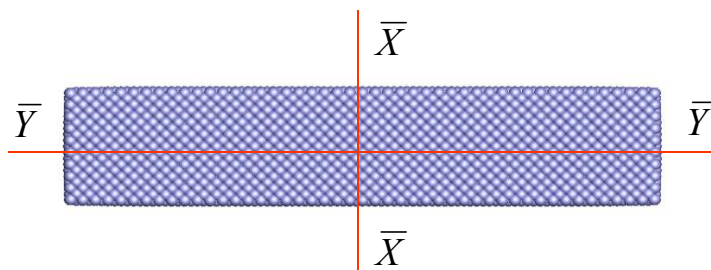
$$B^{(ij)}(\vec{R}) \equiv \int_0^1 \Psi(\lambda \vec{r}^{(ij)} + \vec{r}^{(j)} - \vec{R}) d\lambda$$

Hardy, R. J. 1981. Formulas for determining local properties in molecular dynamics simulations: shock waves. *J. Chem. Phys.* 76, 622-628

Stress distribution along centroidal lines

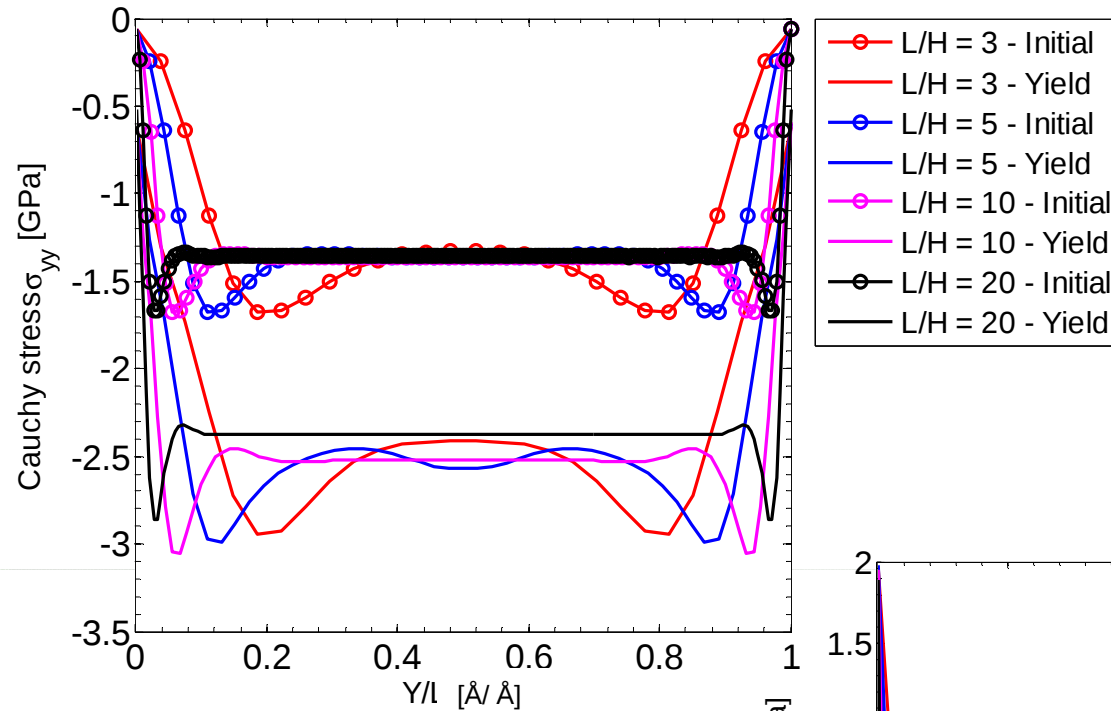


Simple tension

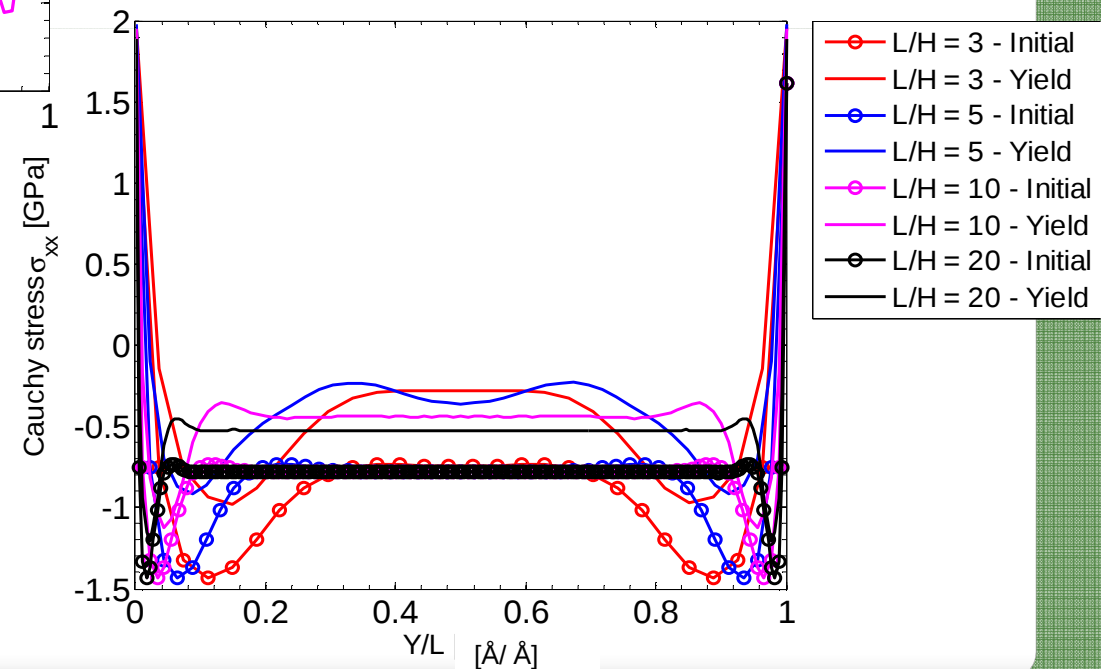
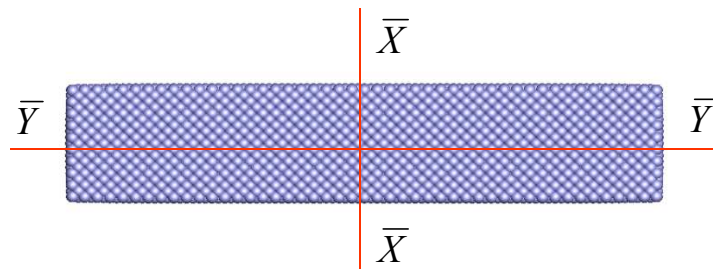


Instabilities in simple tension/compression

Stress distribution along centroidal lines



Simple compression

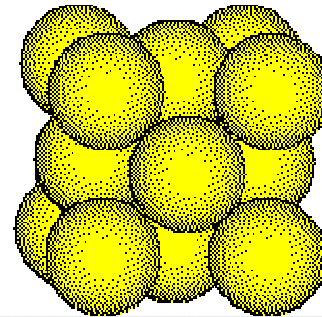


Instabilities in simple tension/compression

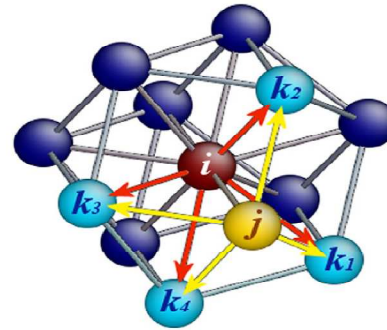
Local instability criteria: Local Hessian, CNP parameter, Second-order gradients

$$H_{jk}^{(i)} = \frac{\partial^2 V^{(i)}}{\partial r^{(ij)} \partial r^{(ik)}}, \quad k, j = 1, 2, \dots, N_f$$

SRV : Sphere with $R = \left(\sqrt{3} / 2\right)a$



$$CNP^{(i)} = \frac{1}{N_f} \sum_{j=1}^{N_f} \left| \sum_{k=1}^{N_{ij}} \left(\mathbf{r}^{(ik)} + \mathbf{r}^{(jk)} \right) \right|^2$$



Tsuzuki et al., 2007

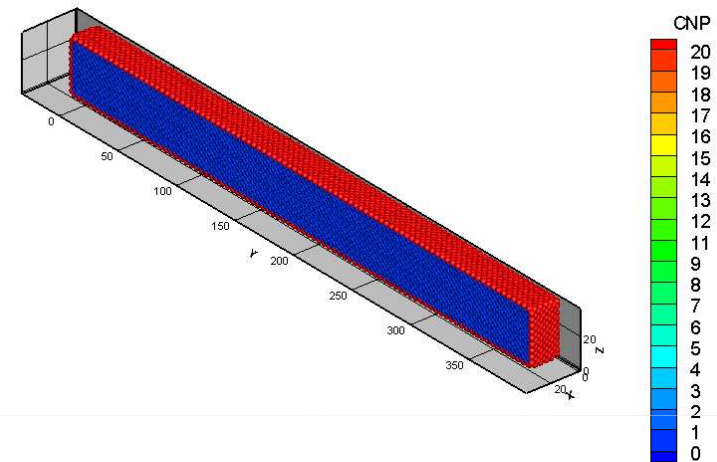
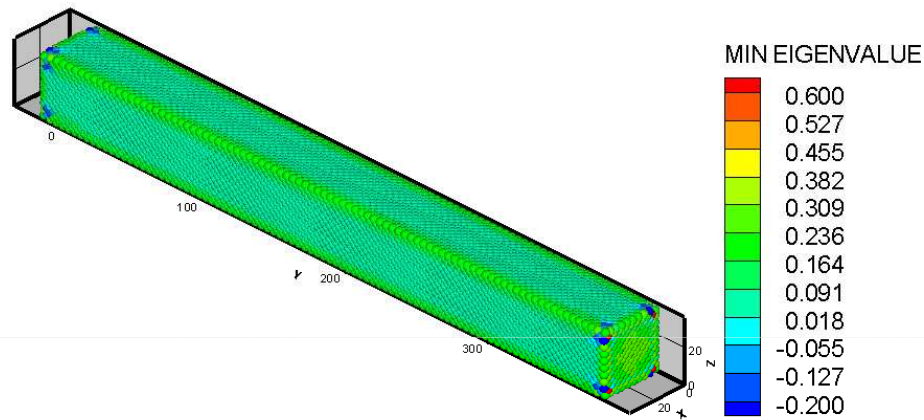
$$\|\mathbf{G}\| = a \max \left(\left| G_{\alpha\beta\gamma} \right| \right)$$

Comparison of results from the local instability criteria

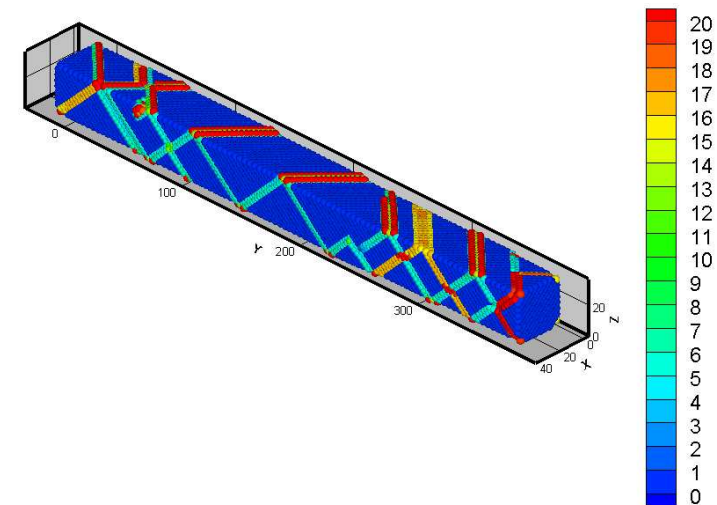
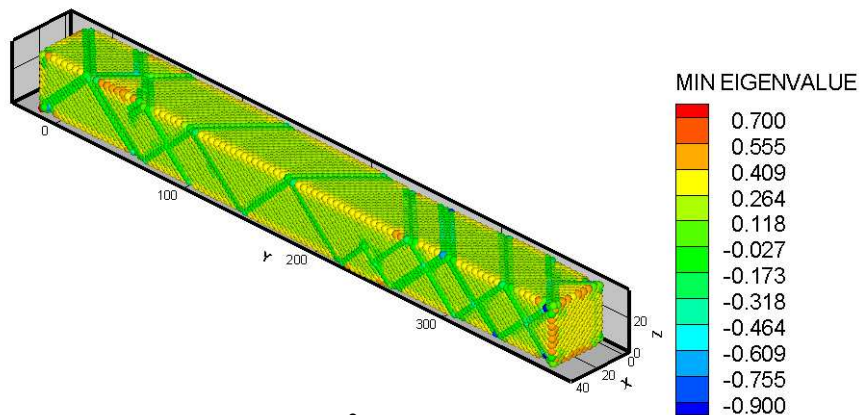
Simple tension

$L/H = 10$

$\varepsilon = 8.08\%$



$\varepsilon = 8.15\%$



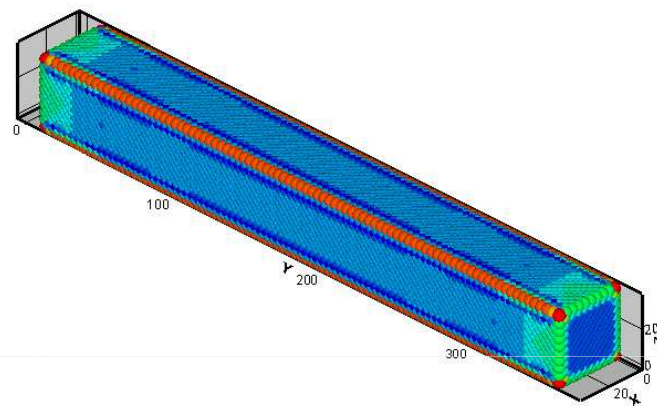
Dimensions in Å

Comparison of results from the local instability criteria

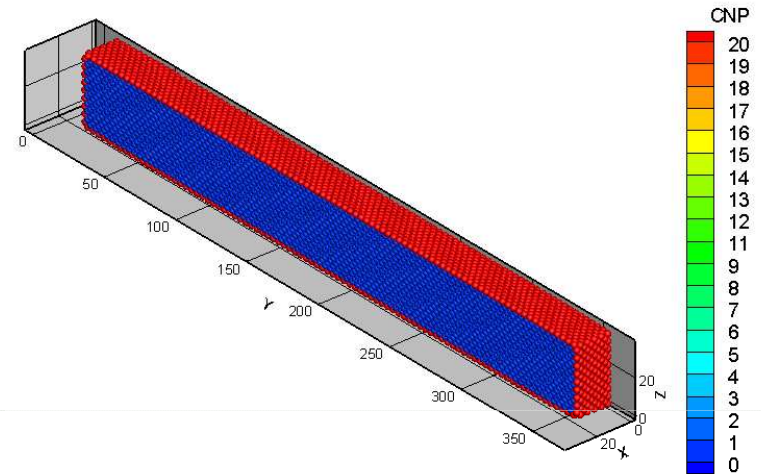
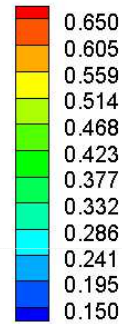
Simple compression

$L/H = 10$

$\varepsilon = -5.15\%$



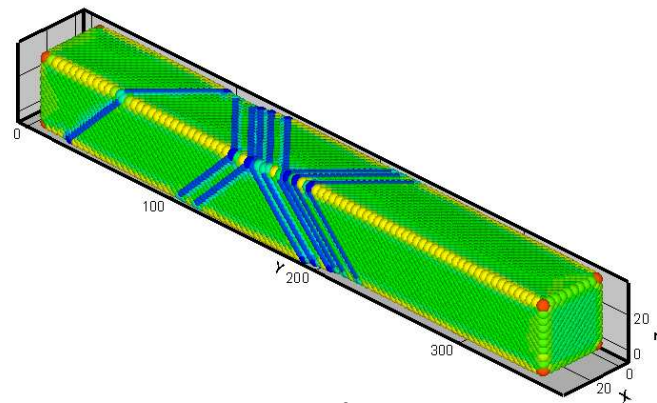
MIN EIGENVALUE



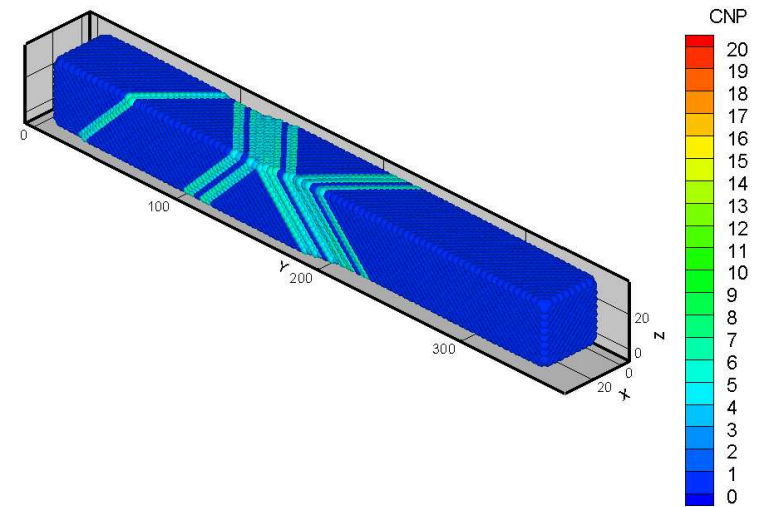
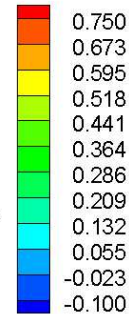
CNP



$\varepsilon = -5.16\%$



MIN EIGENVALUE



CNP



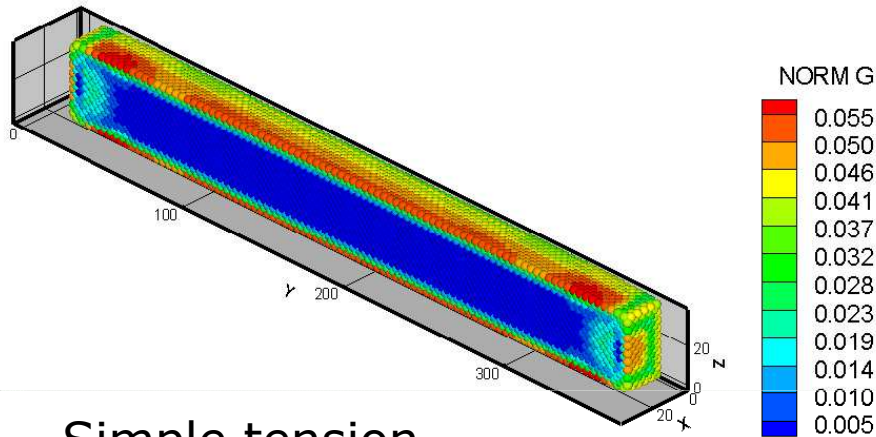
Dimensions in Å

Comparison of results from the local instability criteria

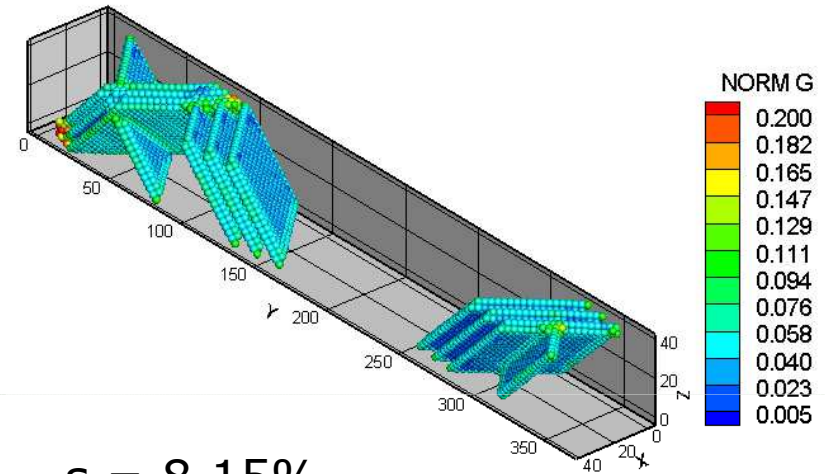
L/H = 10

Simple compression

$\varepsilon = -5.15\%$

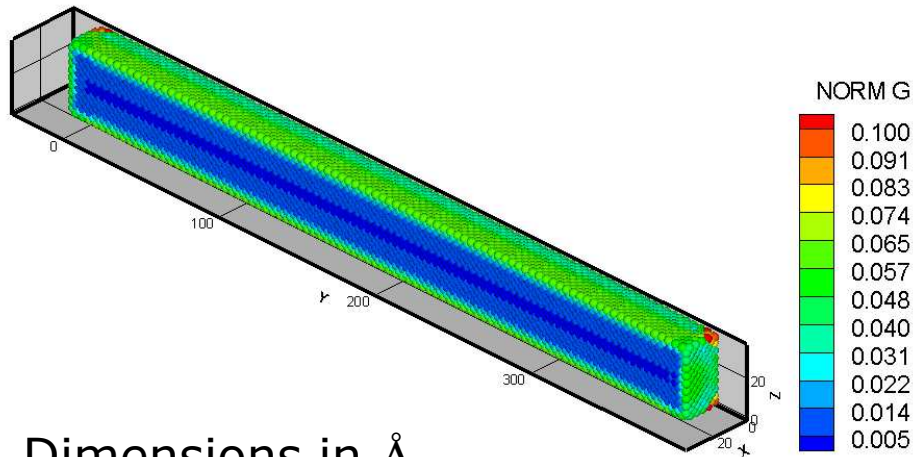


$\varepsilon = -5.16\%$

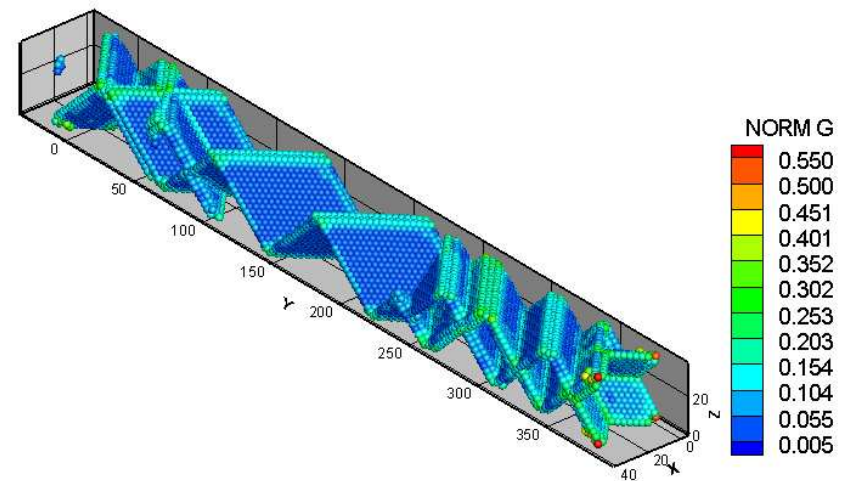


Simple tension

$\varepsilon = 8.08\%$



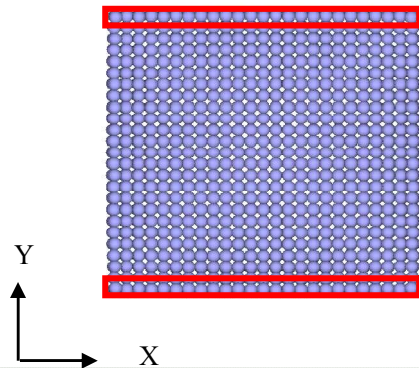
$\varepsilon = 8.15\%$



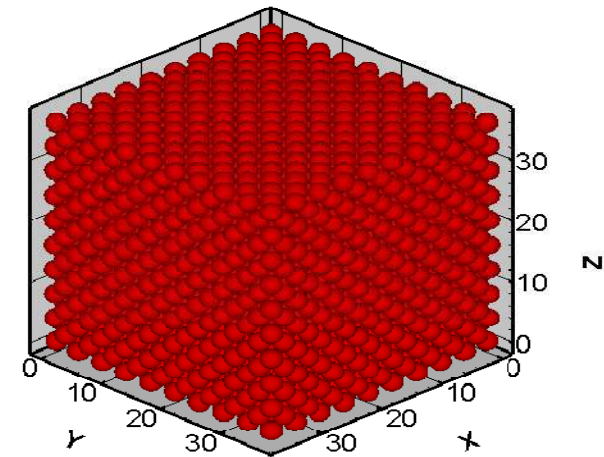
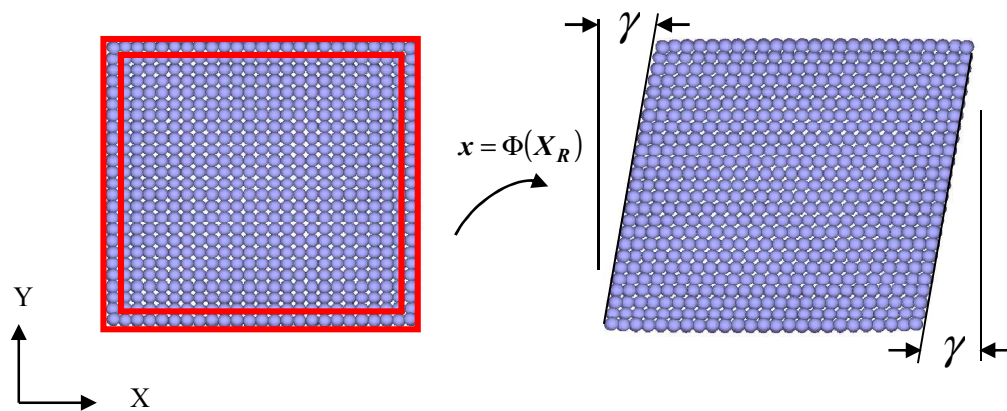
Dimensions in Å

Instabilities in simple tension/compression

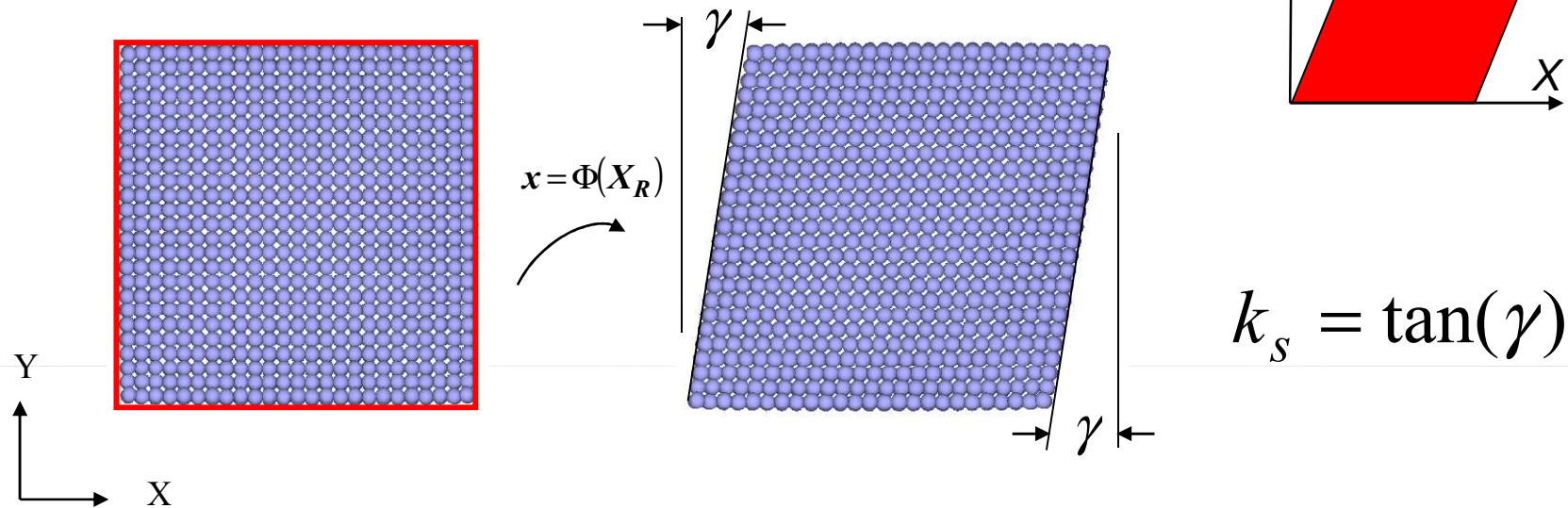
Shear and simple shear tests in gold crystals



Specimen A: side $\sim 32 \text{ \AA}$, 3480 atoms
Specimen B: side $\sim 50 \text{ \AA}$, 7813 atoms
Specimen C: side $\sim 100 \text{ \AA}$, 58825 atoms.
Planes parallel to $[100]$, $[010]$ and $[001]$.



Analysis of simple shear deformations



Prescribed displacements :

$$x = X + k_s Y$$

$$y = Y$$

$$z = Z$$

for which

$$[F(k_s)] = \begin{bmatrix} 1 & k_s & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix},$$

$$[\varepsilon(k_s)] = \begin{bmatrix} 0 & k_s/2 & 0 \\ k_s/2 & k_s^2/2 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

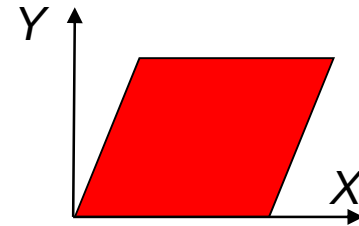
Stress tensors for simple shear deformations

Strain energy density:

$$W_0(\mathbf{F}) = \sum_i^N \frac{V^{(i)}}{\Omega_R^{(i)}}$$

First Piola-Kirchhoff stress tensor:

$$\bar{\mathbf{P}} = \frac{\partial W_0}{\partial \mathbf{F}} = \frac{\partial}{\partial \mathbf{F}} \left(\sum_{i=1}^N \frac{V^{(i)}}{\Omega_R^{(i)}} \right)$$



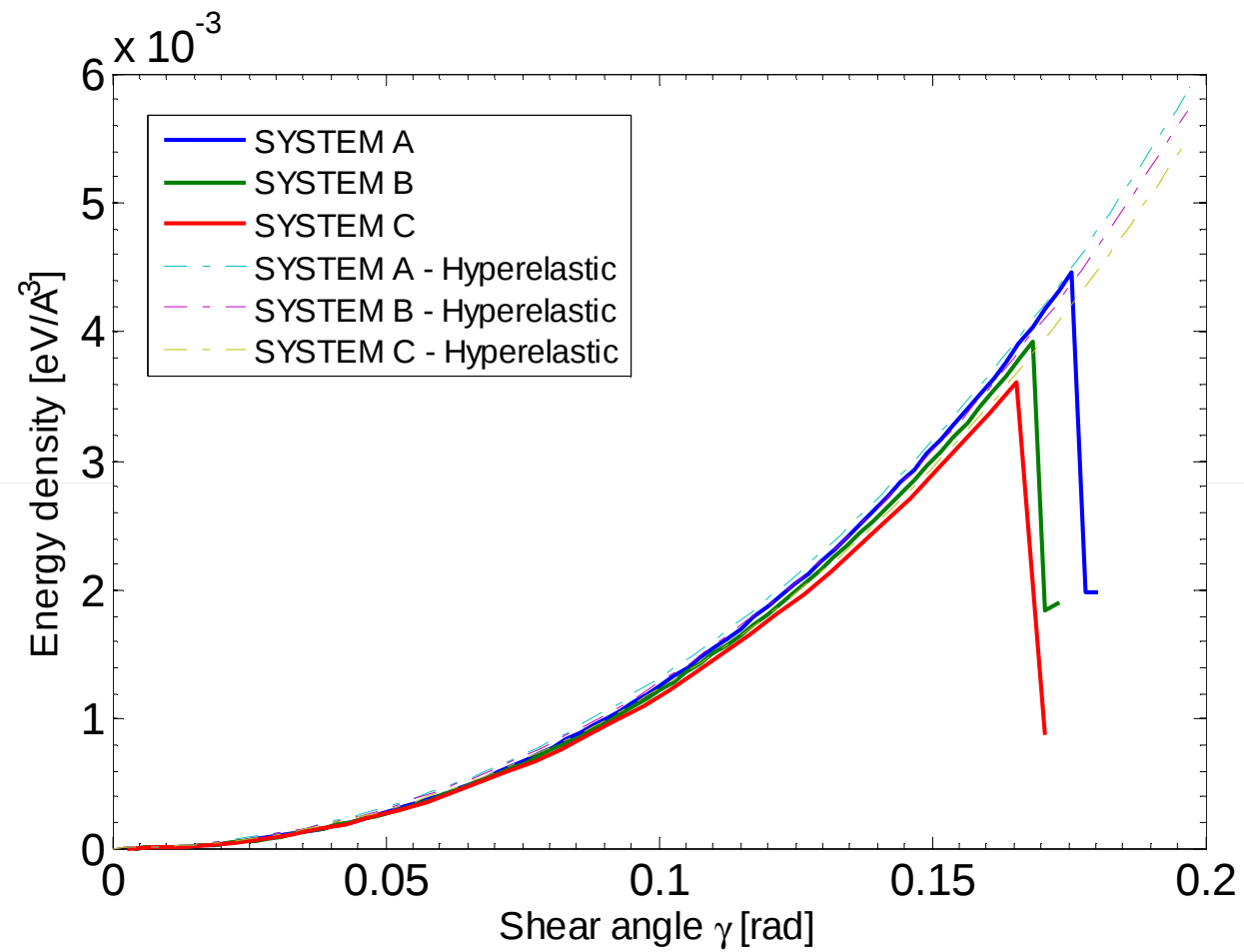
$$\mathbf{P} = \mathbf{J} \mathbf{F}^{-1} \boldsymbol{\sigma}$$

$$[\bar{\boldsymbol{\sigma}}(k_s)] = \sum_{\substack{i,k=1 \\ i \neq k}}^N \frac{1}{\Omega^{(i)}} \frac{1}{r^{(ik)}} \frac{\partial V^{(i)}}{\partial r^{(ik)}} [\Theta^{(ik)}(k_s)],$$

where

$$[\Theta^{(ik)}(k_s)] = \begin{bmatrix} R_{xx}^{(ik)} + k_s R_{xy}^{(ik)} + k_s (R_{xy}^{(ik)} + k_s R_{yy}^{(ik)}) & R_{xy}^{(ik)} + k_s R_{yy}^{(ik)} & R_{xz}^{(ik)} + k_s R_{yz}^{(ik)} \\ R_{yx}^{(ik)} + k_s R_{yy}^{(ik)} & R_{yy}^{(ik)} & R_{yz}^{(ik)} \\ R_{zx}^{(ik)} + k_s R_{zy}^{(ik)} & R_{zy}^{(ik)} & R_{zz}^{(ik)} \end{bmatrix}$$

$$R_{xx}^{(ik)} = R_x^{(ik)} R_x^{(ik)}, R_{xy}^{(ik)} = R_x^{(ik)} R_y^{(ik)} \text{ and so on.}$$

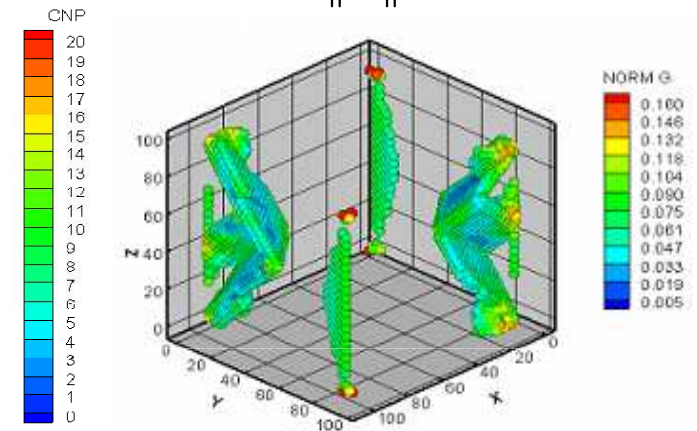
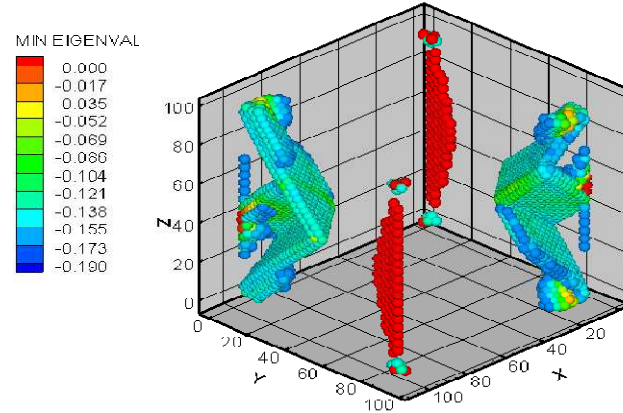
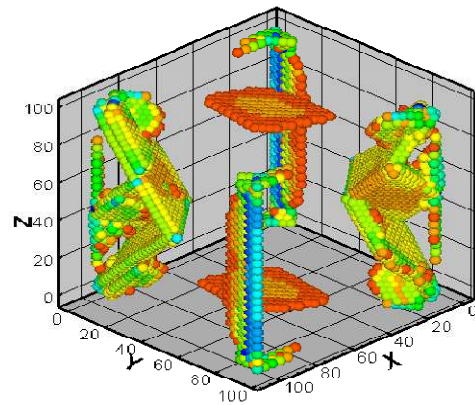


Comparison of results from the three local instability criteria

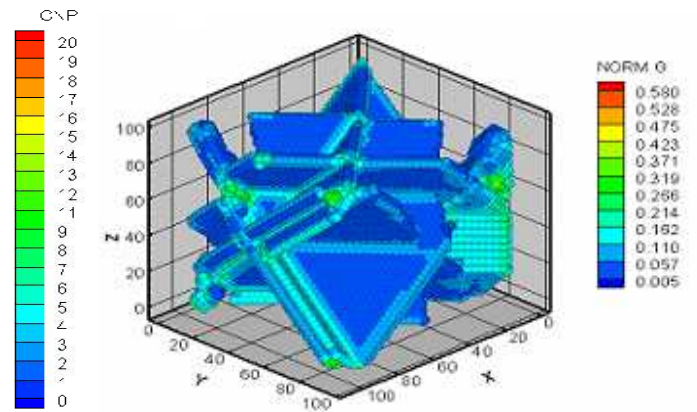
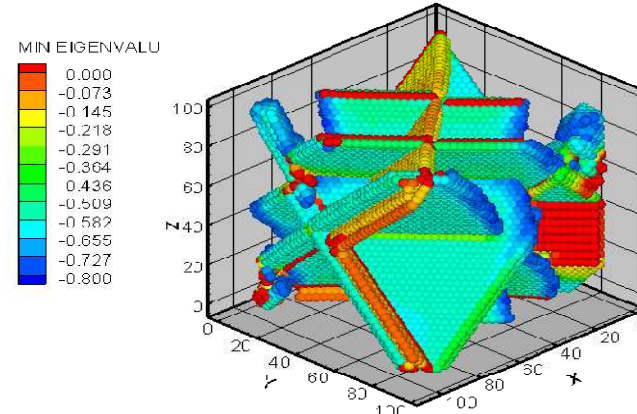
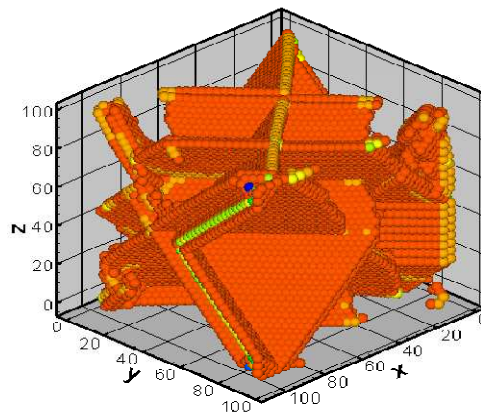
Specimen C

Shear test

$$\gamma = 0.1020$$



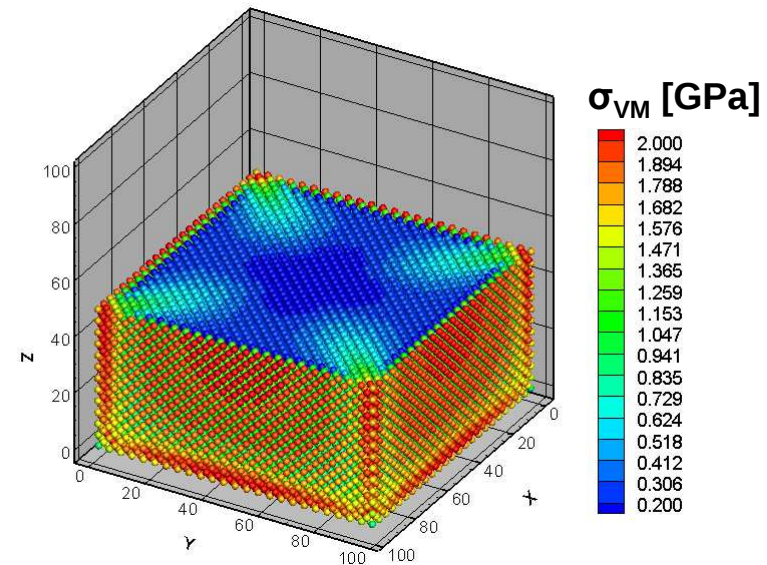
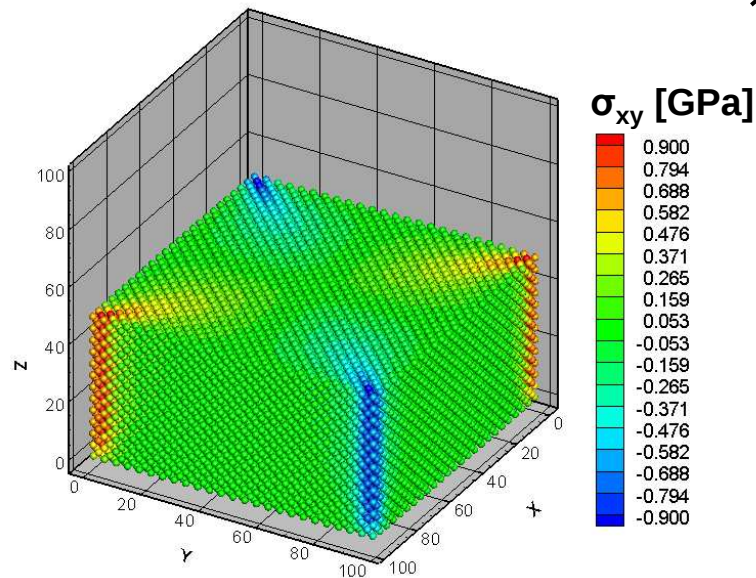
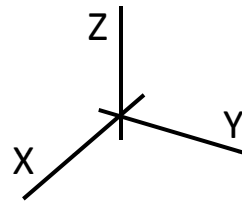
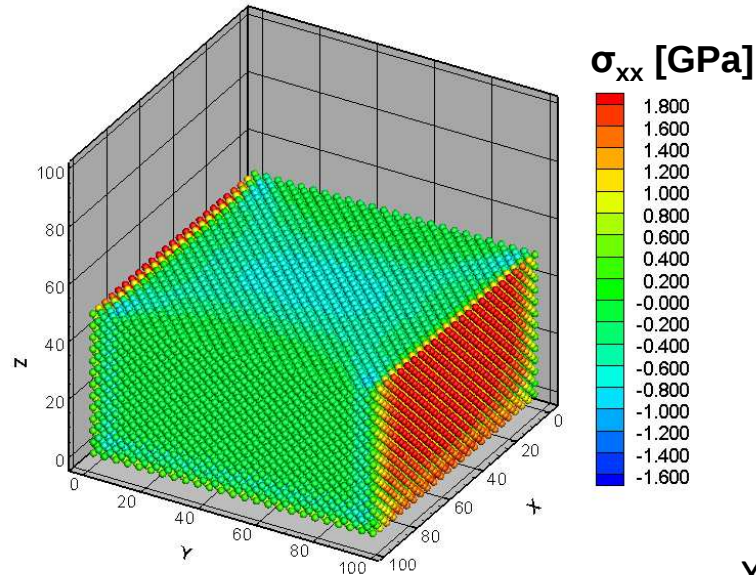
$$\gamma = 0.1069$$



Instabilities in gold crystals

Distributions of the local components of the Cauchy stress tensor in the unloaded relaxed configuration

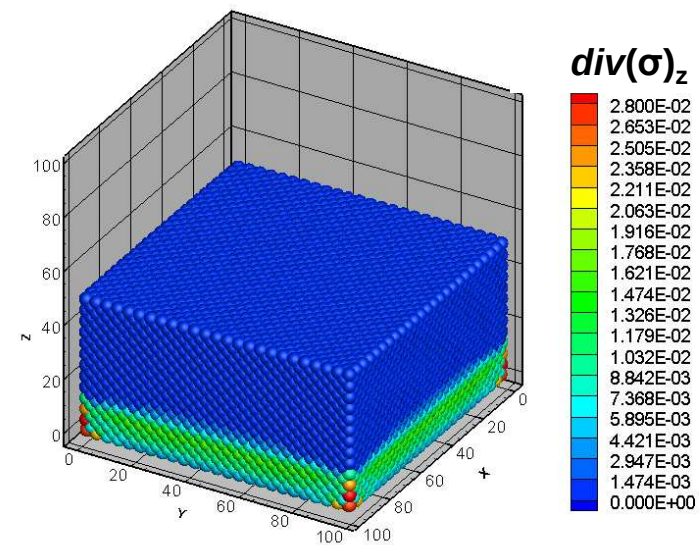
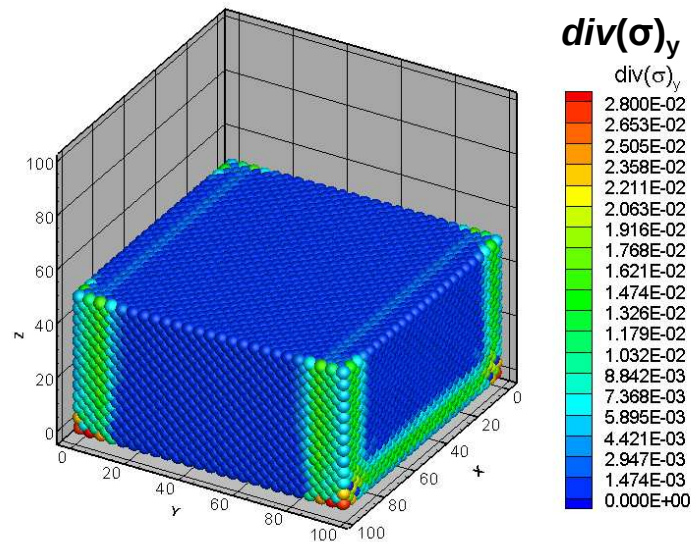
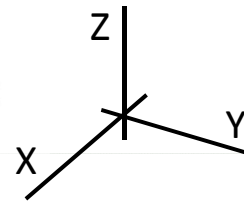
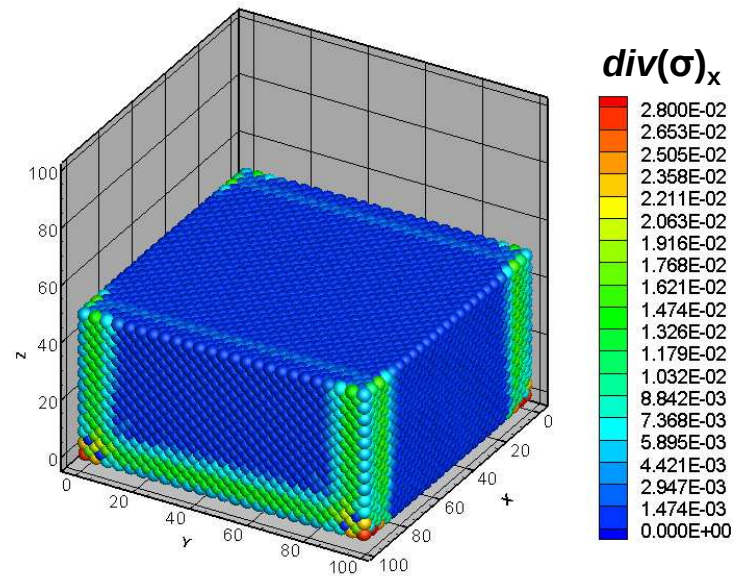
Specimen C



Instabilities in shear and simple shear

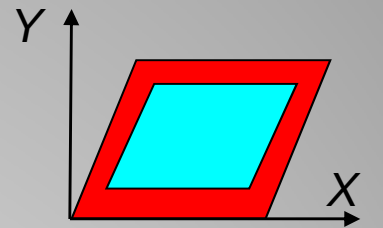
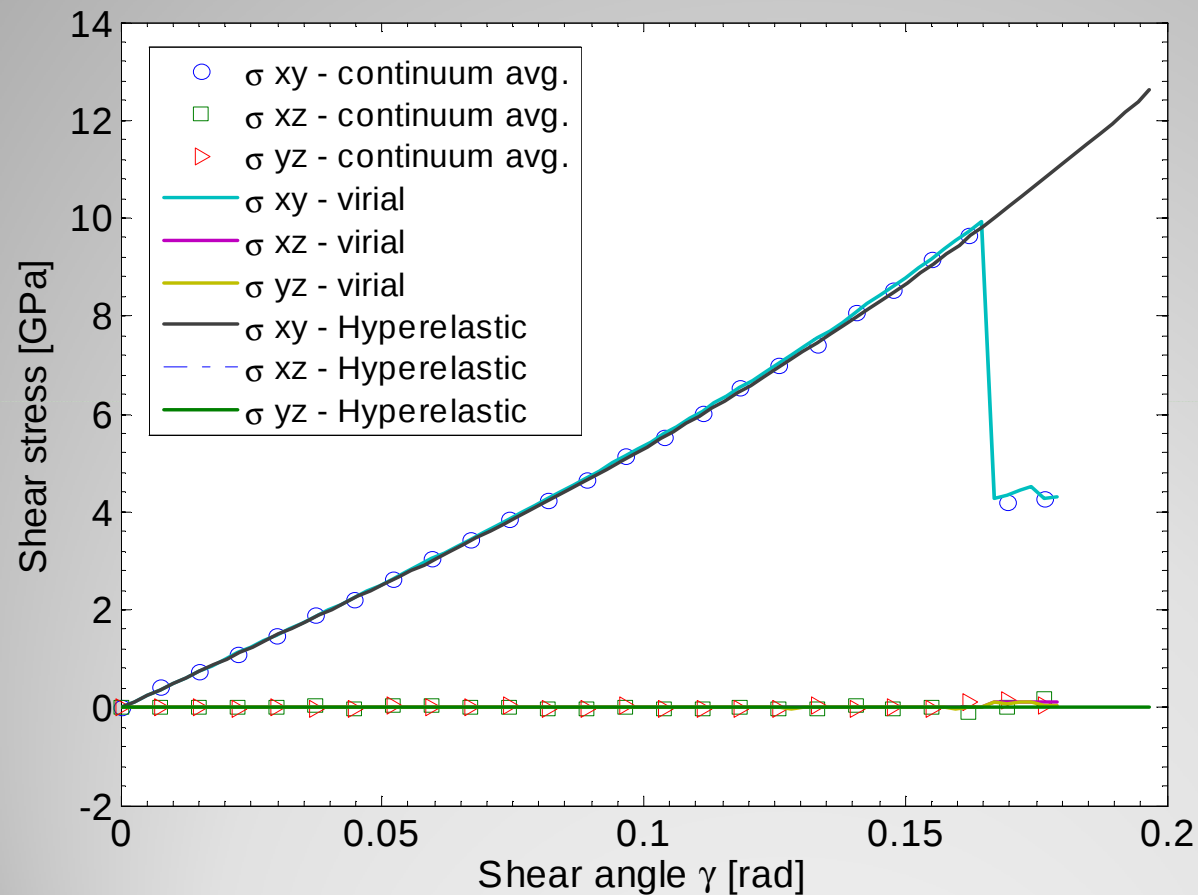
Divergence of the Cauchy stress tensor in the reference configuration

Specimen C



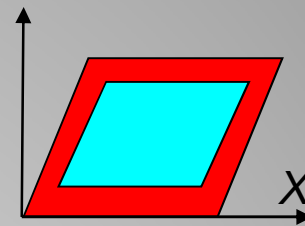
Local stress distributions

Comparison of shear stress components computed by different methods

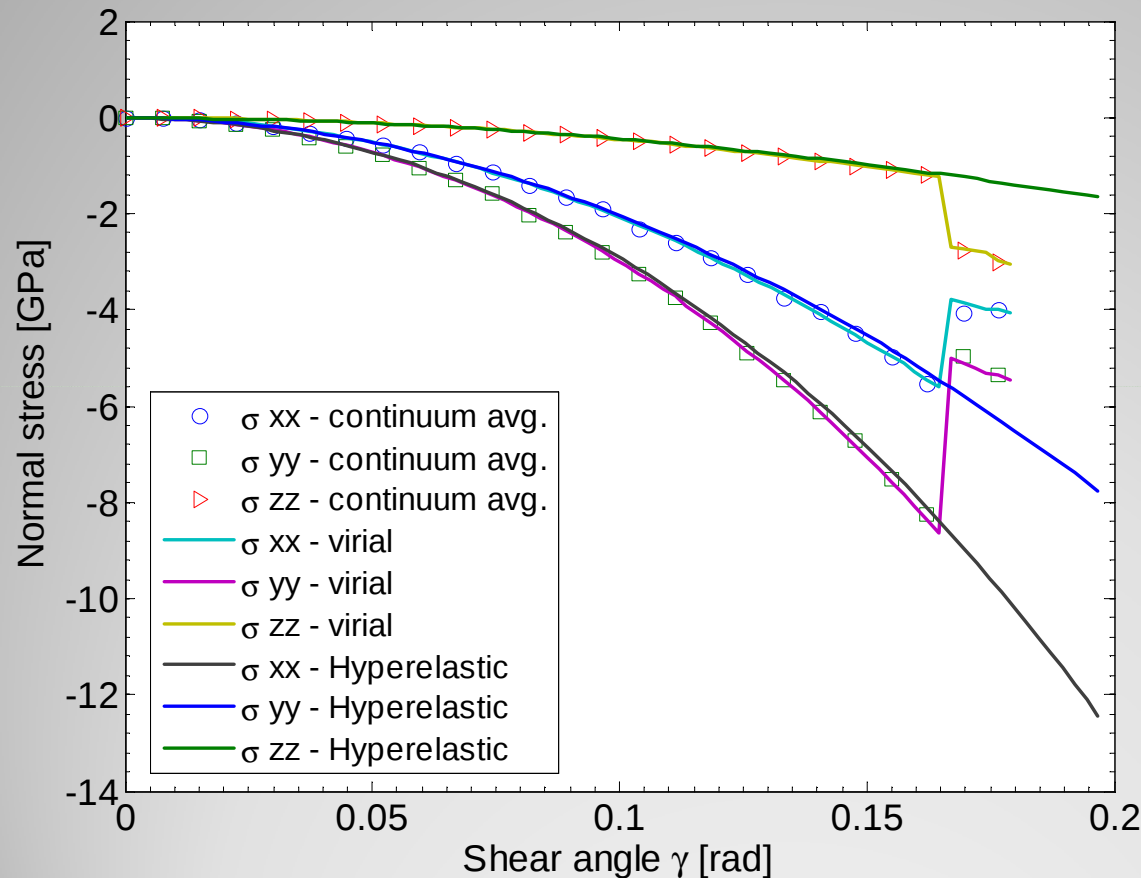


Specimen B

Comparison of normal stress components computed by different methods



Specimen B

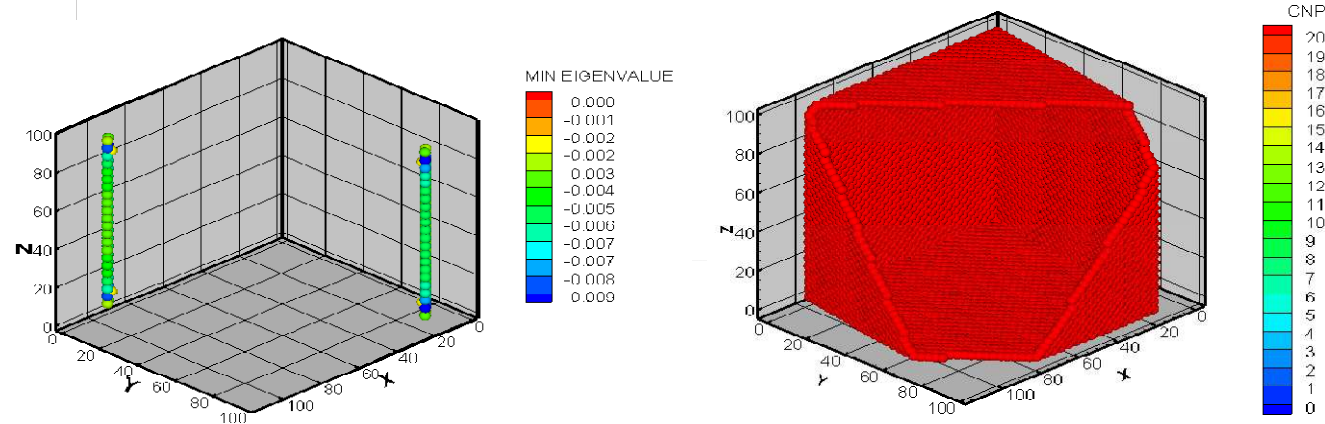


Completed work: instabilities in shear and simple shear deformations of gold crystals

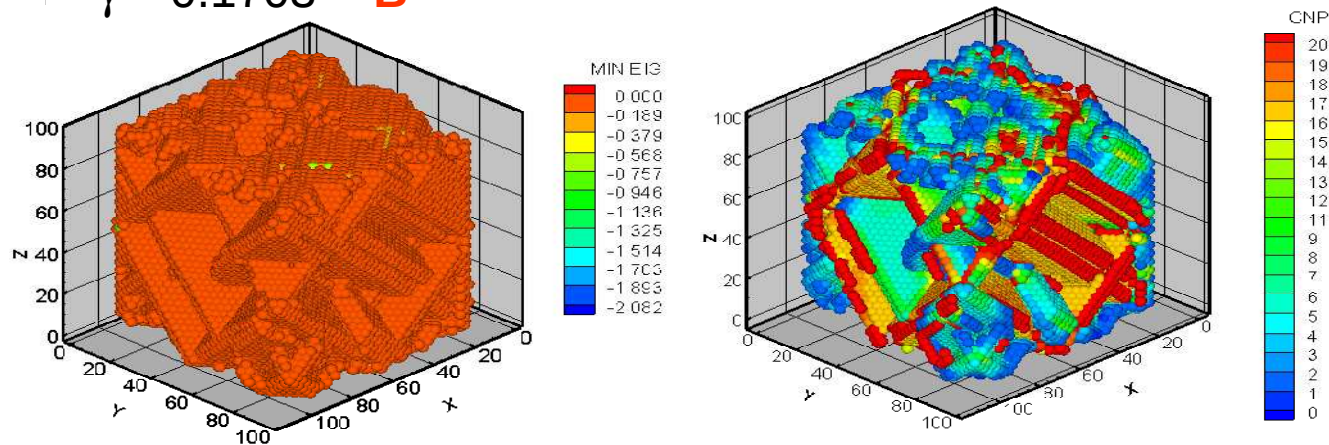
Analysis of instabilities, *Local Instability*

Results of numerical simulations (Local Hessian and CNP distributions)

$\gamma = 0.1655$ **A**

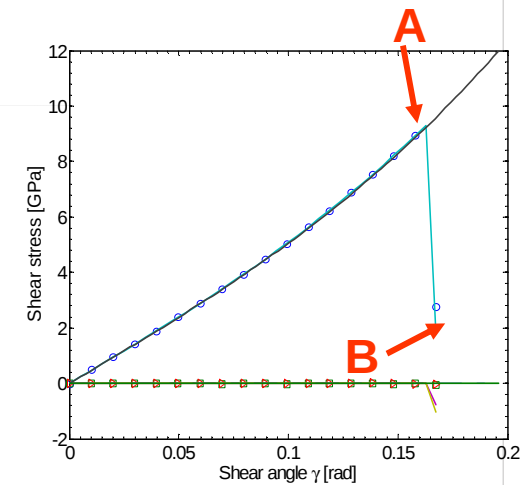


$\gamma = 0.1703$ **B**



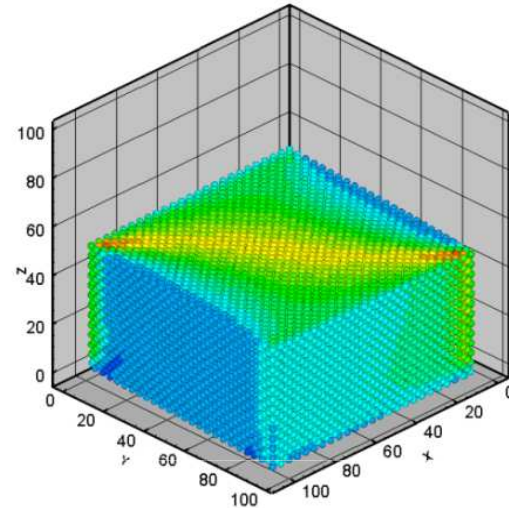
Simple shear test

System C

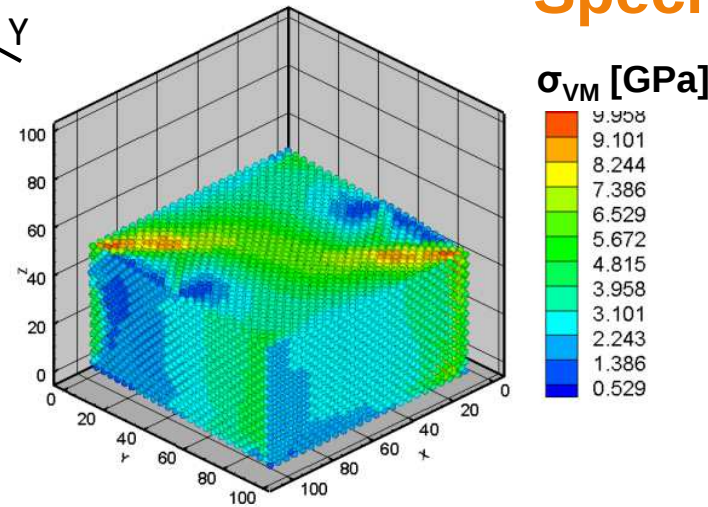
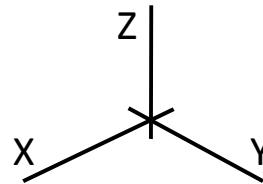
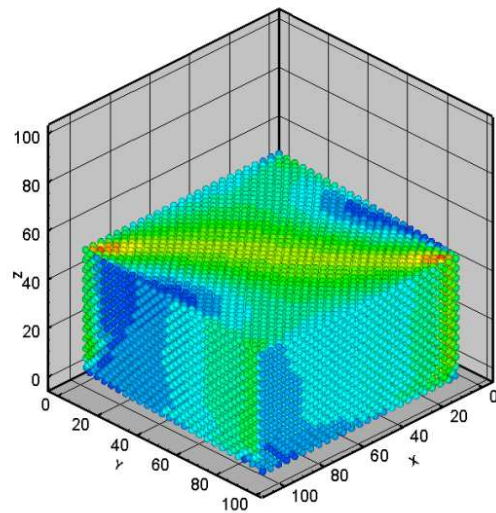
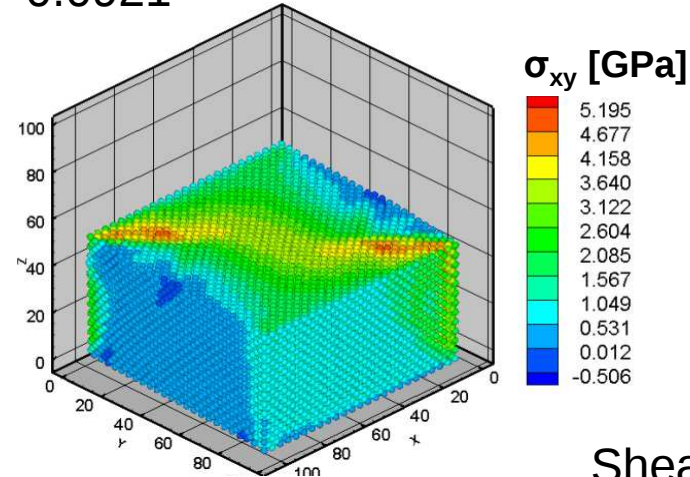


Distribution of the components of the Cauchy stress tensor

$$\gamma = 0.0870$$



$$\gamma = 0.0921$$

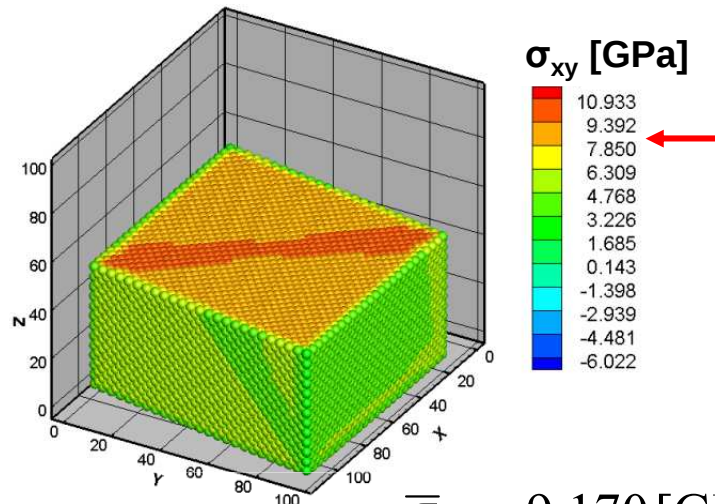


Shear test
Specimen C

Instabilities in shear and simple shear

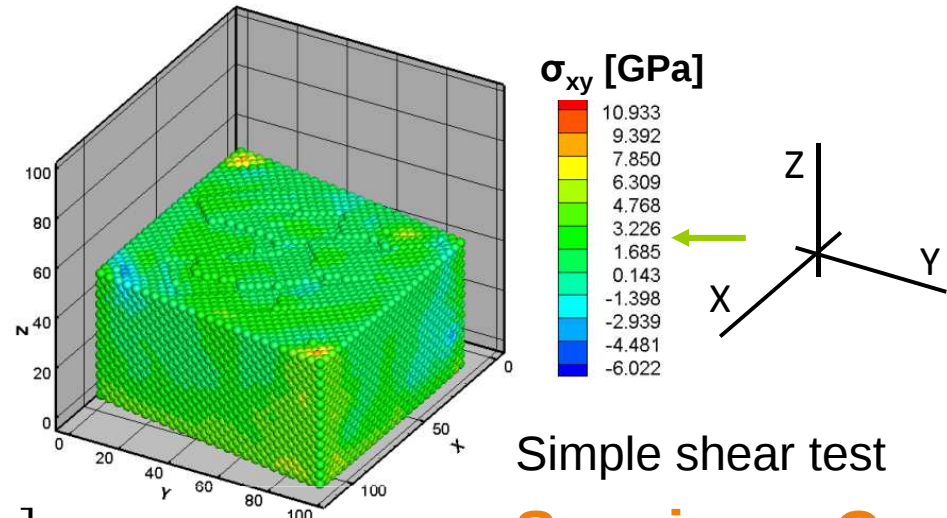
Distribution of the components of the Cauchy stress tensor

$$\gamma = 0.1655$$

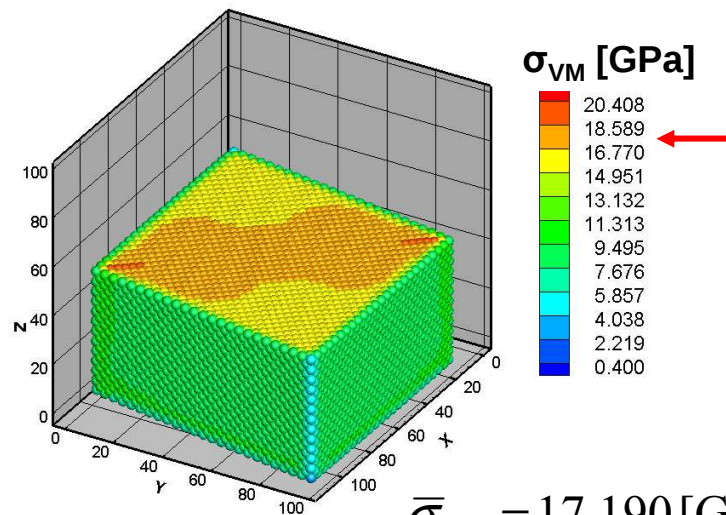


$$\bar{\sigma}_{xy} = 9.170 [\text{GPa}]$$

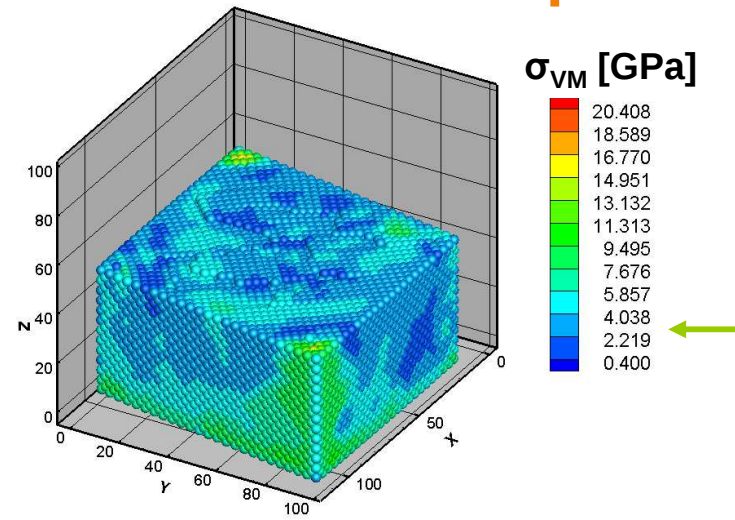
$$\gamma = 0.1703$$



Simple shear test
Specimen C

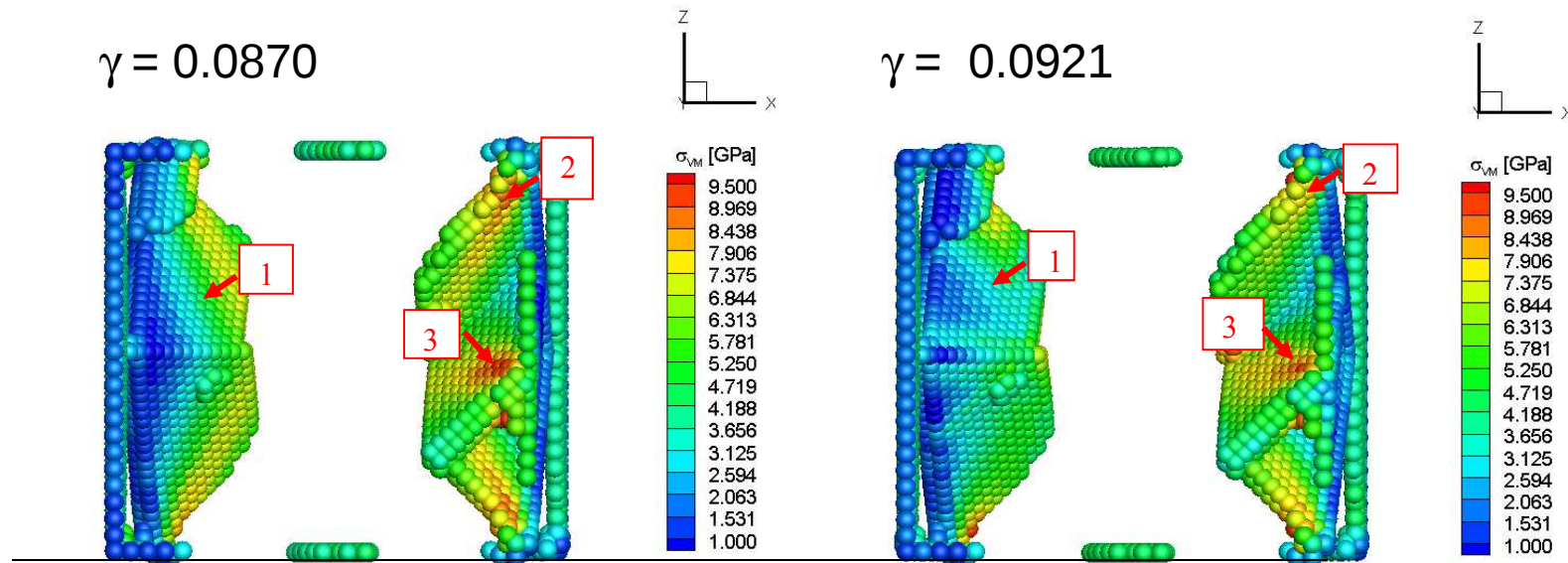


$$\bar{\sigma}_{VM} = 17.190 [\text{GPa}]$$



Instabilities in shear and simple shear

Material instability: stress relaxation



Stress (GPa)	Pre-instability Point 1	Post-instability Point 1	Pre-instability Point 2	Post-instability Point 2	Pre-instability Point 3	Post-instability Point 3
σ_{VM}	6.672	3.364	8.741	7.594	9.038	7.968
σ_{xy}	3.747	1.186	4.043	3.864	4.646	3.512
P ₁	-8.004	-3.641	-10.075	-8.386	-11.424	-9.900
P ₂	-3.079	-1.701	-4.733	-3.884	-5.691	-4.105
P ₃	-0.411	0.243	-0.215	0.381	-1.004	-0.813
$2\tau_{max}$	7.593	3.884	10.075	8.767	10.419	9.087

Shear test

Specimen C

Instabilities in shear and simple shear

Amorphous metals

-Mechanical properties-

Table . A comparison of properties at room temperature for some metallic glasses and polycrystalline materials.

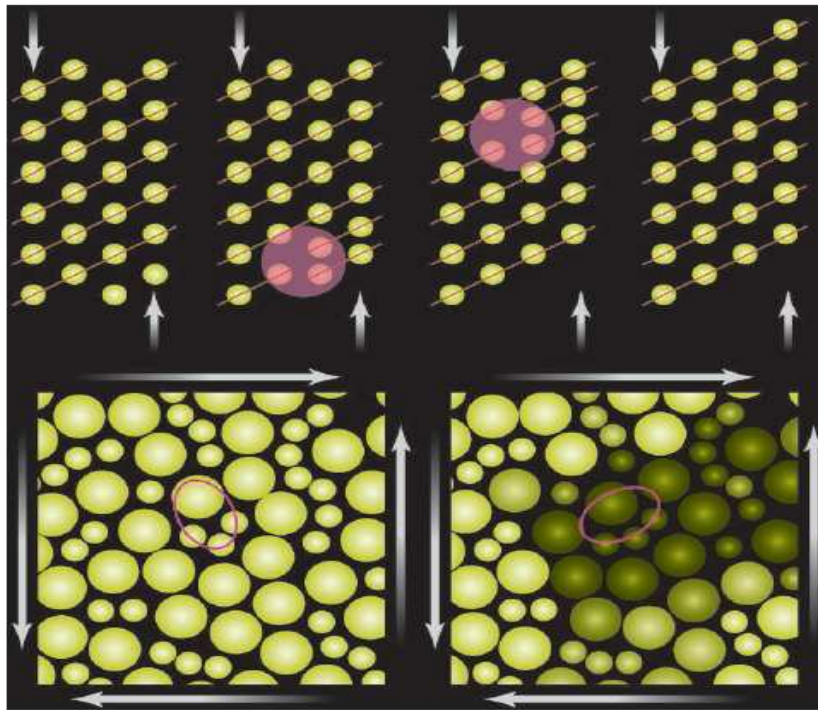
Alloy	Yield strength σ_y (MPa)	Yield strength σ_y (Ksi)	Density ρ_m (g cm ⁻³)	Density ρ_m (lb in ⁻³)	Strength to weight ratio	Elongation (%)
Metallic glasses						
Zr _{41.25} Ti _{13.75} Cu _{12.25} Ni ₁₀ Be _{22.5}	1900	275	6.1	0.22	310	2
Mg ₆₅ Cu ₂₅ Tb ₁₀	700	100	4.0	0.14	175	1.5
Fe ₅₉ Cr ₆ Mo ₁₄ C ₁₅ B ₆	3800	550	7.9	0.29	480	~2
Conventional alloys						
Aluminum (7075-T6)	505	73	2.8	0.10	180	11
Titanium (Ti-6Al-4V)	1100	160	4.4	0.16	250	10
Steel (4340)	1620	190	7.9	0.29	206	6
Magnesium (AZ80)	275	400	1.8	0.07	150	7

(From Hufnagel Research Group, Department of Materials Science and Engineering, Johns Hopkins University)

Amorphous metals

-Deformation mechanisms-

Shear transformation zones (STZ)



(From Falk, M., 2007)

$$\dot{\epsilon}_{pl} = \lambda v (R_- n_- - R_+ n_+)$$

$$\dot{n}_{\pm} = R_{\mp} n_{\mp} - R_{\pm} n_{\pm} - R_a n_{\pm} + R_c$$

$\dot{\epsilon}_{pl}$: plastic strain rate

λ : increment in shear strain

v : volume of a STZ

$n_{\pm}$: density of STZs $\pm$

$R_{\pm}$: Transformation rate $\pm$

STZs in amorphous Ni

The distortional strain tensors

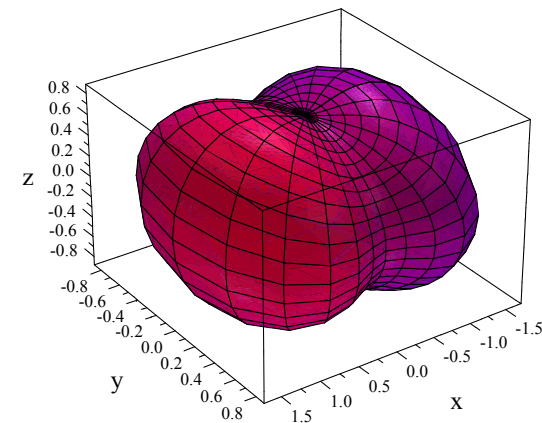
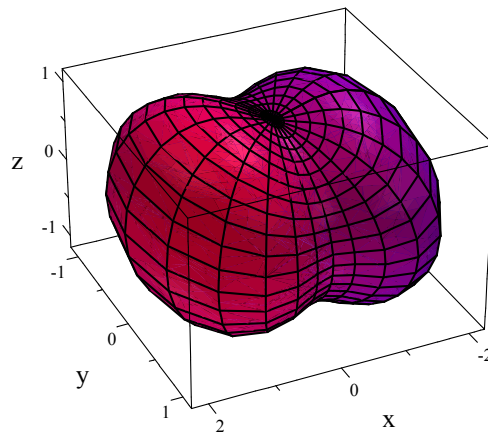
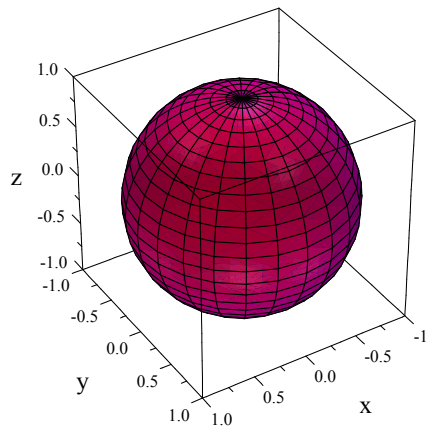
Cauchy strain tensor : $\mathbf{C} = \mathbf{F}^T \mathbf{F}$

Tension test

Distortional part Cauchy strain tensor : $\tilde{\mathbf{C}} = (\det[\mathbf{F}])^{-2/3} \mathbf{C}$.

Amorphous Ni

Second invariant of : $\mathbf{I}_{\tilde{\mathbf{C}}} = (1/2) \left(\left(\text{trace } \tilde{\mathbf{C}} \right)^2 - \text{trace } (\tilde{\mathbf{C}}^2) \right)$



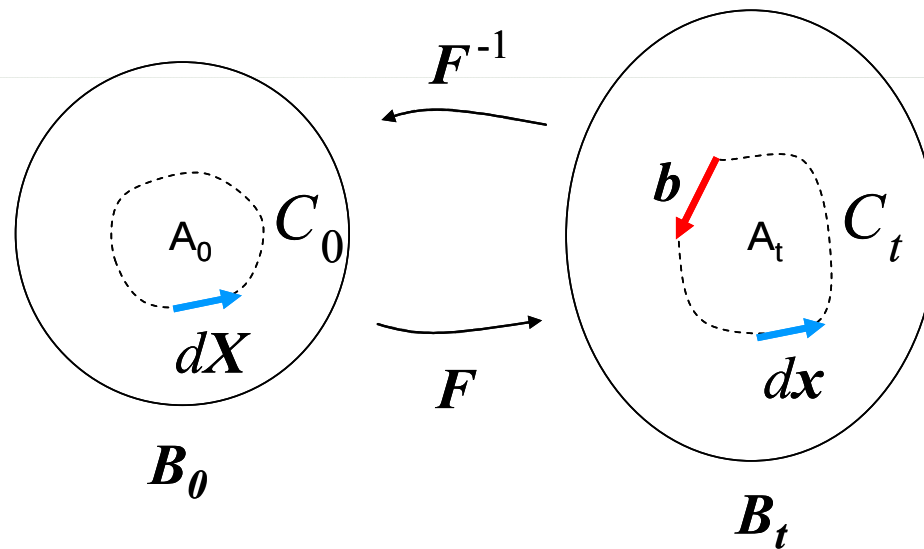
STZs in amorphous Ni

The continuously distributed dislocations and the Nye tensor

$$\mathbf{b} = - \int_{A_t} (\nabla \times \mathbf{F}^{-1}) \cdot \mathbf{N}_t dA_t$$

$$\mathbf{b} = \int_{A_t} \boldsymbol{\alpha} \cdot \mathbf{N}_t dA_t$$

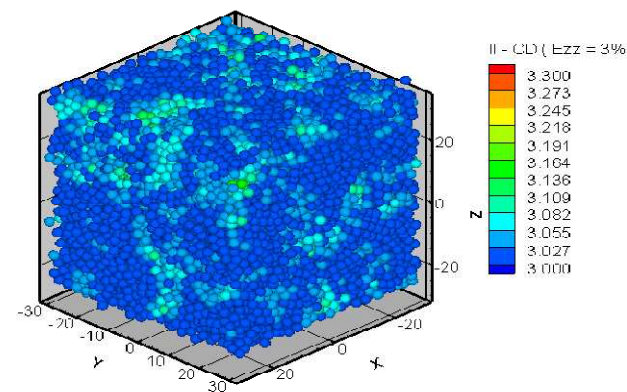
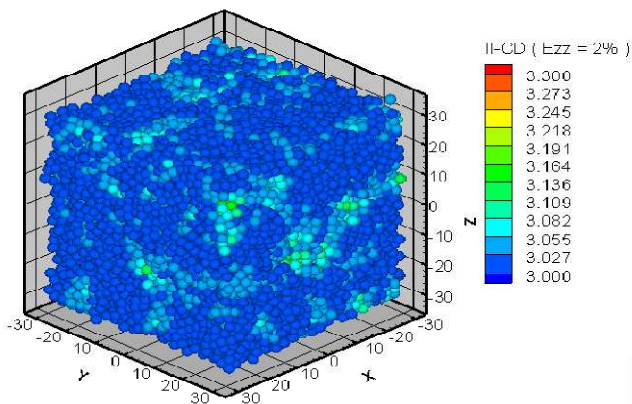
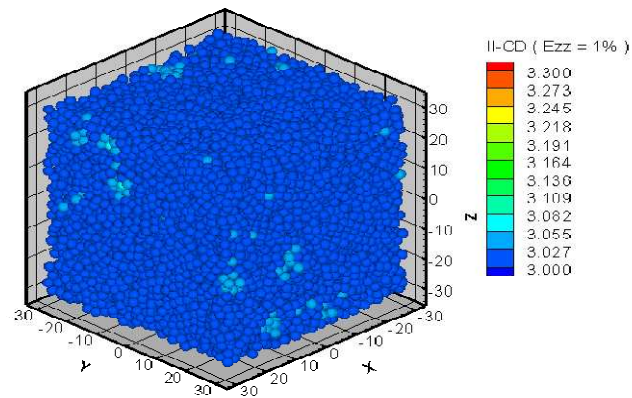
$$\boldsymbol{\alpha} = -(\nabla \times \mathbf{F}^{-1})$$



Hartley and Mishin (2005)

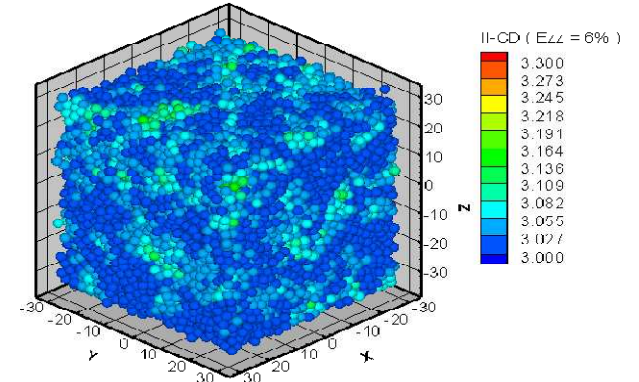
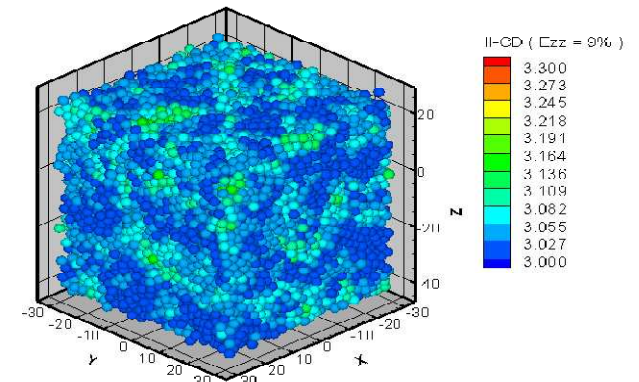
STZs in amorphous Ni

Distributions II-CD and STZs



Tension test

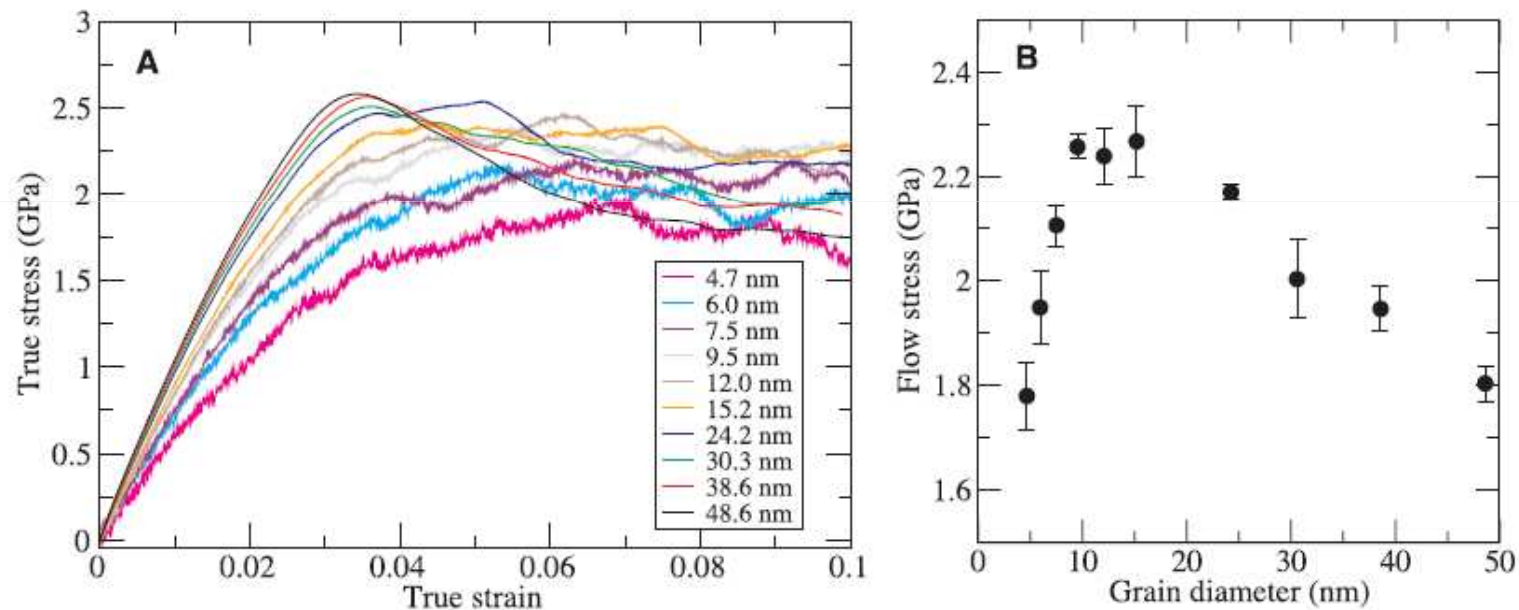
Amorphous Ni



Nanocrystalline materials

-Deformation mechanisms at grain boundaries-

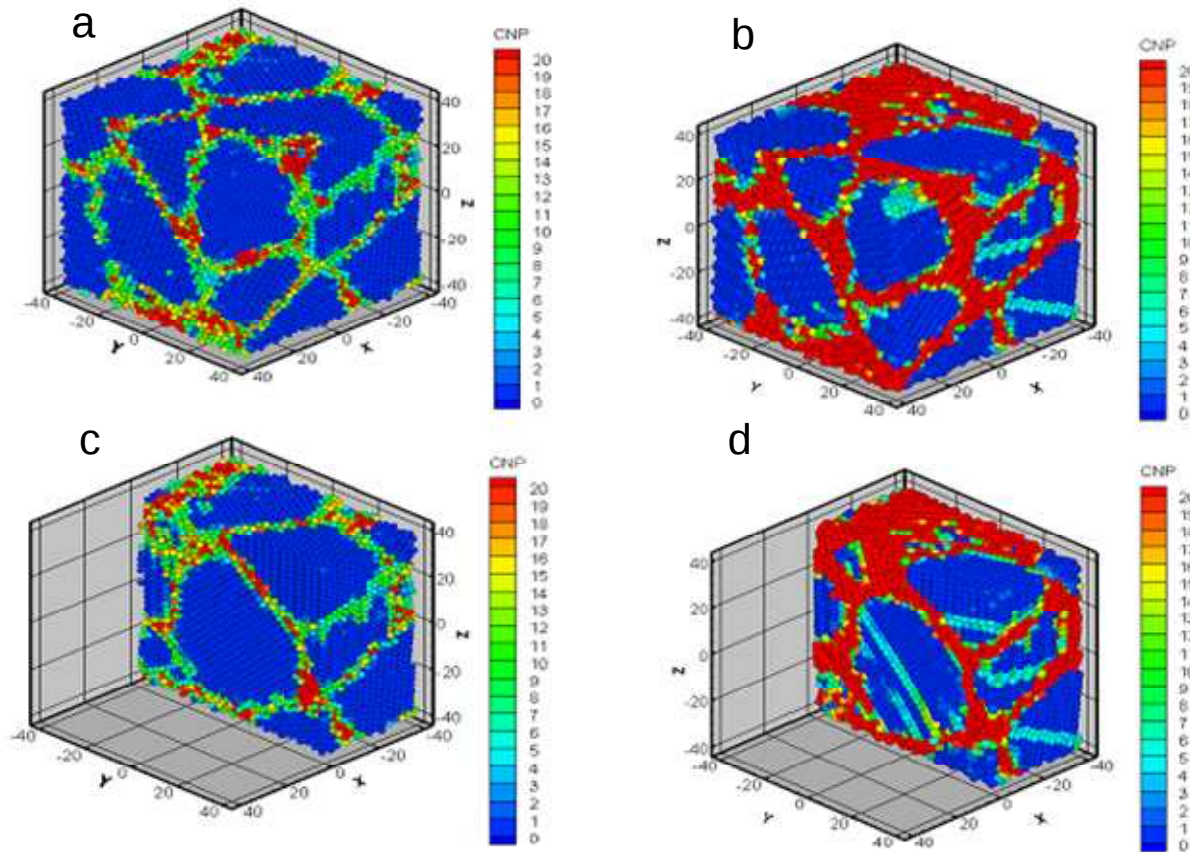
Inverse Hall-Petch



(From Schiøtz and Jacobsen, 2003)

Completed work: Grain boundary deformation in nano-crystalline Ni

Separation of the system in bulk and grain boundaries



Tension test

nc Ni

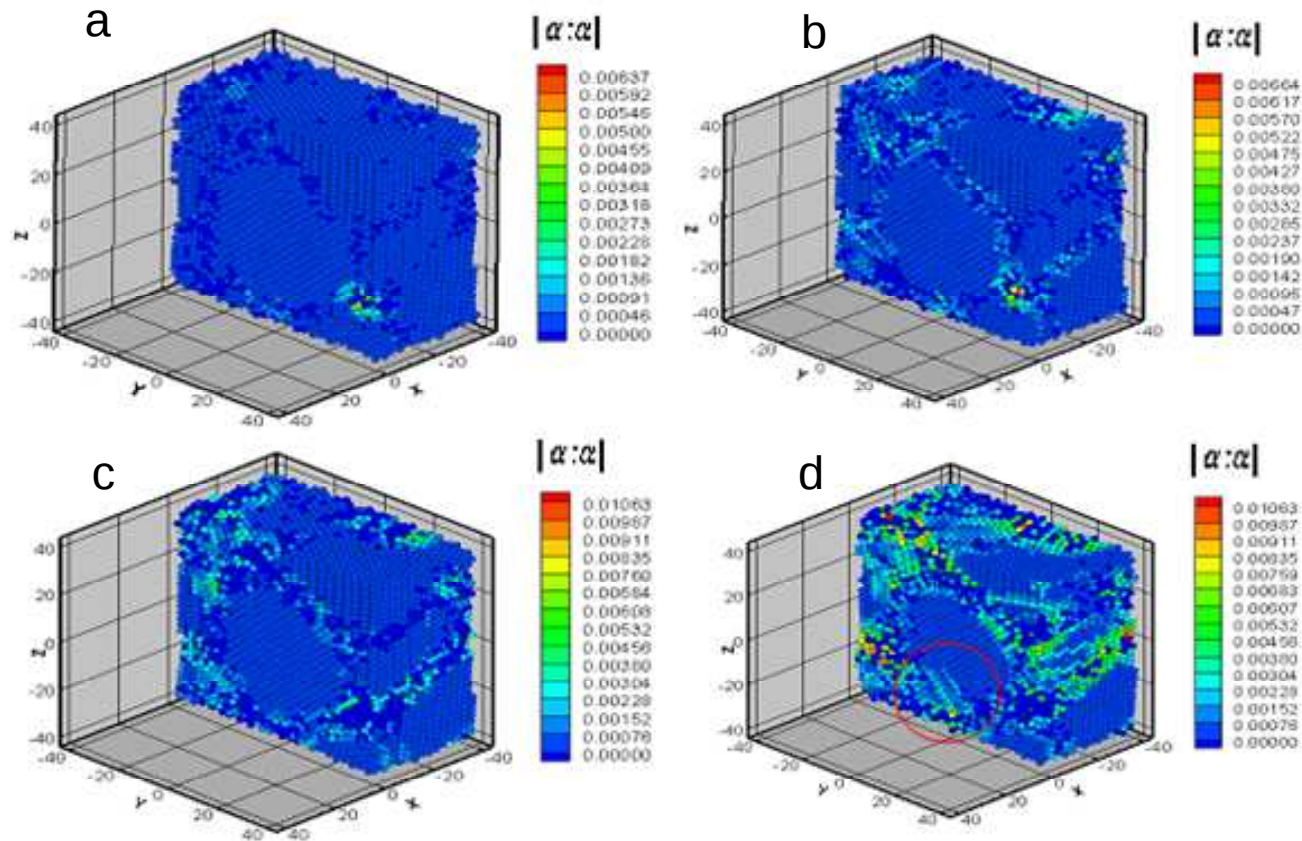
- (a) $\epsilon_{zz} = 1\%$.
- (b) $\epsilon_{zz} = 9\%$.
- (c) section $x = 0$ at $\epsilon_{zz} = 1\%$.
- (d) section $x = 0$ at $\epsilon_{zz} = 9\%$.

Grain boundary deformation in nano-crystalline Ni

Distribution of the Nye tensor

Tension test

nc Ni



Distribution of the Nye norm for the section $x = 0$ at different strain levels;

(a) $\epsilon_{zz} = 1\%$, (b) $\epsilon_{zz} = 3\%$,

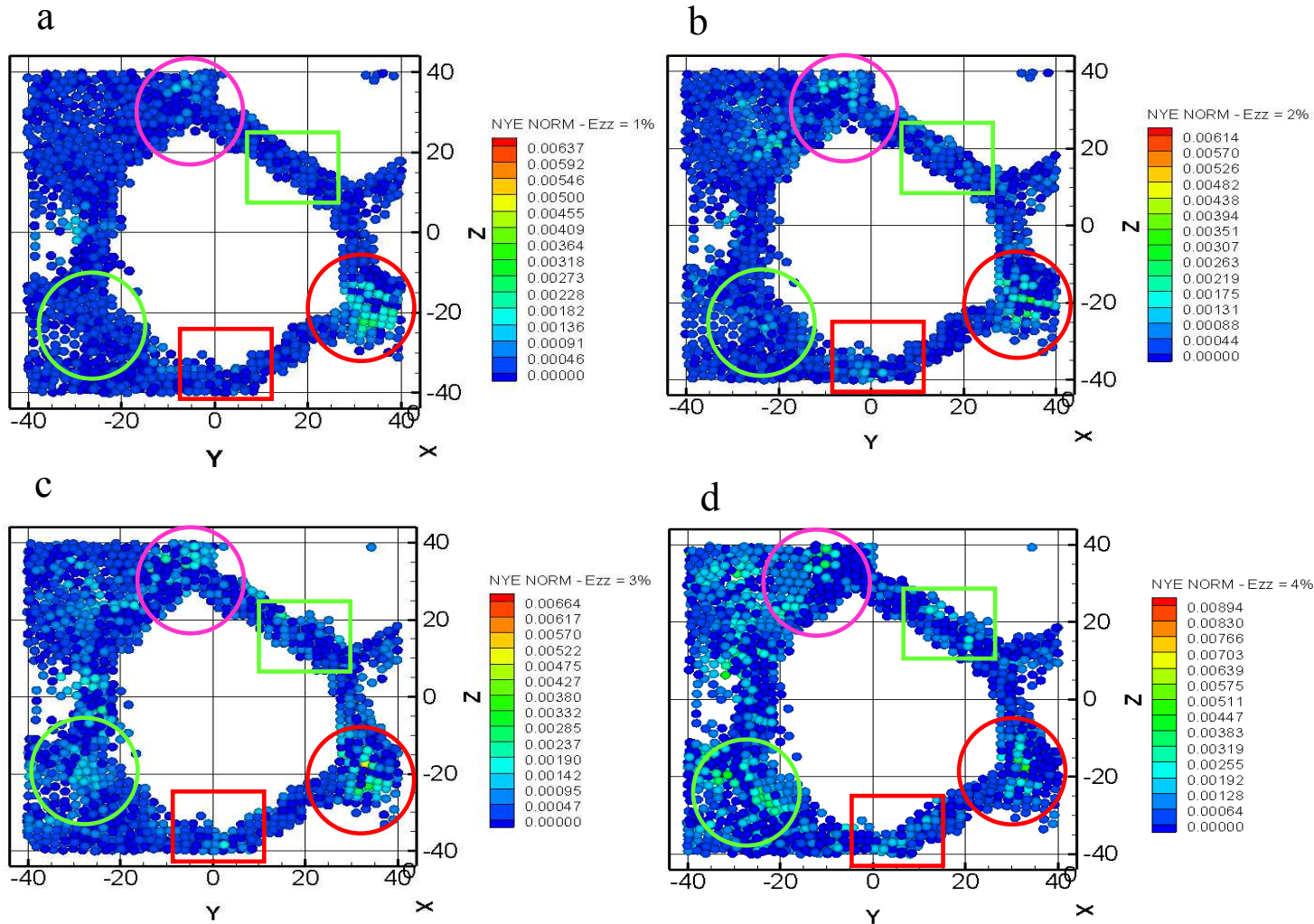
(c) $\epsilon_{zz} = 5\%$, and (d) $\epsilon_{zz} = 7\%$.

Grain boundary deformation in nano-crystalline Ni

Distribution of the Nye tensor GBs

Tension test

nc Ni

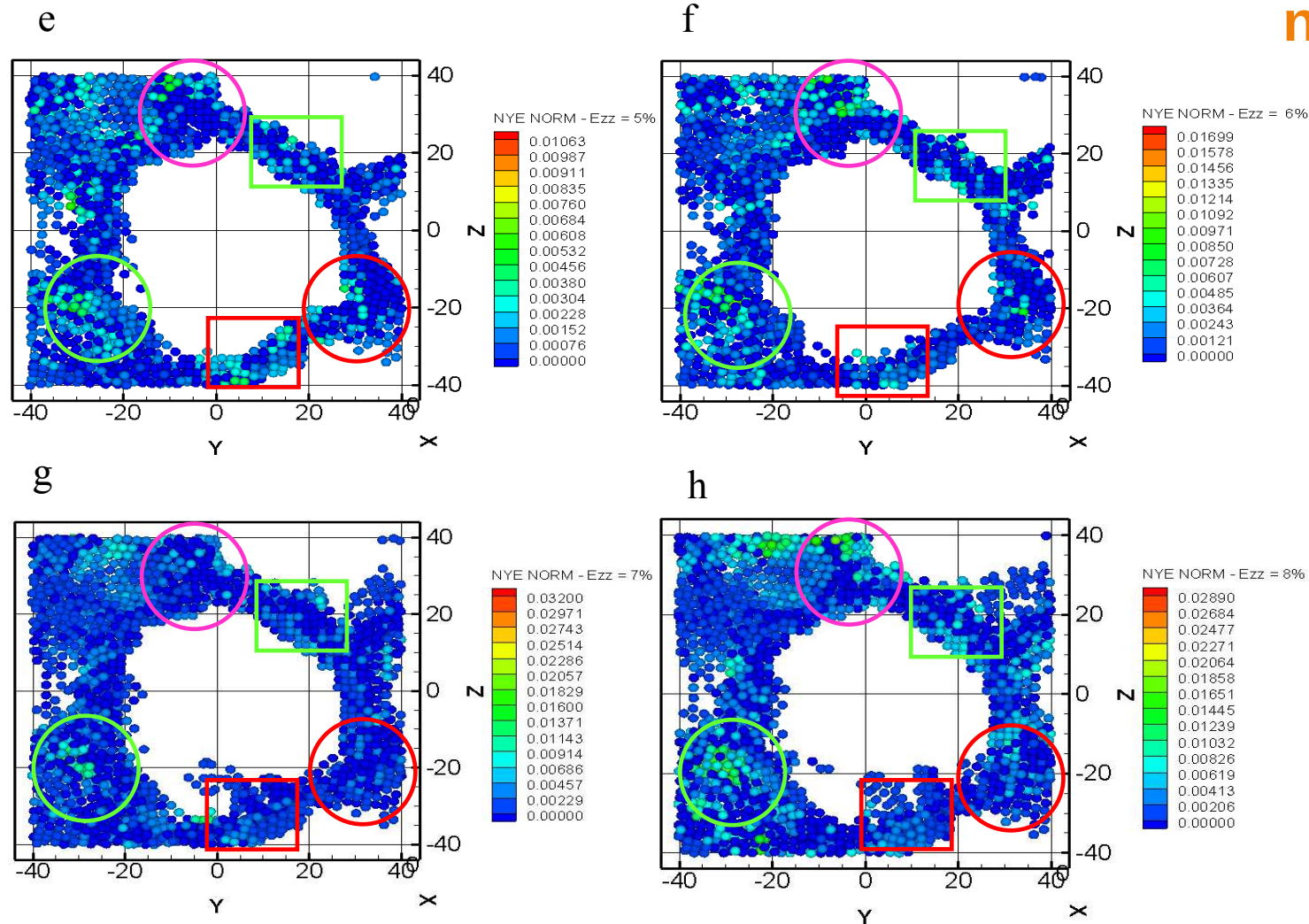


Completed work: Grain boundary deformation in nano-crystalline Ni

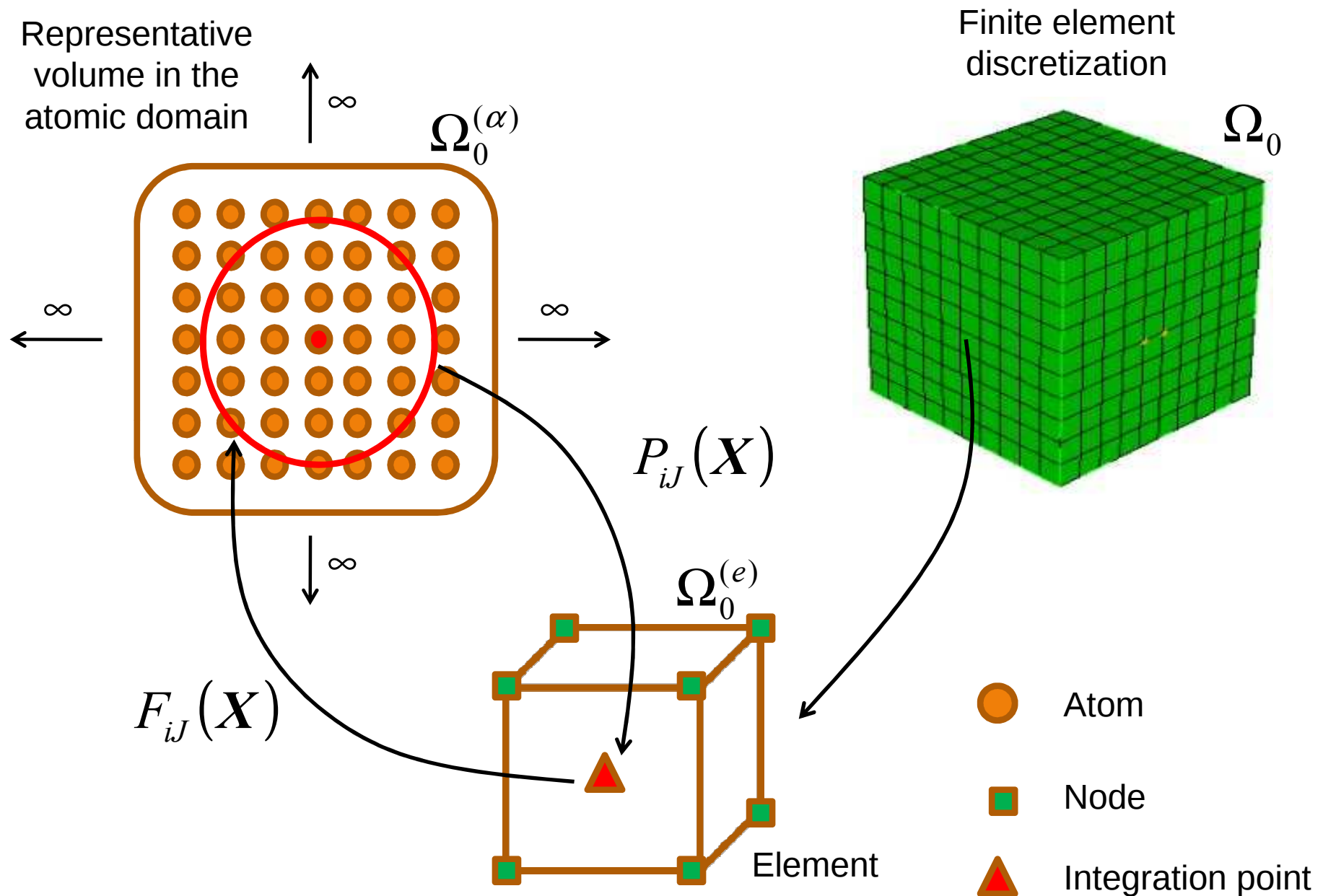
Distribution of the Nye tensor section $x=0$

Tension test

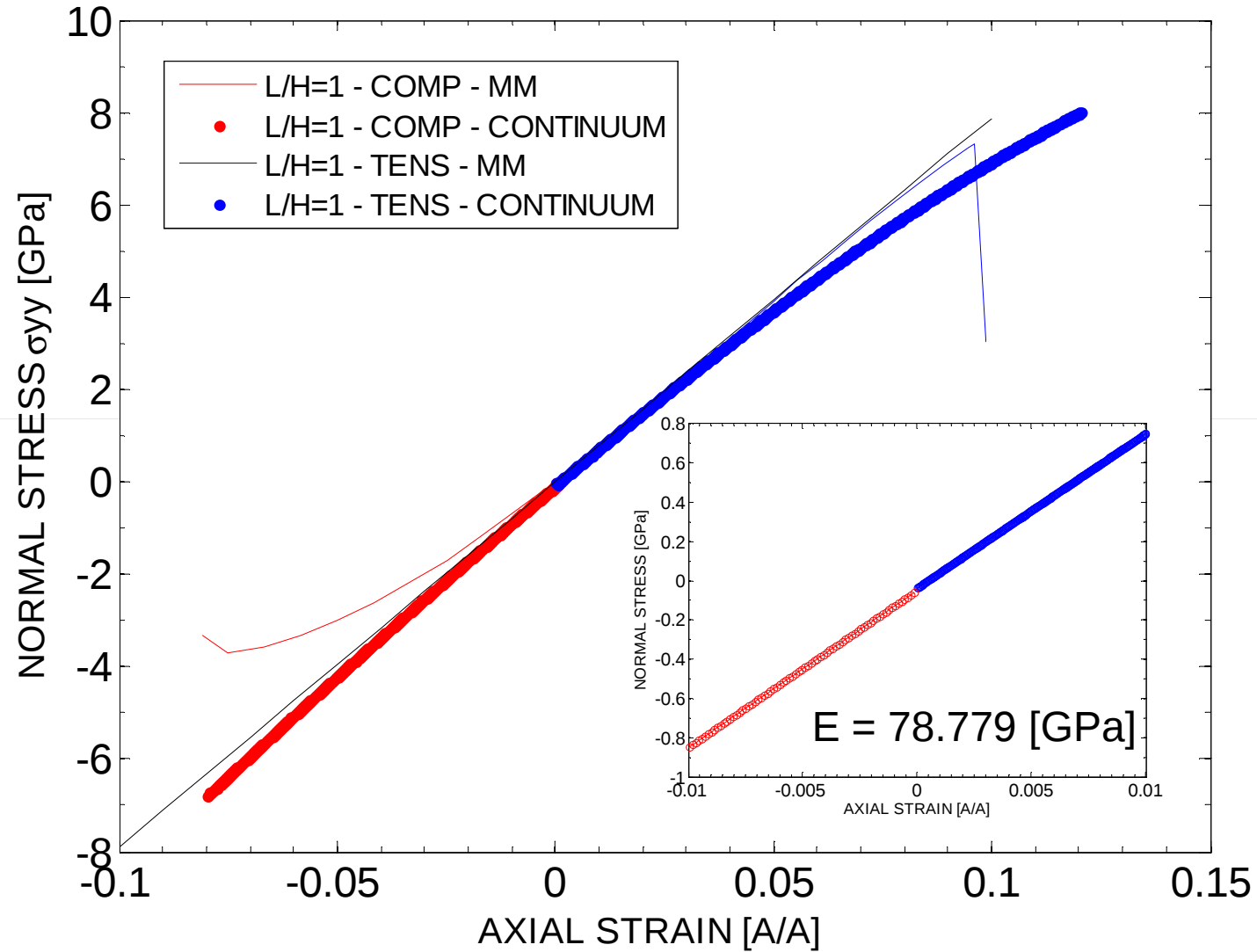
nc Ni



GOLD : MECHANICAL TESTS – FINITE ELEMENT METHOD

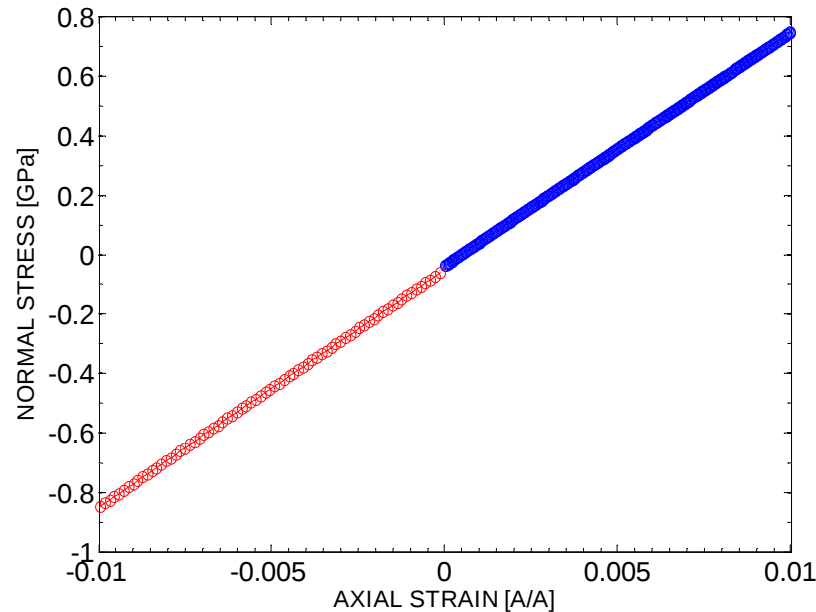


GOLD : MM & FEM - TENSION /COMPRESSION TESTS



GOLD : TENSION/COMPRESSION TESTS – YOUNG’S MODULUS

BULK Au - FEM VS. MM



FEM : $E = 78.779$ GPa

POLYCRYSTAL : $E \sim 78$ GPa

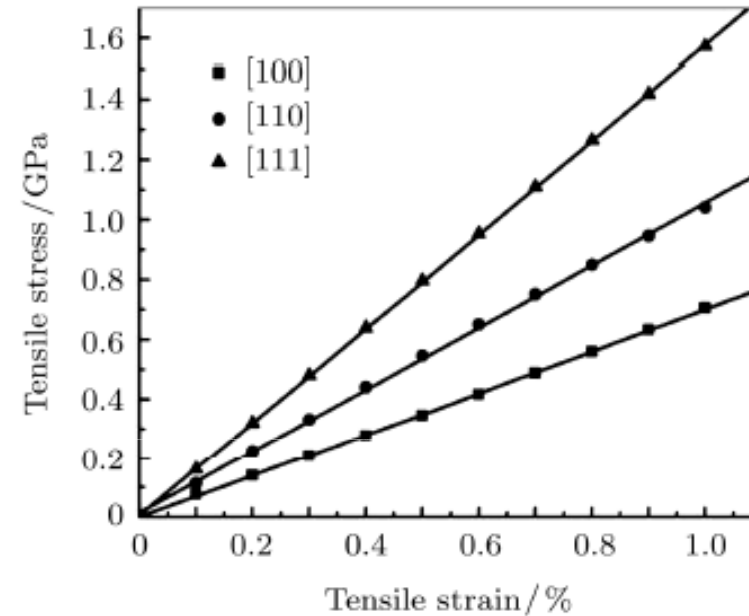


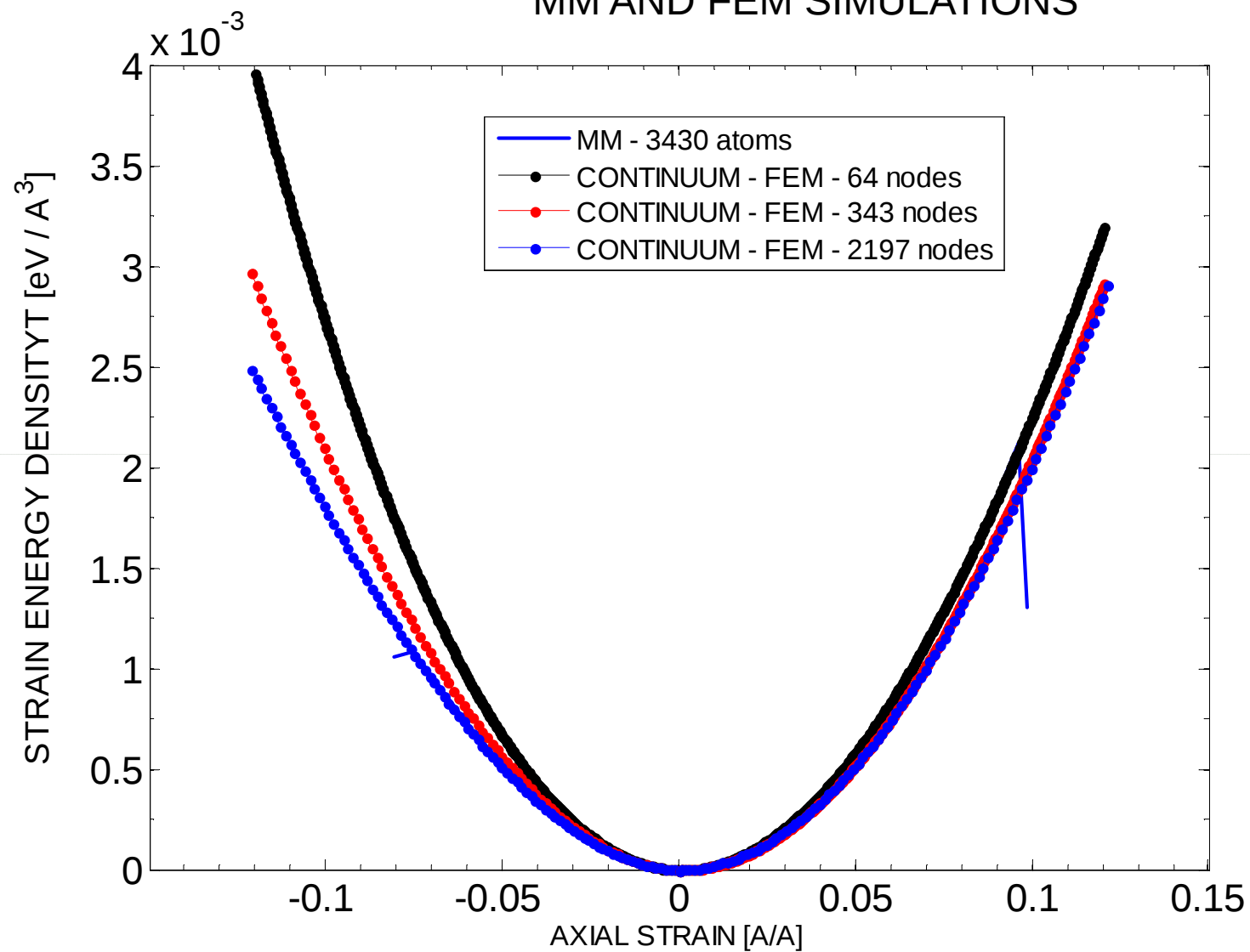
Fig.2. The elastic response of Au bulk for uniaxial strain applied along [001], [011] and [111] directions under tensile loading.

MM : $E = 72.2$ GPa

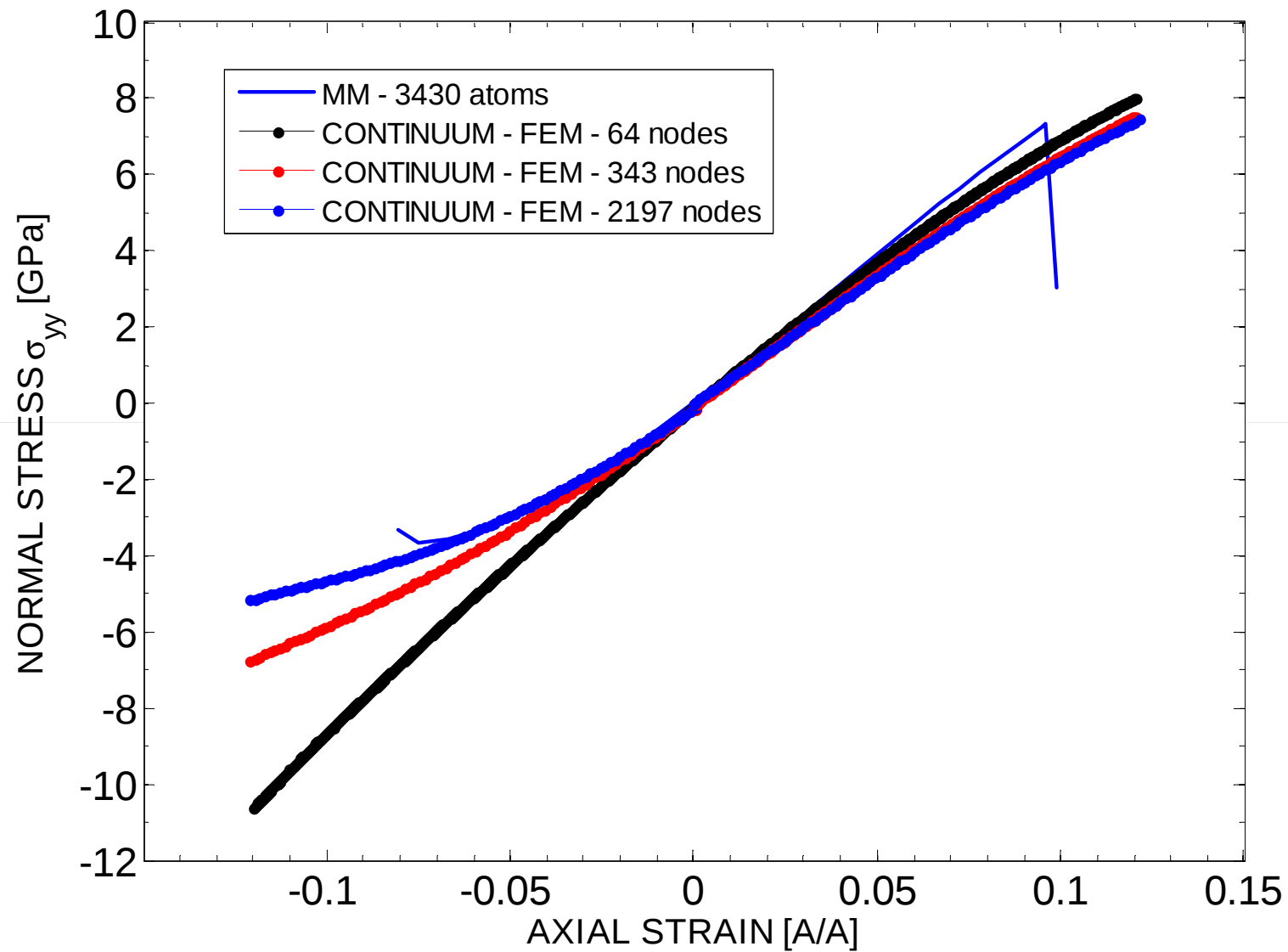
Quantum Corrected Sutton-Chen (Q-SC) potential

Liu, S.S., Wen Y.H., Zhu Z.Z., 2008. The elastic properties and energy characteristics of Au nanowires: an atomistic simulation study. Chinese Physics B, Vol. 17 No 7, 2621-2626.

GOLD: UNIAXIAL TENSION/COMPRESSION L/H=1 MM AND FEM SIMULATIONS

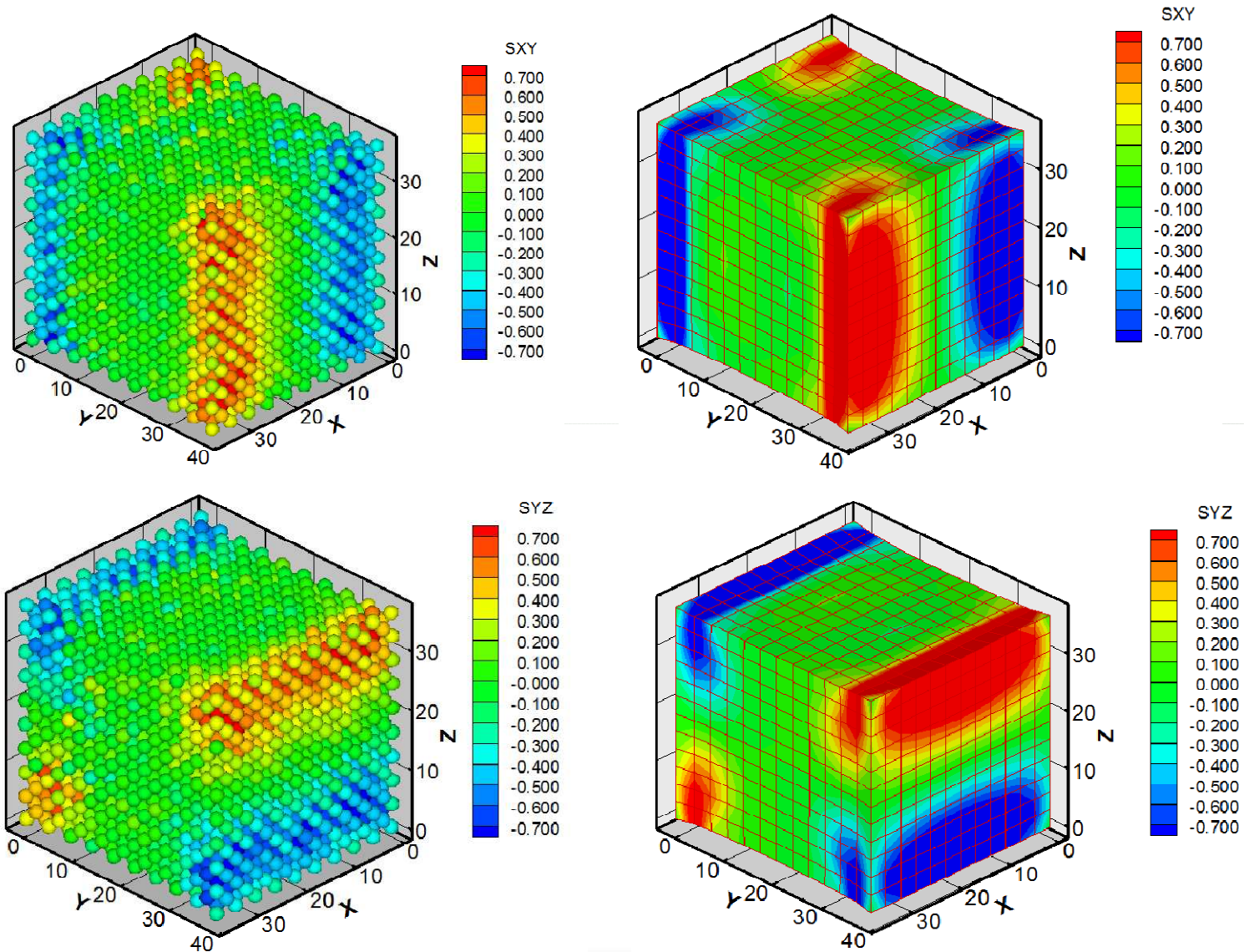


GOLD: UNIAXIAL TENSION/COMPRESSION L/H=1 MM AND FEM SIMULATIONS



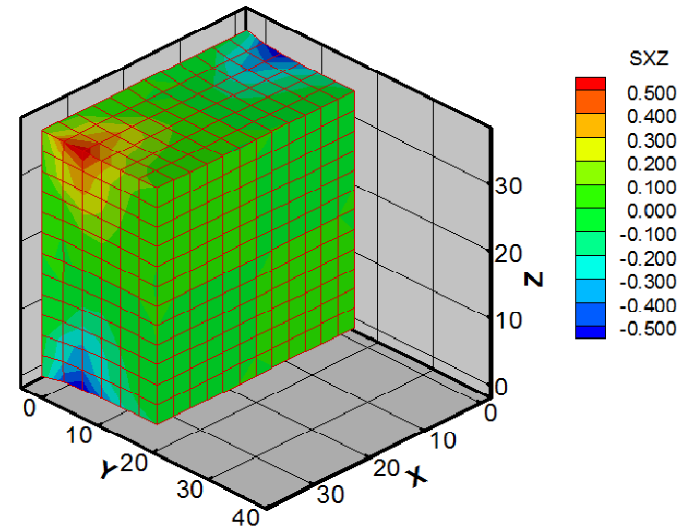
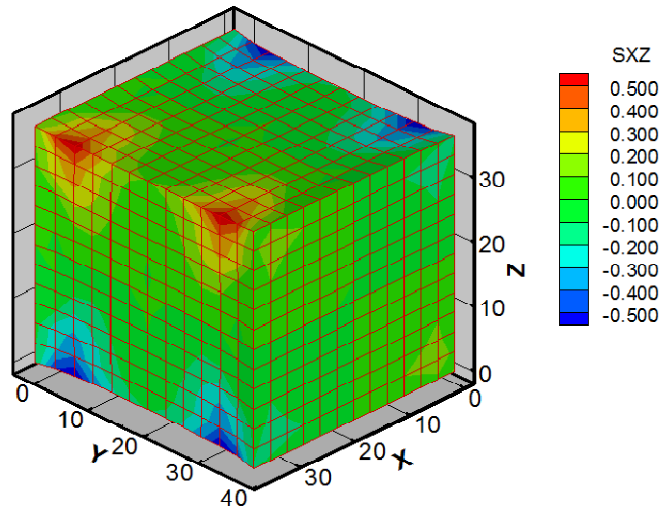
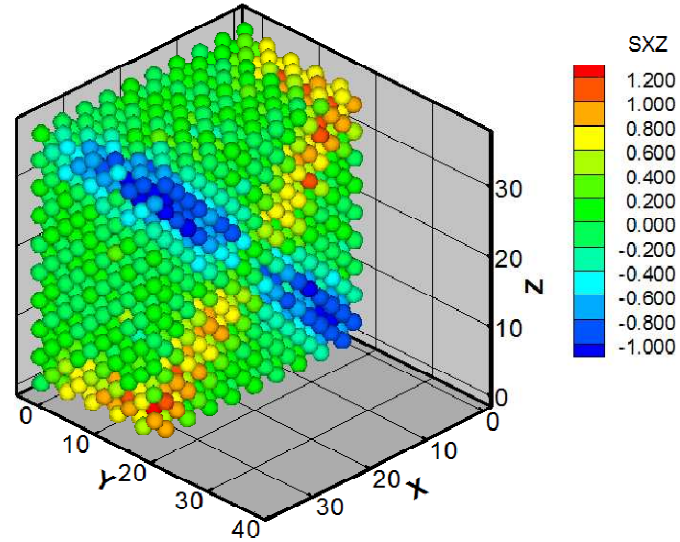
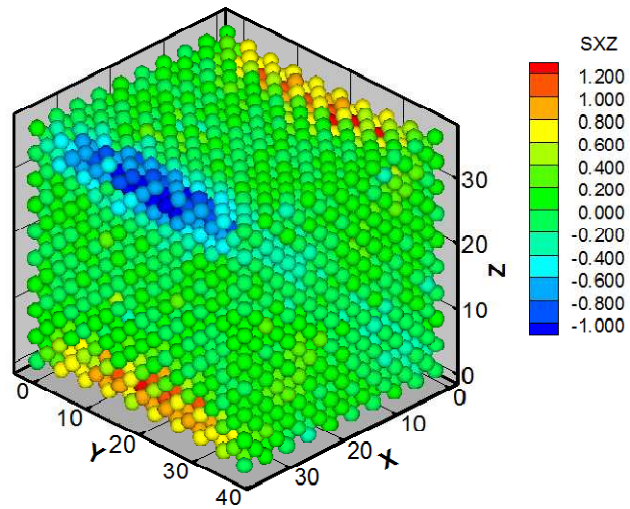
GOLD: UNIAXIAL TENSION/COMPRESSION L/H=1 , MM AND FEM SIMULATIONS

σ_{xy} AND σ_{yz} DISTRIBUTIONS



GOLD: UNIAXIAL TENSION/COMPRESSION L/H=1 , MM AND FEM SIMULATIONS

σ_{xz} DISTRIBUTIONS



Summary: research topics

Determination of a homogeneous dislocation nucleation criterion based on higher order continuum mechanics theory.

Analysis of structural instabilities by MD+DFT simulation of mechanical tests.

Constitutive relations for amorphous materials based on the nucleation and evolution of the STZs. Implementation of the constitutive theory at macro-scale using homogenization theories.

Analysis of deformation mechanisms for grain boundaries in nano-crystalline materials and formulation of constitutive relations for implementation in multi-scale approaches.

Possibly:

- Constitutive relations for biomaterials, polymers and hydro-gels.
- Analysis of delamination and wear in protective coatings (DFT and MD).

Thank you!

Related publications:

A.A. Pacheco and R. C. Batra, Instabilities in Shear and Simple Shear Deformations of Gold Crystals, *J. of the Mechanics and Physics of Solids*, **56**, 3116-3143, 2008.

R. C. Batra and A. A. Pacheco, Local and Global Instabilities in Nano-size Rectangular Prismatic Gold Specimens, *Computational Materials Science* 46, 960-976.

R. C. Batra and A. A. Pacheco, Changes in internal stress distributions during yielding of square prismatic gold nano-specimens. *Acta Materialia*, 58 (8), pp. 3131-3161.

Contact information :

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